



COMMONWEALTH OF KENTUCKY
PERSONNEL CABINET
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STEVEN L. BESHEAR
GOVERNOR

TIMOTHY LONGMEYER
SECRETARY

4TH QUARTER 2012
KEHP TRUST FUND
STATUS REPORT
(FOR THE PERIOD ENDING DECEMBER 31, 2012)

In accordance with the provisions of KRS 18A.2254(3)(f), the attached document constitutes the 4th Quarter, 2012 report on the status of the Kentucky Employees' Health Plan (KEHP) Trust Fund. As directed by statute, this report will be provided to the Governor, the Interim Joint Committee on Appropriations and Revenue, the Kentucky Group Health Insurance Board, and the Advisory Committee of State Health Insurance Subscribers.

The report includes, as of December 31, 2012:

1. The current balance of the trust fund;
2. A detailed description of all income to the trust fund;
3. A detailed description of all receipts due to the trust fund;
4. The total amount of payments made for claims from the trust fund;
5. A detailed description of all payments made to the third party administrator (TPA);
6. Current enrollment data;
7. Any other information provided by the Secretary; and
8. Any other information requested by the Interim Joint Committee on Appropriations and Revenue.
9. Additional reports provided for 2nd and 4th quarter reports:
 - a. A projection of medical claims incurred but not yet reported that are considered liabilities to the trust fund
 - b. A statement of any other trust fund liabilities
 - c. A detailed calculation of premium rates including base claims, trend assumptions, administrative fees and any benefit and plan changes
 - d. A detailed description of the current in-state and out-of-state networks provided under the plan;

- e. Specific data regarding the TPA's performance, including
 - i. Results or outcomes of disease management and wellness programs;
 - ii. Results of case management audits and educational and communication efforts;
 - iii. Comparison of actual measurable results to contract performance guarantees

1. Current Trust Fund Balance:

As of December 31, 2012, the balance of the self-insurance trust fund was \$139,463,730.63, which includes accounting for the 2006–2012 plan years. Along with the detailed transactions, summary reports for each plan year, as well as a combined summary, have been provided. This does not reflect the receipt of funds from the Early Retirement Reinsurance Program (ERRP) as they are not a part of plan year 2012 and are separate and distinct reimbursement funds established under Patient Protection and Affordable Care Act.

2-5. Detailed Description of Trust Fund Transactions:

Detailed transactions of the trust fund are contained in **Attachment A**. Monthly detailed transaction reports have been provided to the Legislative Research Commission. A month by month summary of the Trust Fund accounting by premiums, interest income, drug rebates, claims and ASO fees is included as **Attachment B**.

6. Current Enrollment Data:

Included as **Attachment C** are charts that show the number of employees and the number of lives covered under the plan. The totals shown reflect the same information as provided in the previous report, updated to include the most recent quarter's data.

7. Additional Information Provided by the Secretary of the Personnel Cabinet:

In an effort to slow the recent erosion in employer-based retiree health coverage, the Patient Protection and Affordable Care Act established a temporary program to reimburse employment-based plans for a portion of the costs they incur providing health coverage to early retirees, ages 55-64 (i.e. non-Medicare eligible).

Under Early Retiree Reinsurance Program ("ERRP"), as interpreted by regulations issued by the Department of Health and Human Services (HHS), a plan sponsor may be reimbursed for 80% of the qualifying retiree health benefit costs paid by its health plan. Qualifying costs attributable to an early retiree for a particular plan year are limited to those that exceed \$15,000 and do not exceed \$90,000. HHS originally set aside \$5 billion to pay these early retiree reimbursements.

Plan sponsors must use reimbursements under the program to lower their health benefit premiums or costs, to lower costs for plan participants, or any combination of the two. See 45 CFR § 149.200(a). The Commonwealth of Kentucky ERRP application stated:

Any funds received through reimbursement under the Early Retiree Reinsurance Program will be used (1) to offset increases in health

benefit costs under KEHP for the Commonwealth of Kentucky, (2) to reduce premium contributions, copayments, deductibles, coinsurance, or other out-of-pocket costs for some or all participants in KEHP, or (3) both to offset increases in the Commonwealth's costs and to reduce participants' costs under KEHP...The Commonwealth expects that most or all of the funds it receives in 2010 or 2011 will be used to reduce future health care inflation trend costs for KEHP in 2013. By applying the funds in this manner, the Commonwealth anticipates that it will be able to maintain KEHP benefits for 2013 at a higher level, and/or to keep participant contributions and cost-sharing amounts under KEHP for 2013 at a lower level, than these amounts would be if the Commonwealth received no reimbursements from the Early Retiree Reinsurance Program. To the extent that the reimbursement funds are applied to offset health care inflation trend costs, the funds will benefit all participants in KEHP.

Reimbursements may not be used as general revenue for the plan sponsor. See PPACA, Pub. L. No. 111-148, § 1102(c)(4) (2010); 45 CFR § 149.200(b). In order to ensure that reimbursements are not used as general revenue, sponsors who use reimbursements to lower their own health benefit premiums or costs must maintain their level of financial effort in supporting the plan. Such sponsors can use reimbursements to cover their share of premium increases from year to year, but they cannot use reimbursements to simply lower their level of plan contributions. See Preamble to Early Retiree Reinsurance Program, 75 Fed. Reg. 24450 (May 5, 2010). The sponsor must explain in the program application how it will maintain its contribution to the plan, as required by 45 CFR 149.40(a)(5)(iii).

Whether a sponsor has satisfied the maintenance of effort requirement generally will be determined by comparing the sponsor's health benefit costs (including premiums) for all plan participants for the applicable year to the sponsor's costs for the plan year cycle ending immediately prior to submission of the plan's certification application.

Early Retiree Reinsurance Program FAQ's state: "[t]he regulations explain that the purpose of the maintenance of effort requirement is to prohibit sponsors from using program funds as general revenue. If a sponsor could show maintenance of effort by showing it paid the same percentage of total costs, such an approach would incentivize the deterioration of health benefits because sponsors could pay less to sponsor a plan by sponsoring a less robust plan but receive the same amount of reimbursement under the program, which is contrary to the purpose of the statute and our regulations."

The Commonwealth of Kentucky's ERRP Application response about uses of ERRP funds and maintenance of effort, in relevant part, states:

...For plan year 2010, the average annual employer contribution, based on enrollment, is estimated to be \$8,348.04 per participant. The average annual employer contribution for plan year 2012 is estimated to be \$8,515.08 per participant. As a result of these budgeted increases in employer contributions, the Commonwealth's level of support for KEHP is projected to be at least equal to and, in fact, greater than its support during plan year 2010.

To date, the Commonwealth of Kentucky, as plan sponsor, has received \$95,474,715.51. Following the guidance of the Early Retiree Reinsurance Program FAQ's the ERRP funds were deposited into a sub-account of the public employee health insurance trust fund, in preparation for using the funds compliant with the Commonwealth's application and 45 CFR § 149.200 (a) and (b) in plan year 2013.

8. Additional Information as Requested by the Committee:

No additional information has been requested by the Committee for inclusion in this report.

9. Additional reports provided for 2nd and 4th quarter reports:

a. A projection of medical claims incurred but not yet reported that are considered liabilities to the trust fund:

As of December 31, 2012, the estimated IBNR related to medical claims was \$95,852,000, the estimated IBNR related to pharmacy claims was \$6,729,000, the estimated IBNR related to the Maximum Choice HRA was \$1,084,000, and the estimated IBNR related to the Waiver HRA was \$4,675,000, all for a grand total of \$108,341,000. These figures are provided by KEHP consultant Aon-Hewitt and reflect Aon-Hewitt's best estimates (rounded to the nearest \$1,000) regarding IBNR calculations. The figures include administrative fee liabilities and explicit provisions for adverse experience. Aon-Hewitt's IBNR projections are included in the Actuarial Reserve Study appended hereto as **Attachment D**.

b. A statement of any other trust fund liabilities:

A statement of other trust fund liabilities as of December 31, 2012 is being prepared by KEHP's accounting consultant, Blue & Company. As of the date of this report, the statement of other trust fund liabilities had not been completed. This report will be updated with a statement of other trust fund liabilities as soon as the statement is received.

c. A detailed calculation of premium rates including base claims, trend assumptions, administrative fees and any benefit and plan changes:

As required by Kentucky Revised Statute (KRS) 18A.2254(1)(a), premium rates for the 2013 Plan Year were set forth in the 2013 KEHP Benefits Selection Guide, which was filed as a part of and appended to 101 Kentucky Administrative Regulation (KAR) 210 on September 15, 2012.

d. A detailed description of the current in-state and out-of-state networks provided under the plan;

Due to the addition of new providers and termination of established providers, provider networks change on a continuous basis and printed directories are often incomplete. As a result, KEHP's Third Party Administrator (TPA) — Humana — encourages the use of on-line provider directories, which are updated at least weekly. The link to Humana's *Physician Finder Plus*, which provides the capability to search for a provider based on provider type and location, is:

<http://www.humana.com/members/explore/> (the "Provider Search" feature is located at the bottom right of the page). Humana's Customer Service Center can provide assistance in locating a participating provider. Other than normal provider contracting activities, no proposed network changes are currently pending.

e. Specific data regarding the TPA's performance, including

i. Results or outcomes of disease management and wellness programs:

Results or outcomes of disease management and wellness programs are included in **Attachment E**, which contains information provided by Humana's disease management subcontractor, Active Health Management.

Additional results or outcomes of disease management and wellness programs are included in **Attachment F**, which contains information provided by Humana and the Department of Employee Insurance (DEI).

ii. Results of case management audits and educational and communication efforts:

Results or outcomes of case management and educational and communication efforts are included in **Attachment E**, which contains information provided by Humana's case management and utilization management subcontractor, Active Health Management.

iii. Comparison of actual measurable results to contract performance guarantees:

KEHP's Third Party Administrator (TPA) – Humana – failed to meet a customer service performance standard in the 1st quarter of 2012. This performance standard guarantee requires Humana to have a telephone response time of "90% within 30 seconds"; however Humana's response time for this quarter was "89.61% within 30 seconds". Humana paid \$25,000 in damages for failure to meet this guarantee.

KEHP's Third Party Administrator (TPA) — Humana — met all contract performance standards for the 4th Quarter of 2012. A comparison of actual measurable results to contract performance guarantees is included in the report appended hereto as **Attachment G**.

KEHP's Pharmacy Benefits Manager (PBM) — Express Scripts — met all contract performance standards for the 4th Quarter of 2012. A comparison of actual measurable results to contract performance guarantees is included in the report appended hereto as **Attachment H**.

Kentucky Employees Health Plan – Status Report
Attachment A

4th Quarter, 2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
	<u>October Beginning Balance</u>	<u>\$84,828,961.01</u>			
10/02/12	CORRECT EPAY TRANSACTION FUNDS	(204,249.39)	101	Premiums	2010
10/08/12	CORRECT EPAY TRANSACTION FUNDS	(54.16)	101	Premiums	2010
11/02/12	INTEREST DISTRIBUTION OCT 2012	14,051.87	110	Interest Income	2010
09/28/12	MEDICAL CLAIMS 2010	(16,275.39)	201	Medical Claims	2010
10/01/12	MEDICAL CLAIMS 2010	(21,174.68)	201	Medical Claims	2010
10/02/12	MEDICAL CLAIMS 2010	(15,640.37)	201	Medical Claims	2010
10/03/12	MEDICAL CLAIMS 2010	(860.30)	201	Medical Claims	2010
10/04/12	MEDICAL CLAIMS 2010	(35.35)	201	Medical Claims	2010
10/05/12	MEDICAL CLAIMS 2010	(9,993.27)	201	Medical Claims	2010
10/09/12	MEDICAL CLAIMS 2010	66.42	201	Medical Claims	2010
10/10/12	MEDICAL CLAIMS 2010	(1,759.39)	201	Medical Claims	2010
10/12/12	MEDICAL CLAIMS 2010	(4,361.22)	201	Medical Claims	2010
10/15/12	MEDICAL CLAIMS 2010	2,402.38	201	Medical Claims	2010
10/16/12	MEDICAL CLAIMS 2010	(1,648.30)	201	Medical Claims	2010
10/17/12	MEDICAL CLAIMS 2010	(2,333.29)	201	Medical Claims	2010
10/18/12	MEDICAL CLAIMS 2010	(12,711.14)	201	Medical Claims	2010
10/19/12	MEDICAL CLAIMS 2010	(4,342.83)	201	Medical Claims	2010
10/22/12	MEDICAL CLAIMS 2010	(1,761.60)	201	Medical Claims	2010
10/23/12	MEDICAL CLAIMS 2010	(5,723.44)	201	Medical Claims	2010
10/24/12	MEDICAL CLAIMS 2010	(3,796.42)	201	Medical Claims	2010
10/29/12	MEDICAL CLAIMS 2010	(7,980.53)	201	Medical Claims	2010
10/30/12	MEDICAL CLAIMS 2010	(17,064.27)	201	Medical Claims	2010
10/03/12	AUG 2012 HRA SELECT ESCHEATED CHECKS PY 2010	18.72	203	HRA Select Claims	2010
10/19/12	CORRECT NQE REPAYMENT FUND ALLOCATION	100.00	203	HRA Select Claims	2010
10/03/12	AUG 2012 HRA WAIVER ESCHEATED CHECKS PY 2010	810.36	204	HRA Waiver Claims	2010
10/25/12	HRA WAIVER CLAIM RECOVERY 2009 & 2010	206.40	204	HRA Waiver Claims	2010
10/19/12	CORRECT NQE REPAYMENT FUND ALLOCATION	(100.00)	204	HRA Waiver Claims	2010
10/19/12	CORRECT HRA WAIVER VOID CHECKS PLAN YEAR	(6,436.72)	204	HRA Waiver Claims	2010
10/10/12	HRA CLAIM PAYMENTS (HRA WAIVER 2010)	(56.16)	204	HRA Waiver Claims	2010
10/18/12	HRA CLAIM PAYMENTS (HRA WAIVER 2010)	(70.00)	204	HRA Waiver Claims	2010
10/02/12	EXPRESS SCRIPTS 10/02/12	(1,580.83)	301	Pharmacy Claims	2010
10/02/12	EXPRESS SCRIPTS 10/02/12	(865.83)	301	Pharmacy Claims	2010
10/09/12	EXPRESS SCRIPTS 10/09/12	2,799.34	301	Pharmacy Claims	2010
10/23/12	EXPRESS SCRIPTS 10/23/12	279.38	301	Pharmacy Claims	2010
10/03/12	EXPRESS SCRIPTS 10/02/12	(22.50)	302	Express Scripts Admin	2010
10/03/12	EXPRESS SCRIPTS 10/02/12	(30.00)	302	Express Scripts Admin	2010
10/12/12	EXPRESS SCRIPTS 10/09/12	(2.78)	302	Express Scripts Admin	2010
10/09/12	HEALTH PREMIUMS	19,212.56	101	Premiums	2011
10/19/12	HEALTH PREMIUMS 2011	2,848.06	101	Premiums	2011

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/25/12	HLTH INS REFUND	(30.91)	101	Premiums	2011
10/25/12	HLTH INS REFUND	(61.52)	101	Premiums	2011
10/25/12	HLTH INS REFUND	(3.24)	101	Premiums	2011
10/25/12	HLTH INS REFUND	(452.71)	101	Premiums	2011
10/25/12	HLTH INS REFUND	(325.15)	101	Premiums	2011
10/26/12	HLTH INS REFUND	(1,702.40)	101	Premiums	2011
10/26/12	HLTH INS REFUND	(5.00)	101	Premiums	2011
10/25/12	HLTH INS REFUND	(61.52)	101	Premiums	2011
10/26/12	HLTH INS REFUND	(30.76)	101	Premiums	2011
10/26/12	HLTH INS REFUND	(325.15)	101	Premiums	2011
10/25/12	HLTH INS REFUND	(13.08)	101	Premiums	2011
10/25/12	HLTH INS REFUND	(325.15)	101	Premiums	2011
10/19/12	CORRECT REFUND PLAN YEARS	(86.64)	101	Premiums	2011
10/19/12	CORRECT REFUND PLAN YEARS	(364.78)	101	Premiums	2011
11/02/12	INTEREST DISTRIBUTION OCT 2012	11,883.85	110	Interest Income	2011
09/28/12	MEDICAL CLAIMS 2011	(21,380.94)	201	Medical Claims	2011
10/01/12	MEDICAL CLAIMS 2011	86,350.16	201	Medical Claims	2011
10/02/12	MEDICAL CLAIMS 2011	(8,609.90)	201	Medical Claims	2011
10/03/12	MEDICAL CLAIMS 2011	(23,651.49)	201	Medical Claims	2011
10/04/12	MEDICAL CLAIMS 2011	(4,973.82)	201	Medical Claims	2011
10/05/12	MEDICAL CLAIMS 2011	(13,808.61)	201	Medical Claims	2011
10/09/12	MEDICAL CLAIMS 2011	(1,095.10)	201	Medical Claims	2011
10/10/12	MEDICAL CLAIMS 2011	(32,169.66)	201	Medical Claims	2011
10/12/12	MEDICAL CLAIMS 2011	(6,352.31)	201	Medical Claims	2011
10/12/12	MEDICAL CLAIMS 2011	(77,357.75)	201	Medical Claims	2011
10/15/12	MEDICAL CLAIMS 2011	(5,656.53)	201	Medical Claims	2011
10/16/12	MEDICAL CLAIMS 2011	(19,466.94)	201	Medical Claims	2011
10/17/12	MEDICAL CLAIMS 2011	68,079.02	201	Medical Claims	2011
10/18/12	MEDICAL CLAIMS 2011	(10,303.39)	201	Medical Claims	2011
10/19/12	MEDICAL CLAIMS 2011	(17,394.94)	201	Medical Claims	2011
10/22/12	MEDICAL CLAIMS 2011	(41,007.95)	201	Medical Claims	2011
10/23/12	MEDICAL CLAIMS 2011	(37,771.67)	201	Medical Claims	2011
10/24/12	MEDICAL CLAIMS 2011	(23,942.48)	201	Medical Claims	2011
10/29/12	MEDICAL CLAIMS 2011	(157,958.34)	201	Medical Claims	2011
10/30/12	MEDICAL CLAIMS 2011	588.99	201	Medical Claims	2011
10/03/12	AUG 2012 HRA SELECT ESCHEATED CHECKS PY 2011	32.89	203	HRA Select Claims	2011
10/25/12	HRA SELECT CLAIM RECOVERY 2011	1,277.99	203	HRA Select Claims	2011
09/28/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(419.02)	203	HRA Select Claims	2011
10/01/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(1,884.37)	203	HRA Select Claims	2011
10/03/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(460.43)	203	HRA Select Claims	2011
10/09/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	2,172.63	203	HRA Select Claims	2011

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/10/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(1,319.24)	203	HRA Select Claims	2011
10/11/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(170.81)	203	HRA Select Claims	2011
10/12/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(845.84)	203	HRA Select Claims	2011
10/15/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	47.33	203	HRA Select Claims	2011
10/17/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(94.96)	203	HRA Select Claims	2011
10/19/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(56.65)	203	HRA Select Claims	2011
10/22/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(149.77)	203	HRA Select Claims	2011
10/23/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(629.88)	203	HRA Select Claims	2011
10/29/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(451.32)	203	HRA Select Claims	2011
10/30/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	348.12	203	HRA Select Claims	2011
10/03/12	AUG 2012 HRA WAIVER ESCHEATED CHECKS PY 2011	1,357.92	204	HRA Waiver Claims	2011
10/03/12	AUG 2012 HRA WAIVER VOIDS PY 2011	1,221.27	204	HRA Waiver Claims	2011
10/25/12	HRA WAIVER CLAIM RECOVERY 2011	1,737.07	204	HRA Waiver Claims	2011
10/19/12	CORRECT HRA WAIVER VOID CHECKS PLAN YEAR	6,436.72	204	HRA Waiver Claims	2011
09/28/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(40.40)	204	HRA Waiver Claims	2011
10/01/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(126.60)	204	HRA Waiver Claims	2011
10/03/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(53.04)	204	HRA Waiver Claims	2011
10/04/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(2,021.46)	204	HRA Waiver Claims	2011
10/05/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(288.09)	204	HRA Waiver Claims	2011
10/09/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(970.53)	204	HRA Waiver Claims	2011
10/10/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(915.53)	204	HRA Waiver Claims	2011
10/11/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(674.37)	204	HRA Waiver Claims	2011
10/12/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(176.34)	204	HRA Waiver Claims	2011
10/15/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(1,176.82)	204	HRA Waiver Claims	2011
10/16/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(322.84)	204	HRA Waiver Claims	2011
10/17/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(89.50)	204	HRA Waiver Claims	2011
10/18/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(110.89)	204	HRA Waiver Claims	2011
10/22/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(160.45)	204	HRA Waiver Claims	2011
10/29/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(2,204.02)	204	HRA Waiver Claims	2011
10/30/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	443.71	204	HRA Waiver Claims	2011
10/02/12	EXPRESS SCRIPTS 10/02/12	(15,481.88)	301	Pharmacy Claims	2011
10/09/12	EXPRESS SCRIPTS 10/09/12	100.23	301	Pharmacy Claims	2011
10/16/12	EXPRESS SCRIPTS 10/16/12	(396.17)	301	Pharmacy Claims	2011
10/23/12	EXPRESS SCRIPTS 10/23/12	177.91	301	Pharmacy Claims	2011
10/03/12	EXPRESS SCRIPTS 09/25/12	(12.38)	302	Express Scripts Admin	2011
10/03/12	EXPRESS SCRIPTS 10/02/12	(471.66)	302	Express Scripts Admin	2011
10/12/12	EXPRESS SCRIPTS 10/09/12	(2.50)	302	Express Scripts Admin	2011
10/19/12	EXPRESS SCRIPTS 10/16/12	(26.39)	302	Express Scripts Admin	2011
10/08/12	UPPS PAYROLL	12,603,971.84	101	Premiums	2012
10/23/12	UPPS PAYROLL	12,667,870.81	101	Premiums	2012
10/02/12	UPPS PAYROLL	389.92	101	Premiums	2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/04/12	UPPS PAYROLL	195.60	101	Premiums	2012
10/15/12	UPPS PAYROLL	1,525.73	101	Premiums	2012
10/30/12	UPPS PAYROLL	1,607.69	101	Premiums	2012
10/16/12	NSF CK 1091 UPPS	(62.74)	101	Premiums	2012
10/16/12	NSF CK 564 BOE	(31.37)	101	Premiums	2012
10/24/12	NSF CK 126	(37.24)	101	Premiums	2012
09/24/12	JEFFERSON CO BOE AUG 2012 HEALTH	1,424,054.64	101	Premiums	2012
09/24/12	KRS #31100 9/12 HEALTH PREMIUM	171,157.08	101	Premiums	2012
09/27/12	EPAY-HEALTH PREMIUMS 2012	52,020.32	101	Premiums	2012
10/17/12	EPAY-HEALTH PREMIUMS 2012	101,022.22	101	Premiums	2012
10/01/12	HEALTH PREMIUMS 2012	1,749,344.60	101	Premiums	2012
10/01/12	EPAY-HEALTH PREMIUMS 2012	368,502.97	101	Premiums	2012
10/02/12	HEALTH PREMIUMS 2012	1,361,538.75	101	Premiums	2012
10/02/12	KCTCS #45470/81000 PREMIUM PAYMENT SEPT 2012	3,190,911.07	101	Premiums	2012
10/02/12	EPAY-HEALTH PREMIUMS 2012	35,559.89	101	Premiums	2012
10/03/12	EPAY-HEALTH PREMIUMS 2012	73,125.50	101	Premiums	2012
10/03/12	HEALTH PREMIUMS 2012	320,894.33	101	Premiums	2012
10/04/12	HEALTH PREMIUMS 2012	269,338.90	101	Premiums	2012
10/04/12	EPAY-HEALTH PREMIUMS 2012	78,044.42	101	Premiums	2012
10/05/12	EPAY-HEALTH PREMIUMS 2012	101,299.85	101	Premiums	2012
10/05/12	HEALTH PREMIUMS 2012	790,749.89	101	Premiums	2012
10/05/12	EPAY-HEALTH PREMIUMS 2012	394,048.64	101	Premiums	2012
10/08/12	EPAY-HEALTH PREMIUMS 2012	279,822.17	101	Premiums	2012
10/08/12	HEALTH INSURANCE PREMIUMS	452,907.16	101	Premiums	2012
10/09/12	HEALTH PREMIUMS 2012	67,784.04	101	Premiums	2012
10/09/12	EPAY-HEALTH PREMIUMS 2012	32,086.90	101	Premiums	2012
10/10/12	HEALTH PREMIUMS 2012	387,455.47	101	Premiums	2012
10/10/12	EPAY-HEALTH PREMIUMS 2012	77,059.44	101	Premiums	2012
10/11/12	HEALTH PREMIUMS	392,850.79	101	Premiums	2012
10/11/12	EPAY-HEALTH PREMIUMS 2012	220,555.25	101	Premiums	2012
10/12/12	HEALTH PREMIUMS 2012	556,865.42	101	Premiums	2012
10/15/12	EPAY-HEALTH PREMIUMS 2012	14,279.76	101	Premiums	2012
10/15/12	HEALTH PREMIUMS	516,686.62	101	Premiums	2012
10/16/12	COBRA PREMIUMS SEPT 2012	273,087.64	101	Premiums	2012
10/16/12	KRS #31100 10/12 HEALTH PREMIUM	15,532.76	101	Premiums	2012
10/16/12	HEALTH PREMIUMS	857,017.80	101	Premiums	2012
10/17/12	HEALTH PREMIUMS	218,226.40	101	Premiums	2012
10/17/12	EPAY-HEALTH PREMIUMS 2012	6,823.56	101	Premiums	2012
10/18/12	HEALTH PREMIUMS 2012	396,369.51	101	Premiums	2012
10/19/12	HEALTH PREMIUMS 2012	306,211.29	101	Premiums	2012
10/19/12	EPAY-HEALTH PREMIUMS 2012	20,018.68	101	Premiums	2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/19/12	EPAY-HRA QUASI 2012 CODED TO HEALTH	350.00	101	Premiums	2012
10/19/12	EPAY-HEALTH PREMIUMS 2012	115,110.83	101	Premiums	2012
10/19/12	EPAY-HEALTH PREMIUMS 2012	45,780.17	101	Premiums	2012
10/22/12	HEALTH PREMIUMS	516,079.90	101	Premiums	2012
10/22/12	EPAY-HRA QUASI 2012 CODED TO HEALTH	175.00	101	Premiums	2012
10/22/12	EPAY-HEALTH PREMIUMS 2012	9,234.24	101	Premiums	2012
10/22/12	EPAY-HEALTH PREMIUMS 2012	15,170.68	101	Premiums	2012
10/23/12	EPAY-FSA DEPOSIT (BOE) CODED TO HEALTH	214.58	101	Premiums	2012
10/23/12	EPAY-HEALTH PREMIUMS 2012	227,425.32	101	Premiums	2012
10/23/12	HEALTH PREMIUMS	396,301.40	101	Premiums	2012
10/24/12	EPAY-HEALTH PREMIUMS 2012	91,582.18	101	Premiums	2012
10/24/12	HEALTH PREMIUMS	255,606.21	101	Premiums	2012
10/25/12	JEFFERSON CO BOE SEPT 2012 HEALTH	1,536,576.86	101	Premiums	2012
10/25/12	HEALTH PREMIUMS	201,580.31	101	Premiums	2012
10/25/12	EPAY-HRA QUASI 2012 CODED TO HEALTH	525.00	101	Premiums	2012
10/25/12	EPAY-HEALTH PREMIUMS 2012	20,856.74	101	Premiums	2012
10/26/12	HEALTH PREMIUMS 2012	641,463.74	101	Premiums	2012
10/29/12	HEALTH PREMIUMS	1,064,089.82	101	Premiums	2012
10/30/12	HEALTH PREMIUMS	203,670.26	101	Premiums	2012
10/30/12	KCTCS #45470/81000 PREMIUM PAYMENT OCT 2012	3,246,601.27	101	Premiums	2012
10/31/12	HEALTH PREMIUMS	634,148.43	101	Premiums	2012
10/31/12	EPAY-HEALTH PREMIUMS 2012	13,260.40	101	Premiums	2012
10/31/12	EPAY-LIFE INS. 2012 CODED TO HEALTH	613.78	101	Premiums	2012
10/31/12	EPAY-HEALTH PREMIUMS 2012	57,766.86	101	Premiums	2012
10/31/12	EPAY-LIFE INS. 2012 CODED TO HEALTH	7,818.38	101	Premiums	2012
10/31/12	EPAY-HEALTH PREMIUMS 2012	259,756.53	101	Premiums	2012
10/08/12	COBRA PREMIUM REFUND	(338.30)	101	Premiums	2012
10/18/12	COBRA PREMIUM REFUND	(2,706.28)	101	Premiums	2012
10/23/12	COBRA PREMIUM REFUND	(1,882.68)	101	Premiums	2012
10/25/12	HLTH INS REFUND	(30.64)	101	Premiums	2012
10/25/12	HLTH INS REFUND	(376.44)	101	Premiums	2012
10/25/12	HLTH INS REFUND	(37.24)	101	Premiums	2012
10/25/12	HLTH INS REFUND	(424.00)	101	Premiums	2012
10/25/12	HLTH INS REFUND	(487.02)	101	Premiums	2012
10/25/12	HLTH INS REFUND	(62.74)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(194.90)	101	Premiums	2012
10/25/12	HLTH INS REFUND	(195.60)	101	Premiums	2012
10/25/12	HLTH INS REFUND	(62.74)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(148.38)	101	Premiums	2012
10/25/12	HLTH INS REFUND	(62.74)	101	Premiums	2012
10/25/12	HLTH INS REFUND	(27.50)	101	Premiums	2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/26/12	HLTH INS REFUND	(160.00)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(37.24)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(630.86)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(18.27)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(462.60)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(371.10)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(62.74)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(994.95)	101	Premiums	2012
10/25/12	HLTH INS REFUND	(622.50)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(452.71)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(263.51)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(797.90)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(80.00)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(35.12)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(669.66)	101	Premiums	2012
10/25/12	HLTH INS REFUND	(18.62)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(161.04)	101	Premiums	2012
10/26/12	HLTH INS REFUND	(97.61)	101	Premiums	2012
10/25/12	HLTH INS REFUND	(31.53)	101	Premiums	2012
10/05/12	HLTH INS REFUND	(171.47)	101	Premiums	2012
09/05/12	KDE PREMIUMS AUG 2012 BILL PERIOD	52,467,299.39	101	Premiums	2012
10/01/12	KDE PREMIUMS SEPT 2012 BILL PERIOD	51,638,187.75	101	Premiums	2012
10/08/12	KRS 10/12 PREMIUMS	23,237,078.34	101	Premiums	2012
10/29/12	KTRS 10/12 PREMIUMS	11,887,053.16	101	Premiums	2012
10/02/12	CORRECT EPAY TRANSACTION FUNDS	198,182.23	101	Premiums	2012
10/08/12	CORRECT DEPOSIT FUND ALLOCATION	(24.86)	101	Premiums	2012
10/19/12	CORRECT REFUND PLAN YEARS	451.42	101	Premiums	2012
10/25/12	CORRECT DEPOSIT FUND ALLOCATION	(198.70)	101	Premiums	2012
10/08/12	UPPS PAYROLL	350,700.00	102	HRA Waiver Contribution	2012
10/23/12	UPPS PAYROLL	356,737.50	102	HRA Waiver Contribution	2012
10/15/12	UPPS PAYROLL	(87.50)	102	HRA Waiver Contribution	2012
10/30/12	UPPS PAYROLL	87.50	102	HRA Waiver Contribution	2012
10/17/12	EPAY-HRA QUASI DEPOSIT	350.00	102	HRA Waiver Contribution	2012
10/01/12	HRA QUASI DEPOSIT	1,575.00	102	HRA Waiver Contribution	2012
10/01/12	EPAY-HRA QUASI DEPOSIT	1,137.50	102	HRA Waiver Contribution	2012
10/02/12	EPAY-HRA QUASI DEPOSIT	525.00	102	HRA Waiver Contribution	2012
10/03/12	EPAY-HRA QUASI DEPOSIT	350.00	102	HRA Waiver Contribution	2012
10/04/12	HRA QUASI DEPOSIT	175.00	102	HRA Waiver Contribution	2012
10/05/12	HRA QUASI DEPOSIT	350.00	102	HRA Waiver Contribution	2012
10/08/12	EPAY-HRA 2012	525.00	102	HRA Waiver Contribution	2012
10/09/12	HRA WAIVER DEPOSIT - QUASI	175.00	102	HRA Waiver Contribution	2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/09/12	EPAY-HRA 2012	175.00	102	HRA Waiver Contribution	2012
10/11/12	EPAY-HRA 2012	350.00	102	HRA Waiver Contribution	2012
10/12/12	HRA QUASI DEPOSIT	525.00	102	HRA Waiver Contribution	2012
10/15/12	HRA WAIVER DEPOSIT - QUASI	525.00	102	HRA Waiver Contribution	2012
10/18/12	HRA QUASI DEPOSIT	1,400.00	102	HRA Waiver Contribution	2012
10/19/12	HRA QUASI DEPOSIT	437.50	102	HRA Waiver Contribution	2012
10/23/12	EPAY-HRA QUASI 2012	1,050.00	102	HRA Waiver Contribution	2012
10/23/12	HRA QUASI DEPOSIT	1,225.00	102	HRA Waiver Contribution	2012
10/24/12	HRA QUASI DEPOSIT	525.00	102	HRA Waiver Contribution	2012
10/26/12	HRA QUASI DEPOSIT	2,975.00	102	HRA Waiver Contribution	2012
10/29/12	HRA QUASI DEPOSIT	700.00	102	HRA Waiver Contribution	2012
10/01/12	KDE HRA WAIVER SEPT 2012	3,055,426.86	102	HRA Waiver Contribution	2012
10/01/12	KDE D&V HRA SEPT 2012	30,803.18	102	HRA Waiver Contribution	2012
10/30/12	KDE D&V HRA OCT 2012	33,775.00	102	HRA Waiver Contribution	2012
10/30/12	KDE HRA WAIVER OCT 2012	3,186,660.96	102	HRA Waiver Contribution	2012
10/02/12	CORRECT EPAY TRANSACTION FUNDS	350.00	102	HRA Waiver Contribution	2012
11/02/12	INTEREST DISTRIBUTION OCT 2012	(4,719.05)	110	Interest Income	2012
09/28/12	MEDICAL CLAIMS 2012	(4,965,720.46)	201	Medical Claims	2012
10/01/12	MEDICAL CLAIMS 2012	(2,970,303.14)	201	Medical Claims	2012
10/02/12	MEDICAL CLAIMS 2012	(9,301,223.08)	201	Medical Claims	2012
10/03/12	MEDICAL CLAIMS 2012	(3,565,899.30)	201	Medical Claims	2012
10/04/12	MEDICAL CLAIMS 2012	(2,412,362.04)	201	Medical Claims	2012
10/05/12	MEDICAL CLAIMS 2012	(8,317,927.54)	201	Medical Claims	2012
10/09/12	MEDICAL CLAIMS 2012	(10,104,168.81)	201	Medical Claims	2012
10/10/12	MEDICAL CLAIMS 2012	(2,054,581.34)	201	Medical Claims	2012
10/12/12	MEDICAL CLAIMS 2012	(2,278,233.49)	201	Medical Claims	2012
10/12/12	MEDICAL CLAIMS 2012	(6,592,984.17)	201	Medical Claims	2012
10/15/12	MEDICAL CLAIMS 2012	(4,427,408.33)	201	Medical Claims	2012
10/16/12	MEDICAL CLAIMS 2012	(4,742,745.02)	201	Medical Claims	2012
10/17/12	MEDICAL CLAIMS 2012	(2,340,497.44)	201	Medical Claims	2012
10/18/12	MEDICAL CLAIMS 2012	(2,689,576.09)	201	Medical Claims	2012
10/19/12	MEDICAL CLAIMS 2012	(7,260,158.53)	201	Medical Claims	2012
10/22/12	MEDICAL CLAIMS 2012	(3,696,354.67)	201	Medical Claims	2012
10/23/12	MEDICAL CLAIMS 2012	(4,575,210.48)	201	Medical Claims	2012
10/24/12	MEDICAL CLAIMS 2012	(10,837,097.86)	201	Medical Claims	2012
10/29/12	MEDICAL CLAIMS 2012	9,473,461.01	201	Medical Claims	2012
10/29/12	MEDICAL CLAIMS 2012	(17,017,216.07)	201	Medical Claims	2012
10/30/12	MEDICAL CLAIMS 2012	(6,224,626.19)	201	Medical Claims	2012
10/29/12	FIRST ONSITE LLC - 09/12	(56,710.24)	201	Medical Claims	2012
10/30/12	KDE OCT 2012 ASO FEE PMT	19,496.92	202	Humana Admin	2012
10/19/12	HUMANA ASO FEES 10/12	(5,782,336.60)	202	Humana Admin	2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/03/12	AUG 2012 HRA SELECT CLOSED VISA DISPUTES PY 2012	57.55	203	HRA Select Claims	2012
10/03/12	AUG 2012 HRA SELECT VOIDS PY 2012	1,335.13	203	HRA Select Claims	2012
10/25/12	HRA SELECT CLAIM RECOVERY 2012	9,277.41	203	HRA Select Claims	2012
09/28/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(35,156.98)	203	HRA Select Claims	2012
10/01/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(84,780.54)	203	HRA Select Claims	2012
10/02/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(16,793.23)	203	HRA Select Claims	2012
10/03/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(25,821.78)	203	HRA Select Claims	2012
10/04/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(41,806.70)	203	HRA Select Claims	2012
10/05/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(52,599.79)	203	HRA Select Claims	2012
10/09/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(115,449.56)	203	HRA Select Claims	2012
10/10/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(20,373.18)	203	HRA Select Claims	2012
10/11/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(58,119.95)	203	HRA Select Claims	2012
10/12/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(43,234.01)	203	HRA Select Claims	2012
10/15/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(96,079.62)	203	HRA Select Claims	2012
10/16/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(15,451.47)	203	HRA Select Claims	2012
10/17/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(37,504.86)	203	HRA Select Claims	2012
10/18/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(43,390.02)	203	HRA Select Claims	2012
10/19/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(38,882.17)	203	HRA Select Claims	2012
10/22/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(101,313.94)	203	HRA Select Claims	2012
10/23/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(13,412.04)	203	HRA Select Claims	2012
10/29/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(186,647.46)	203	HRA Select Claims	2012
10/30/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(13,144.94)	203	HRA Select Claims	2012
10/03/12	AUG 2012 HRA WAIVER CLOSED VISA DISPUTES PY 2012	25.00	204	HRA Waiver Claims	2012
10/03/12	AUG 2012 HRA WAIVER VOIDS PY 2012	4,045.96	204	HRA Waiver Claims	2012
10/25/12	HRA WAIVER CLAIM RECOVERY 2012	36,598.01	204	HRA Waiver Claims	2012
09/28/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(130,890.28)	204	HRA Waiver Claims	2012
10/01/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(246,213.84)	204	HRA Waiver Claims	2012
10/02/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(55,643.98)	204	HRA Waiver Claims	2012
10/03/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(83,324.07)	204	HRA Waiver Claims	2012
10/04/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(123,714.32)	204	HRA Waiver Claims	2012
10/05/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(134,582.01)	204	HRA Waiver Claims	2012
10/09/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(276,454.01)	204	HRA Waiver Claims	2012
10/10/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(97,893.15)	204	HRA Waiver Claims	2012
10/11/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(131,470.79)	204	HRA Waiver Claims	2012
10/12/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(96,356.35)	204	HRA Waiver Claims	2012
10/15/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(205,244.08)	204	HRA Waiver Claims	2012
10/16/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(57,869.42)	204	HRA Waiver Claims	2012
10/17/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(93,733.13)	204	HRA Waiver Claims	2012
10/18/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(116,384.88)	204	HRA Waiver Claims	2012
10/19/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(102,814.35)	204	HRA Waiver Claims	2012
10/22/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(207,331.23)	204	HRA Waiver Claims	2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/23/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(45,723.65)	204	HRA Waiver Claims	2012
10/29/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(551,507.81)	204	HRA Waiver Claims	2012
10/30/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(43,752.95)	204	HRA Waiver Claims	2012
10/02/12	EXPRESS SCRIPTS 10/02/12	(6,944,588.35)	301	Pharmacy Claims	2012
10/09/12	EXPRESS SCRIPTS 10/09/12	(5,807,006.38)	301	Pharmacy Claims	2012
10/16/12	EXPRESS SCRIPTS 10/16/12	(7,263,358.99)	301	Pharmacy Claims	2012
10/23/12	EXPRESS SCRIPTS 10/23/12	(6,746,050.14)	301	Pharmacy Claims	2012
10/30/12	EXPRESS SCRIPTS 10/30/12	(6,719,568.77)	301	Pharmacy Claims	2012
09/27/12	EXPRESS SCRIPTS REFUND	2,508.51	302	Express Scripts Admin	2012
10/03/12	EXPRESS SCRIPTS 09/25/12	(126,192.70)	302	Express Scripts Admin	2012
10/03/12	EXPRESS SCRIPTS 10/02/12	(132,959.27)	302	Express Scripts Admin	2012
10/12/12	EXPRESS SCRIPTS 10/09/12	(106,230.70)	302	Express Scripts Admin	2012
10/12/12	EXPRESS SCRIPTS 10/09/12 - COUNTS	(208,374.02)	302	Express Scripts Admin	2012
10/19/12	EXPRESS SCRIPTS 10/16/12	(151,811.52)	302	Express Scripts Admin	2012
10/19/12	EXPRESS SCRIPTS 10/16/12 VACCINE PROG 8/2012	(1,325.00)	302	Express Scripts Admin	2012
10/02/12	2ND QTR 2013 ADMINISTRATION FEE	(2,057,400.00)	501	Operating Transfer/Exp & Adj	2012
10/12/12	1ST QTR DEI ATTORNEY COSTS	(35,495.54)	501	Operating Transfer/Exp & Adj	2012
October Activity		\$43,387,543.26	*		
Ending Balance		\$128,216,504.27			

October Account Summary		
101	Premiums	189,639,264.02
102	HRA Waiver Contribution	7,029,503.50
110	Interest Income	21,216.67
120	Drug Rebates	0.00
130	Transfers From other plan year(s)	0.00
201	Medical Claims	(107,430,419.92)
202	Humana Admin	(5,762,839.68)
203	HRA Select Claims	(1,031,776.76)
204	HRA Waiver Claims	(2,764,015.64)
301	Pharmacy Claims	(33,495,540.48)
302	Express Scripts Admin	(724,952.91)
500	Transfers To other plan year(s)	0.00
501	Other Payments & Adj	(2,092,895.54)
Account Activity – October 2012		43,387,543.26

* Please note that the August 2012 KDE health insurance premium payment of \$52,467,299.39 one would expect to see included in the September 2012 premiums was not submitted in eMARS until October 2012. If this payment had been included in the September 2012 health insurance premiums the Cash Transactions balance for October 2012 would have been (9,079,756.13).

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
	<u>November Beginning Balance</u>	<u>\$128,216,504.27</u>			
12/04/12	INTEREST DISTRIBUTION NOV 2012	12,038.35	110	Interest Income	2010
11/05/12	UNCLAIMED PROP ESCHEATED CHECKS FOR HEALTH	(11,696.72)	201	Medical Claims	2010
11/01/12	MEDICAL CLAIMS 2010	(1,100.14)	201	Medical Claims	2010
11/02/12	MEDICAL CLAIMS 2010	(1,677.42)	201	Medical Claims	2010
11/05/12	MEDICAL CLAIMS 2010	(316.15)	201	Medical Claims	2010
11/07/12	MEDICAL CLAIMS 2010	(5,355.26)	201	Medical Claims	2010
11/08/12	MEDICAL CLAIMS 2010	(20,067.50)	201	Medical Claims	2010
11/09/12	MEDICAL CLAIMS 2010	(11,550.43)	201	Medical Claims	2010
11/13/12	MEDICAL CLAIMS 2010	(2,577.23)	201	Medical Claims	2010
11/14/12	MEDICAL CLAIMS 2010	1,534.29	201	Medical Claims	2010
11/15/12	MEDICAL CLAIMS 2010	(2,666.81)	201	Medical Claims	2010
11/16/12	MEDICAL CLAIMS 2010	(5,256.84)	201	Medical Claims	2010
11/19/12	MEDICAL CLAIMS 2010	(331.61)	201	Medical Claims	2010
11/20/12	MEDICAL CLAIMS 2010	(1,428.52)	201	Medical Claims	2010
11/21/12	MEDICAL CLAIMS 2010	1,609.42	201	Medical Claims	2010
11/26/12	MEDICAL CLAIMS 2010	2,919.24	201	Medical Claims	2010
11/27/12	MEDICAL CLAIMS 2010	(649.95)	201	Medical Claims	2010
11/28/12	MEDICAL CLAIMS 2010	(32.49)	201	Medical Claims	2010
11/29/12	MEDICAL CLAIMS 2010	(365.53)	201	Medical Claims	2010
11/16/12	CORRECT CLAIM DISBURSEMENT PLAN YEAR	29,320.38	203	HRA Select Claims	2010
11/14/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(29,320.38)	203	HRA Select Claims	2010
11/05/12	UNCLAIMED PROP ESCHEATED CHECKS FOR HRA	(12,372.12)	204	HRA Waiver Claims	2010
11/16/12	CORRECT CLAIM DISBURSEMENT PLAN YEAR	60,100.74	204	HRA Waiver Claims	2010
11/08/12	HRA CLAIM PAYMENTS (HRA WAIVER 2010)	23.59	204	HRA Waiver Claims	2010
11/14/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(60,100.74)	204	HRA Waiver Claims	2010
11/28/12	HRA CLAIM PAYMENTS (HRA WAIVER 2010)	240.12	204	HRA Waiver Claims	2010
11/07/12	EXPRESS SCRIPTS 11/6/12	(2,963.10)	301	Pharmacy Claims	2010
11/13/12	EXPRESS SCRIPTS 11/13/12	(548.05)	301	Pharmacy Claims	2010
11/13/12	EXPRESS SCRIPTS 11/13/12	165.63	301	Pharmacy Claims	2010
11/07/12	HEALTH PREMIUMS	418.66	101	Premiums	2011
11/19/12	HEALTH PREMIUMS	781.05	101	Premiums	2011
11/26/12	HEALTH PREMIUMS	47.68	101	Premiums	2011
11/29/12	HLTH INS REFUND	(61.52)	101	Premiums	2011
11/05/12	HLTH INS REFUND	(29.98)	101	Premiums	2011
11/05/12	HLTH INS REFUND	(457.01)	101	Premiums	2011

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
11/29/12	HLTH INS REFUND	(30.76)	101	Premiums	2011
11/05/12	HLTH INS REFUND	(953.00)	101	Premiums	2011
11/05/12	HLTH INS REFUND	(61.52)	101	Premiums	2011
11/05/12	HLTH INS REFUND	(61.52)	101	Premiums	2011
11/29/12	HLTH INS REFUND	(61.52)	101	Premiums	2011
11/05/12	HLTH INS REFUND	(68.40)	101	Premiums	2011
11/29/12	HLTH INS REFUND	(68.40)	101	Premiums	2011
11/05/12	HLTH INS REFUND	(454.51)	101	Premiums	2011
11/05/12	HLTH INS REFUND	(61.52)	101	Premiums	2011
11/05/12	HLTH INS REFUND	(36.54)	101	Premiums	2011
11/29/12	HLTH INS REFUND	(61.52)	101	Premiums	2011
11/05/12	HLTH INS REFUND	(36.54)	101	Premiums	2011
11/29/12	HLTH INS REFUND	(68.40)	101	Premiums	2011
11/05/12	HLTH INS REFUND	(1,405.66)	101	Premiums	2011
11/29/12	HLTH INS REFUND	(327.65)	101	Premiums	2011
11/29/12	HLTH INS REFUND	(18.27)	101	Premiums	2011
11/30/12	HLTH INS REFUND	(18.27)	101	Premiums	2011
11/30/12	HLTH INS REFUND	(331.21)	101	Premiums	2011
11/30/12	HLTH INS REFUND	(711.19)	101	Premiums	2011
11/16/12	CORRECT DEPOSIT FUND ALLOCATION, PLAN YEARS	(452.71)	101	Premiums	2011
12/04/12	INTEREST DISTRIBUTION NOV 2012	10,167.24	110	Interest Income	2011
10/31/12	MEDICAL CLAIMS 2011	(10,711.64)	201	Medical Claims	2011
11/01/12	MEDICAL CLAIMS 2011	(23,959.07)	201	Medical Claims	2011
11/02/12	MEDICAL CLAIMS 2011	(12,150.96)	201	Medical Claims	2011
11/05/12	MEDICAL CLAIMS 2011	(1,754.16)	201	Medical Claims	2011
11/07/12	MEDICAL CLAIMS 2011	(70,700.35)	201	Medical Claims	2011
11/08/12	MEDICAL CLAIMS 2011	(12,327.03)	201	Medical Claims	2011
11/09/12	MEDICAL CLAIMS 2011	(17,678.34)	201	Medical Claims	2011
11/13/12	MEDICAL CLAIMS 2011	(33,768.58)	201	Medical Claims	2011
11/14/12	MEDICAL CLAIMS 2011	(7,922.72)	201	Medical Claims	2011
11/15/12	MEDICAL CLAIMS 2011	(68,541.30)	201	Medical Claims	2011
11/16/12	MEDICAL CLAIMS 2011	(17,878.55)	201	Medical Claims	2011
11/19/12	MEDICAL CLAIMS 2011	(44,678.21)	201	Medical Claims	2011
11/20/12	MEDICAL CLAIMS 2011	(22,334.42)	201	Medical Claims	2011
11/21/12	MEDICAL CLAIMS 2011	(14,170.57)	201	Medical Claims	2011
11/26/12	MEDICAL CLAIMS 2011	(68,528.78)	201	Medical Claims	2011
11/27/12	MEDICAL CLAIMS 2011	(23,446.30)	201	Medical Claims	2011
11/28/12	MEDICAL CLAIMS 2011	(17,015.17)	201	Medical Claims	2011
11/29/12	MEDICAL CLAIMS 2011	(41,164.44)	201	Medical Claims	2011
11/05/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	493.75	203	HRA Select Claims	2011
11/07/12	HRA CLAIM PAYMENTS (HRA SELECT	(253.44)	203	HRA Select Claims	2011

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
	2011)				
11/08/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(226.46)	203	HRA Select Claims	2011
11/09/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(184.52)	203	HRA Select Claims	2011
11/20/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(106.84)	203	HRA Select Claims	2011
11/21/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(76.30)	203	HRA Select Claims	2011
11/28/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(133.76)	203	HRA Select Claims	2011
11/29/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(557.86)	203	HRA Select Claims	2011
10/31/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(760.79)	204	HRA Waiver Claims	2011
11/01/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	109.76	204	HRA Waiver Claims	2011
11/02/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(127.50)	204	HRA Waiver Claims	2011
11/05/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(20.00)	204	HRA Waiver Claims	2011
11/07/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(898.24)	204	HRA Waiver Claims	2011
11/08/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	250.10	204	HRA Waiver Claims	2011
11/09/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(3,213.53)	204	HRA Waiver Claims	2011
11/13/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(2,709.98)	204	HRA Waiver Claims	2011
11/16/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(25.00)	204	HRA Waiver Claims	2011
11/19/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(233.77)	204	HRA Waiver Claims	2011
11/20/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	312.00	204	HRA Waiver Claims	2011
11/21/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(403.00)	204	HRA Waiver Claims	2011
11/26/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(242.40)	204	HRA Waiver Claims	2011
11/28/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(134.24)	204	HRA Waiver Claims	2011
11/29/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(1,044.12)	204	HRA Waiver Claims	2011
11/07/12	EXPRESS SCRIPTS 11/6/12	503.59	301	Pharmacy Claims	2011
11/13/12	EXPRESS SCRIPTS 11/13/12	(4,586.10)	301	Pharmacy Claims	2011
11/20/12	EXPRESS SCRIPTS 11/20/12	(10,416.83)	301	Pharmacy Claims	2011
11/08/12	UPPS PAYROLL	12,586,304.63	101	Premiums	2012
11/27/12	UPPS PAYROLL	12,648,705.12	101	Premiums	2012
11/21/12	UPPS PAYROLL	97.80	101	Premiums	2012
11/01/12	UPPS PAYROLL	398.95	101	Premiums	2012
11/15/12	UPPS PAYROLL	2,231.85	101	Premiums	2012
11/18/12	UPPS PAYROLL	(2,247.59)	101	Premiums	2012
11/21/12	UPPS PAYROLL	(1,196.85)	101	Premiums	2012
11/30/12	UPPS PAYROLL	4,361.83	101	Premiums	2012
11/16/12	EPAY-HEALTH PREMIUMS 2012	171,587.02	101	Premiums	2012
10/23/12	KRS #31100 10/12 HEALTH PREMIUM	170,645.49	101	Premiums	2012
10/31/12	EPAY-HEALTH PREMIUMS 2012	87,486.60	101	Premiums	2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/31/12	EPAY-LIFE INS. 2012 CODED TO HEALTH	289.86	101	Premiums	2012
10/31/12	EPAY-HRA QUASI 2012 CODED TO HEALTH	350.00	101	Premiums	2012
10/31/12	EPAY-HEALTH PREMIUMS 2012	48,051.71	101	Premiums	2012
11/01/12	HEALTH PREMIUMS	1,101,385.14	101	Premiums	2012
11/02/12	HEALTH PREMIUMS	720,727.17	101	Premiums	2012
11/05/12	HEALTH PREMIUMS	1,013,867.03	101	Premiums	2012
11/07/12	HEALTH PREMIUMS	952,161.87	101	Premiums	2012
11/08/12	EPAY-HEALTH PREMIUMS 2012	349,097.44	101	Premiums	2012
11/08/12	HEALTH PREMIUMS	911,385.99	101	Premiums	2012
11/09/12	EPAY-HRA QUASI 2012	1,050.00	101	Premiums	2012
11/09/12	EPAY-HEALTH PREMIUMS 2012	270,507.92	101	Premiums	2012
11/09/12	JEFFERSON CO BOE OCT 2012 HEALTH	1,511,907.47	101	Premiums	2012
11/09/12	HRA PREMIUMS CODED TO HEALTH	350.00	101	Premiums	2012
11/09/12	HEALTH PREMIUMS	484,189.75	101	Premiums	2012
11/09/12	EPAY-HEALTH PREMIUMS 2012	169,946.68	101	Premiums	2012
11/09/12	EPAY-HEALTH PREMIUMS 2012	145,425.54	101	Premiums	2012
11/09/12	EPAY-HEALTH PREMIUMS 2012	63,175.72	101	Premiums	2012
11/13/12	HEALTH PREMIUMS	465,279.98	101	Premiums	2012
11/14/12	HEALTH PREMIUMS	290,510.47	101	Premiums	2012
11/14/12	LIFE INS. 2012 CODED TO HEALTH	4,407.40	101	Premiums	2012
11/15/12	KRS #31100 11/12 HEALTH PREMIUM	15,566.95	101	Premiums	2012
11/15/12	HEALTH PREMIUMS	276,623.13	101	Premiums	2012
11/16/12	EPAY-HEALTH PREMIUMS 2012	17,550.20	101	Premiums	2012
11/20/12	EPAY-HRA QUASI 2012	525.00	101	Premiums	2012
11/20/12	EPAY-HEALTH PREMIUMS 2012	204,106.60	101	Premiums	2012
11/19/12	HEALTH PREMIUMS	1,109,278.88	101	Premiums	2012
11/20/12	COBRA PREMIUMS OCT 2012	261,034.27	101	Premiums	2012
11/20/12	EPAY-HRA QUASI 2012	175.00	101	Premiums	2012
11/20/12	EPAY-HEALTH PREMIUMS 2012	39,002.28	101	Premiums	2012
11/20/12	EPAY-HEALTH PREMIUMS 2012	13,753.52	101	Premiums	2012
11/20/12	EPAY-HRA QUASI 2012	350.00	101	Premiums	2012
11/20/12	EPAY-HEALTH PREMIUMS 2012	72,086.70	101	Premiums	2012
11/20/12	EPAY-HRA QUASI 2012	350.00	101	Premiums	2012
11/20/12	HEALTH PREMIUMS	585,901.08	101	Premiums	2012
11/20/12	EPAY-HEALTH PREMIUMS 2012	126,140.39	101	Premiums	2012
11/20/12	EPAY-HEALTH PREMIUMS 2012	122,774.42	101	Premiums	2012
11/20/12	EPAY-HEALTH PREMIUMS 2012	66,120.10	101	Premiums	2012
11/20/12	EPAY-HEALTH PREMIUMS 2012	14,559.62	101	Premiums	2012
11/20/12	EPAY-HRA QUASI 2012	175.00	101	Premiums	2012
11/21/12	HEALTH PREMIUMS	1,403,373.05	101	Premiums	2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
11/26/12	HEALTH PREMIUMS	489,444.48	101	Premiums	2012
11/27/12	EPAY-HEALTH PREMIUMS 2012	8,258.18	101	Premiums	2012
11/27/12	HEALTH PREMIUMS	586,742.99	101	Premiums	2012
11/27/12	EPAY-HEALTH PREMIUMS 2012	163,194.18	101	Premiums	2012
11/27/12	EPAY-HRA QUASI 2012	350.00	101	Premiums	2012
11/27/12	EPAY-HRA QUASI 2012	350.00	101	Premiums	2012
11/27/12	EPAY-HEALTH PREMIUMS 2012	126,992.46	101	Premiums	2012
11/28/12	HEALTH PREMIUMS	322,587.03	101	Premiums	2012
11/29/12	HEALTH PREMIUMS	397,189.22	101	Premiums	2012
11/29/12	EPAY-HRA QUASI 2012	525.00	101	Premiums	2012
11/29/12	EPAY-HEALTH PREMIUMS 2012	81,071.14	101	Premiums	2012
11/29/12	EPAY-HRA QUASI 2012	1,225.00	101	Premiums	2012
11/29/12	EPAY-HEALTH PREMIUMS 2012	202,267.83	101	Premiums	2012
11/29/12	KCTCS #45470/81000 PREMIUM PAYMENT NOV 2012	3,255,465.06	101	Premiums	2012
11/05/12	HLTH INS REFUND	(30.74)	101	Premiums	2012
11/05/12	HLTH INS REFUND	(61.52)	101	Premiums	2012
11/05/12	HLTH INS REFUND	(30.74)	101	Premiums	2012
11/29/12	HLTH INS REFUND	(319.08)	101	Premiums	2012
11/05/12	HLTH INS REFUND	(62.74)	101	Premiums	2012
11/05/12	HLTH INS REFUND	(319.08)	101	Premiums	2012
11/29/12	HLTH INS REFUND	(1,326.60)	101	Premiums	2012
11/29/12	HLTH INS REFUND	(68.40)	101	Premiums	2012
11/29/12	HLTH INS REFUND	(205.20)	101	Premiums	2012
11/05/12	HLTH INS REFUND	(319.08)	101	Premiums	2012
11/29/12	HLTH INS REFUND	(18.62)	101	Premiums	2012
11/29/12	HLTH INS REFUND	(668.66)	101	Premiums	2012
11/05/12	HLTH INS REFUND	(922.88)	101	Premiums	2012
11/29/12	HLTH INS REFUND	(18.62)	101	Premiums	2012
11/29/12	HLTH INS REFUND	(623.04)	101	Premiums	2012
11/29/12	HLTH INS REFUND	(302.52)	101	Premiums	2012
11/30/12	HLTH INS REFUND	(324.29)	101	Premiums	2012
11/01/12	KDE PREMIUMS OCT 2012 BILL PERIOD	53,096,064.07	101	Premiums	2012
11/07/12	KRS 11/12 PREMIUMS	23,138,405.00	101	Premiums	2012
11/20/12	KTRS 11/12 PREMIUMS	11,779,562.45	101	Premiums	2012
11/16/12	CORRECT DEPOSIT FUND ALLOCATION, PLAN YEARS	452.71	101	Premiums	2012
11/16/12	CORRECT DEPOSIT FUND ALLOCATION, PLAN YEARS	(9,082.96)	101	Premiums	2012
11/19/12	CORRECT DEPOSIT FUND ALLOCATION	(613.78)	101	Premiums	2012
11/21/12	CORRECT DEPOSIT FUND ALLOCATION	2,358.73	101	Premiums	2012
11/21/12	CORRECT DEPOSIT FUND ALLOCATION	(1,050.00)	101	Premiums	2012
11/26/12	CORRECT DEPOSIT FUND	(639.86)	101	Premiums	2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
	ALLOCATION				
11/29/12	CORRECT DEPOSIT FUND ALLOCATION	(582.90)	101	Premiums	2012
11/08/12	UPPS PAYROLL	349,300.00	102	HRA Waiver Contribution	2012
11/27/12	UPPS PAYROLL	353,850.00	102	HRA Waiver Contribution	2012
11/21/12	UPPS PAYROLL	(87.50)	102	HRA Waiver Contribution	2012
11/01/12	UPPS PAYROLL	87.50	102	HRA Waiver Contribution	2012
11/15/12	UPPS PAYROLL	87.50	102	HRA Waiver Contribution	2012
11/30/12	UPPS PAYROLL	175.00	102	HRA Waiver Contribution	2012
11/02/12	HRA PREMIUMS	1,400.00	102	HRA Waiver Contribution	2012
11/05/12	HRA QUASI DEPOSIT	175.00	102	HRA Waiver Contribution	2012
11/07/12	HRA QUASI DEPOSIT	1,312.50	102	HRA Waiver Contribution	2012
11/19/12	HRA PREMIUMS	1,925.00	102	HRA Waiver Contribution	2012
11/20/12	HRA QUASI DEPOSIT	525.00	102	HRA Waiver Contribution	2012
11/26/12	HRA PREMIUMS	175.00	102	HRA Waiver Contribution	2012
11/27/12	HRA PREMIUMS	1,225.00	102	HRA Waiver Contribution	2012
11/30/12	KDE D&V HRA NOV 2012	36,050.00	102	HRA Waiver Contribution	2012
11/30/12	KDE HRA WAIVER NOV 2012	3,242,362.86	102	HRA Waiver Contribution	2012
11/16/12	CORRECT DEPOSIT FUND ALLOCATION, PLAN YEARS	1,050.00	102	HRA Waiver Contribution	2012
11/21/12	CORRECT EPAY DEPOSIT FUND ALLOCATION	350.00	102	HRA Waiver Contribution	2012
11/21/12	CORRECT EPAY DEPOSIT FUND ALLOCATION	175.00	102	HRA Waiver Contribution	2012
11/21/12	CORRECT EPAY DEPOSIT FUND ALLOCATION	525.00	102	HRA Waiver Contribution	2012
11/26/12	CORRECT DEPOSIT FUND ALLOCATION	350.00	102	HRA Waiver Contribution	2012
12/04/12	INTEREST DISTRIBUTION NOV 2012	5,425.11	110	Interest Income	2012
10/31/12	MEDICAL CLAIMS 2012	(2,080,911.33)	201	Medical Claims	2012
11/01/12	MEDICAL CLAIMS 2012	(8,884,528.96)	201	Medical Claims	2012
11/02/12	MEDICAL CLAIMS 2012	(1,414,651.20)	201	Medical Claims	2012
11/05/12	MEDICAL CLAIMS 2012	(1,840,000.00)	201	Medical Claims	2012
11/05/12	MEDICAL CLAIMS 2012	(5,928,063.85)	201	Medical Claims	2012
11/07/12	MEDICAL CLAIMS 2012	(7,937,532.77)	201	Medical Claims	2012
11/07/12	MEDICAL CLAIMS 2012	1,840,000.00	201	Medical Claims	2012
11/08/12	MEDICAL CLAIMS 2012	(3,009,348.28)	201	Medical Claims	2012
11/09/12	MEDICAL CLAIMS 2012	(8,295,210.18)	201	Medical Claims	2012
11/13/12	MEDICAL CLAIMS 2012	(14,153,725.84)	201	Medical Claims	2012
11/14/12	MEDICAL CLAIMS 2012	(1,859,326.29)	201	Medical Claims	2012
11/15/12	MEDICAL CLAIMS 2012	(4,292,828.33)	201	Medical Claims	2012
11/16/12	MEDICAL CLAIMS 2012	(7,781,849.39)	201	Medical Claims	2012
11/19/12	MEDICAL CLAIMS 2012	(9,090,254.84)	201	Medical Claims	2012
11/20/12	MEDICAL CLAIMS 2012	(1,984,999.18)	201	Medical Claims	2012
11/21/12	MEDICAL CLAIMS 2012	(1,941,407.78)	201	Medical Claims	2012
11/26/12	MEDICAL CLAIMS 2012	(16,278,039.39)	201	Medical Claims	2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
11/27/12	MEDICAL CLAIMS 2012	(4,898,551.85)	201	Medical Claims	2012
11/28/12	MEDICAL CLAIMS 2012	(1,367,652.79)	201	Medical Claims	2012
11/29/12	MEDICAL CLAIMS 2012	(3,000,657.00)	201	Medical Claims	2012
11/16/12	FIRST ONSITE LLC - 10/12	(44,472.77)	201	Medical Claims	2012
11/30/12	KDE NOV 2012 ASO FEE PMT	20,005.76	202	Humana Admin	2012
11/16/12	HUMANA ASO FEES 11/12 CORRECT CLAIM DISBURSEMENT	(5,795,474.23)	202	Humana Admin	2012
11/16/12	PLAN YEAR	(29,320.38)	203	HRA Select Claims	2012
10/31/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(31,233.68)	203	HRA Select Claims	2012
11/01/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(43,344.53)	203	HRA Select Claims	2012
11/02/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(30,248.86)	203	HRA Select Claims	2012
11/05/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(300,000.00)	203	HRA Select Claims	2012
11/05/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(70,880.42)	203	HRA Select Claims	2012
11/07/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	300,000.00	203	HRA Select Claims	2012
11/07/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(62,098.09)	203	HRA Select Claims	2012
11/08/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(65,708.19)	203	HRA Select Claims	2012
11/09/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(55,482.10)	203	HRA Select Claims	2012
11/13/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(117,010.66)	203	HRA Select Claims	2012
11/15/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(39,210.24)	203	HRA Select Claims	2012
11/16/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(46,962.36)	203	HRA Select Claims	2012
11/19/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(97,840.45)	203	HRA Select Claims	2012
11/20/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(18,503.54)	203	HRA Select Claims	2012
11/21/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(300,000.00)	203	HRA Select Claims	2012
11/21/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(34,003.47)	203	HRA Select Claims	2012
11/26/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	300,000.00	203	HRA Select Claims	2012
11/26/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(129,040.44)	203	HRA Select Claims	2012
11/27/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(6,246.47)	203	HRA Select Claims	2012
11/28/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(43,644.71)	203	HRA Select Claims	2012
11/29/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(48,877.44)	203	HRA Select Claims	2012
11/16/12	CORRECT CLAIM DISBURSEMENT PLAN YEAR	(60,100.74)	204	HRA Waiver Claims	2012
10/31/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(95,587.07)	204	HRA Waiver Claims	2012
11/01/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(113,798.81)	204	HRA Waiver Claims	2012
11/02/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(78,954.46)	204	HRA Waiver Claims	2012
11/05/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(190,517.51)	204	HRA Waiver Claims	2012
11/07/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(205,545.40)	204	HRA Waiver Claims	2012
11/08/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(162,796.45)	204	HRA Waiver Claims	2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
11/09/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(128,569.83)	204	HRA Waiver Claims	2012
11/13/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(300,388.57)	204	HRA Waiver Claims	2012
11/15/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(137,232.67)	204	HRA Waiver Claims	2012
11/16/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(137,720.19)	204	HRA Waiver Claims	2012
11/19/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(246,620.23)	204	HRA Waiver Claims	2012
11/20/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(76,889.67)	204	HRA Waiver Claims	2012
11/21/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(97,778.21)	204	HRA Waiver Claims	2012
11/26/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(364,190.04)	204	HRA Waiver Claims	2012
11/27/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(68,506.38)	204	HRA Waiver Claims	2012
11/28/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(96,893.47)	204	HRA Waiver Claims	2012
11/29/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(143,612.37)	204	HRA Waiver Claims	2012
11/07/12	EXPRESS SCRIPTS 11/6/12	(6,660,507.23)	301	Pharmacy Claims	2012
11/13/12	EXPRESS SCRIPTS 11/13/12	(6,878,410.82)	301	Pharmacy Claims	2012
11/20/12	EXPRESS SCRIPTS 11/20/12	(7,067,024.12)	301	Pharmacy Claims	2012
11/27/12	EXPRESS SCRIPTS 11/27/12	(5,762,561.66)	301	Pharmacy Claims	2012
11/19/12	TRUVEN HEALTH ANALYTICS - ENROLLMENT MAILING	(240,104.07)	501	Adj Operating Transfer/Exp &	2012
11/19/12	TRUVEN HEALTH ANALYTICS - ENROLLMENT MAILING	(49,999.93)	501	Adj Operating Transfer/Exp &	2012
November Activity		(128,569.83)			
Ending Balance		\$123,406,033.93			

November Account Summary		
101	Premiums	132,132,212.17
102	HRA Waiver Contribution	3,991,012.86
110	Interest Income	27,630.70
120	Drug Rebates	0.00
130	Transfers From other plan year(s)	0.00
201	Medical Claims	(104,811,752.26)
202	Humana Admin	(5,775,468.47)
203	HRA Select Claims	(970,701.46)
204	HRA Waiver Claims	(2,726,951.19)
301	Pharmacy Claims	(26,386,348.69)
302	Express Scripts Admin	0.00
500	Transfers To other plan year(s)	0.00
501	Other Payments & Adj	(290,104.00)
Account Activity – November 2012		(4,810,470.34)

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
	<u>December Beginning Balance</u>	<u>\$123,406,033.93</u>			
01/03/13	INTEREST DISTRIBUTION DEC 2012	8,613.38	110	Interest Income	2010
12/20/12	MEDICAL ESCHEATS 8/31	(537.94)	201	Medical Claims	2010
12/20/12	MEDICAL CLAIM RECOVERY 07/2012	316,210.18	201	Medical Claims	2010
12/20/12	MEDICAL CLAIM RECOVERY 8/2012	318,013.18	201	Medical Claims	2010
12/20/12	MEDICAL VOIDS 8/2012	1,301.10	201	Medical Claims	2010
12/20/12	MEDICAL CLAIM RECOVERY 09/2012	383,937.15	201	Medical Claims	2010
12/20/12	MEDICAL VOIDS 9/2012	(12.53)	201	Medical Claims	2010
12/20/12	MEDICAL ESCHEATS 9/2012	2,586.80	201	Medical Claims	2010
11/30/12	MEDICAL CLAIMS 2010	(1,452.23)	201	Medical Claims	2010
12/03/12	MEDICAL CLAIMS 2010	2,135.85	201	Medical Claims	2010
12/04/12	MEDICAL CLAIMS 2010	2,969.32	201	Medical Claims	2010
12/05/12	MEDICAL CLAIMS 2010	1,254.02	201	Medical Claims	2010
12/06/12	MEDICAL CLAIMS 2010	(70.08)	201	Medical Claims	2010
12/07/12	MEDICAL CLAIMS 2010	(4,717.45)	201	Medical Claims	2010
12/10/12	MEDICAL CLAIMS 2010	(95.01)	201	Medical Claims	2010
12/11/12	MEDICAL CLAIMS 2010	(2,744.12)	201	Medical Claims	2010
12/12/12	MEDICAL CLAIMS 2010	(85.22)	201	Medical Claims	2010
12/13/12	MEDICAL CLAIMS 2010	(15.71)	201	Medical Claims	2010
12/14/12	MEDICAL CLAIMS 2010	(14,472.44)	201	Medical Claims	2010
12/17/12	MEDICAL CLAIMS 2010	(302.28)	201	Medical Claims	2010
12/18/12	MEDICAL CLAIMS 2010	(3,688.94)	201	Medical Claims	2010
12/19/12	MEDICAL CLAIMS 2010	(3,677.02)	201	Medical Claims	2010
12/20/12	MEDICAL CLAIMS 2010	(7,989.74)	201	Medical Claims	2010
12/21/12	MEDICAL CLAIMS 2010	(5,187.10)	201	Medical Claims	2010
12/26/12	MEDICAL CLAIMS 2010	(425.35)	201	Medical Claims	2010
12/10/12	HUMANA SHARED SAVINGS 10/2012	(27.37)	202	Humana Admin	2010
12/10/12	HUMANA SHARED SAVINGS 09/2012	(7.40)	202	Humana Admin	2010
12/10/12	HUMANA SHARED SAVINGS 08/2012	(8.05)	202	Humana Admin	2010
12/14/12	OCT 2012 HRA SELECT ESCHEATED CHECKS PY 2010	5.38	203	HRA Select Claims	2010
12/07/12	SEPT 2012 HRA WAIVER ESCHEATED CHECKS PY 2010	10.00	204	HRA Waiver Claims	2010
12/14/12	HRA WAIVER CLAIM RECOVERY OCT 2012 PY 2010	852.63	204	HRA Waiver Claims	2010
12/14/12	OCT 2012 HRA WAIVER VOIDS PY 2010	70.00	204	HRA Waiver Claims	2010
12/14/12	HRA WAIVER CLAIM RECOVERY SEPT 2012 PY 2010	68.60	204	HRA Waiver Claims	2010
12/18/12	HRA CLAIM PAYMENTS (HRA WAIVER 2010)	(16.69)	204	HRA Waiver Claims	2010
12/04/12	EXPRESS SCRIPTS 12/4/12	(159.24)	301	Pharmacy Claims	2010
12/04/12	EXPRESS SCRIPTS 10/23/12	(5.56)	302	Express Scripts Admin	2010
12/04/12	EXPRESS SCRIPTS 11/06/12	(42.50)	302	Express Scripts Admin	2010
12/04/12	EXPRESS SCRIPTS 11/13/12	(27.50)	302	Express Scripts Admin	2010
12/10/12	EXPRESS SCRIPTS 12/04/12	(26.39)	302	Express Scripts Admin	2010

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
12/04/12	HEALTH PREMIUMS	486.40	101	Premiums	2011
12/05/12	HEALTH PREMIUMS	312.84	101	Premiums	2011
12/12/12	HEALTH PREMIUMS	659.88	101	Premiums	2011
12/14/12	HEALTH PREMIUMS	396.36	101	Premiums	2011
12/18/12	HEALTH PREMIUMS	93.12	101	Premiums	2011
12/26/12	HEALTH PREMIUMS	6,019.51	101	Premiums	2011
12/27/12	HEALTH PREMIUMS	20,411.04	101	Premiums	2011
12/06/12	HLTH INS REFUND	(1,275.98)	101	Premiums	2011
01/03/13	INTEREST DISTRIBUTION DEC 2012	7,201.27	110	Interest Income	2011
12/14/12	INTEREST EARNED @ BOA 3RD QTR 2012 HRA SELECT PLAN YEAR 2011	0.01	110	Interest Income	2011
12/14/12	INTEREST EARNED @ BOA 3RD QTR 2012 HRA WAIVER PLAN YEAR 11	0.18	110	Interest Income	2011
12/20/12	3RD QTR 2012 INTEREST - PNC PY 2011	32.39	110	Interest Income	2011
12/20/12	MEDICAL CLAIM RECOVERY 8/2012	1,263,842.45	201	Medical Claims	2011
12/20/12	MEDICAL CLAIM RECOVERY 09/2012	823,425.44	201	Medical Claims	2011
12/20/12	MEDICAL CLAIM RECOVERY 8/2012	972,227.84	201	Medical Claims	2011
12/20/12	MEDICAL CLAIM RECOVERY 07/2012	832,557.09	201	Medical Claims	2011
12/20/12	MEDICAL VOIDS 9/2012	1,350.96	201	Medical Claims	2011
12/20/12	MEDICAL ESCHEATS 8/31	7,938.56	201	Medical Claims	2011
12/20/12	MEDICAL VOIDS 8/2012	3,331.50	201	Medical Claims	2011
12/20/12	MEDICAL ESCHEATS 9/30	81,848.65	201	Medical Claims	2011
11/30/12	MEDICAL CLAIMS 2011	(12,787.85)	201	Medical Claims	2011
12/03/12	MEDICAL CLAIMS 2011	(20,202.45)	201	Medical Claims	2011
12/04/12	MEDICAL CLAIMS 2011	(10,421.42)	201	Medical Claims	2011
12/05/12	MEDICAL CLAIMS 2011	(3,733.13)	201	Medical Claims	2011
12/06/12	MEDICAL CLAIMS 2011	(12,024.78)	201	Medical Claims	2011
12/07/12	MEDICAL CLAIMS 2011	(44,256.92)	201	Medical Claims	2011
12/10/12	MEDICAL CLAIMS 2011	(46,067.22)	201	Medical Claims	2011
12/11/12	MEDICAL CLAIMS 2011	(33,777.81)	201	Medical Claims	2011
12/12/12	MEDICAL CLAIMS 2011	(5,584.28)	201	Medical Claims	2011
12/13/12	MEDICAL CLAIMS 2011	(95,064.82)	201	Medical Claims	2011
12/14/12	MEDICAL CLAIMS 2011	(51,828.27)	201	Medical Claims	2011
12/17/12	MEDICAL CLAIMS 2011	(15,495.38)	201	Medical Claims	2011
12/18/12	MEDICAL CLAIMS 2011	(4,409.05)	201	Medical Claims	2011
12/19/12	MEDICAL CLAIMS 2011	(43,564.47)	201	Medical Claims	2011
12/20/12	MEDICAL CLAIMS 2011	(15,129.36)	201	Medical Claims	2011
12/21/12	MEDICAL CLAIMS 2011	(1,274.69)	201	Medical Claims	2011
12/26/12	MEDICAL CLAIMS 2011	(12,761.65)	201	Medical Claims	2011
12/27/12	MEDICAL CLAIMS 2011	(281.90)	201	Medical Claims	2011
12/10/12	HUMANA SHARED SAVINGS 10/2012	(4,850.50)	202	Humana Admin	2011
12/10/12	HUMANA SHARED SAVINGS 09/2012	(15,410.26)	202	Humana Admin	2011

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
12/10/12	HUMANA SHARED SAVINGS 08/2012	(4,535.14)	202	Humana Admin	2011
12/07/12	SEPT 2012 HRA SELECT VOIDS PY 2011	29.59	203	HRA Select Claims	2011
12/07/12	SEPT 2012 HRA SELECT ESCHEATED CHECKS PY 2011	151.01	203	HRA Select Claims	2011
12/14/12	HRA SELECT CLAIM RECOVERY SEPT 2012 PY 2011	150.98	203	HRA Select Claims	2011
12/14/12	HRA SELECT CLAIM RECOVERY OCT 2012 PY 2011	2,353.11	203	HRA Select Claims	2011
12/14/12	OCT 2012 HRA SELECT ESCHEATED CHECKS PY 2011	27.29	203	HRA Select Claims	2011
12/14/12	OCT 2012 HRA SELECT VOIDS PY 2011	3.68	203	HRA Select Claims	2011
12/20/12	CORRECT CLAIM ALLOCATIONS	(127.50)	203	HRA Select Claims	2011
11/30/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(264.02)	203	HRA Select Claims	2011
12/04/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(16.37)	203	HRA Select Claims	2011
12/06/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(2,075.30)	203	HRA Select Claims	2011
12/07/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(1,846.07)	203	HRA Select Claims	2011
12/11/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(183.75)	203	HRA Select Claims	2011
12/12/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(665.29)	203	HRA Select Claims	2011
12/17/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(418.23)	203	HRA Select Claims	2011
12/18/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(133.42)	203	HRA Select Claims	2011
12/19/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(538.53)	203	HRA Select Claims	2011
12/21/12	HRA CLAIM PAYMENTS (HRA SELECT 2011)	(384.12)	203	HRA Select Claims	2011
12/07/12	SEPT 2012 HRA WAIVER ESCHEATED CHECKS PY 2011	431.42	204	HRA Waiver Claims	2011
12/07/12	SEPT 2012 HRA WAIVER VOIDS PY 2011	361.37	204	HRA Waiver Claims	2011
12/14/12	OCT 2012 HRA WAIVER ESCHEATED CHECKS PY 2011	778.34	204	HRA Waiver Claims	2011
12/14/12	HRA WAIVER CLAIM RECOVERY SEPT 2012 PY 2011	1,479.53	204	HRA Waiver Claims	2011
12/14/12	HRA WAIVER CLAIM RECOVERY OCT 2012 PY 2011	1,387.89	204	HRA Waiver Claims	2011
12/14/12	OCT 2012 HRA WAIVER VOIDS PY 2011	235.57	204	HRA Waiver Claims	2011
12/20/12	CORRECT CLAIM ALLOCATIONS	127.50	204	HRA Waiver Claims	2011
11/30/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(629.92)	204	HRA Waiver Claims	2011
12/03/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(1,020.09)	204	HRA Waiver Claims	2011
12/04/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(110.90)	204	HRA Waiver Claims	2011
12/06/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(87.59)	204	HRA Waiver Claims	2011
12/06/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	44.83	204	HRA Waiver Claims	2011
12/12/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(100.00)	204	HRA Waiver Claims	2011
12/14/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(246.89)	204	HRA Waiver Claims	2011
12/18/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(1,006.77)	204	HRA Waiver Claims	2011
12/19/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(237.99)	204	HRA Waiver Claims	2011
12/20/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(102.18)	204	HRA Waiver Claims	2011
12/21/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(9.80)	204	HRA Waiver Claims	2011
12/26/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(73.00)	204	HRA Waiver Claims	2011
12/27/12	HRA CLAIM PAYMENTS (HRA WAIVER 2011)	(83.36)	204	HRA Waiver Claims	2011
12/04/12	EXPRESS SCRIPTS 12/4/12	1,163.85	301	Pharmacy Claims	2011

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
12/11/12	EXPRESS SCRIPTS 12/11/12	(9,928.03)	301	Pharmacy Claims	2011
12/26/12	EXPRESS SCRIPTS 12/25/12	(290.41)	301	Pharmacy Claims	2011
12/04/12	EXPRESS SCRIPTS 11/20/12	(11.39)	302	Express Scripts Admin	2011
12/04/12	EXPRESS SCRIPTS 11/06/12	(12.51)	302	Express Scripts Admin	2011
12/04/12	EXPRESS SCRIPTS 11/13/12	(42.50)	302	Express Scripts Admin	2011
12/04/12	EXPRESS SCRIPTS 10/23/12	(1.39)	302	Express Scripts Admin	2011
12/14/12	EXPRESS SCRIPTS 12/11/12	(2.50)	302	Express Scripts Admin	2011
12/10/12	EXPRESS SCRIPTS 12/04/12	(149.17)	302	Express Scripts Admin	2011
12/28/12	EXPRESS SCRIPTS 12/25/12	(0.06)	302	Express Scripts Admin	2011
12/07/12	UPPS PAYROLL	12,606,981.04	101	Premiums	2012
12/19/12	UPPS PAYROLL	12,682,536.71	101	Premiums	2012
12/04/12	UPPS PAYROLL	(398.70)	101	Premiums	2012
12/14/12	UPPS PAYROLL	12,563.74	101	Premiums	2012
12/17/12	UPPS PAYROLL	49.79	101	Premiums	2012
12/21/12	UPPS PAYROLL	5,344.34	101	Premiums	2012
12/27/12	UPPS PAYROLL	31.37	101	Premiums	2012
12/03/12	HEALTH PREMIUMS	1,023,546.51	101	Premiums	2012
12/04/12	HEALTH PREMIUMS	2,135,573.41	101	Premiums	2012
12/05/12	KRS #31100 12/12 HEALTH PREMIUM	15,520.33	101	Premiums	2012
12/05/12	HEALTH PREMIUMS	638,616.16	101	Premiums	2012
12/05/12	KRS #31100 11/12 HEALTH PREMIUM	170,900.69	101	Premiums	2012
12/06/12	HEALTH PREMIUMS	438,074.72	101	Premiums	2012
12/07/12	HEALTH PREMIUMS 2012	326,189.88	101	Premiums	2012
12/07/12	HRA QUASI DEPOSIT	175.00	101	Premiums	2012
12/08/12	EPAY-HEALTH PREMIUMS 2012	165,709.78	101	Premiums	2012
12/08/12	EPAY-HRA QUASI 2012 - JV CORRECTION 1/13	1,050.00	101	Premiums	2012
12/08/12	EPAY-HEALTH PREMIUMS 2012	546,997.02	101	Premiums	2012
12/08/12	EPAY-HEALTH PREMIUMS 2012	204,119.04	101	Premiums	2012
12/08/12	EPAY-HEALTH PREMIUMS 2012	483,199.57	101	Premiums	2012
12/08/12	EPAY-HRA QUASI 2012 - JV CORRECTION 1/13	525.00	101	Premiums	2012
12/08/12	EPAY-HEALTH PREMIUMS 2012	65,256.36	101	Premiums	2012
12/08/12	EPAY-HEALTH PREMIUMS 2012	22,648.78	101	Premiums	2012
12/08/12	EPAY-HEALTH PREMIUMS 2012	206,302.65	101	Premiums	2012
12/10/12	HEALTH PREMIUMS	439,761.14	101	Premiums	2012
12/11/12	HEALTH PREMIUMS	1,254,654.57	101	Premiums	2012
12/12/12	HEALTH PREMIUMS	153,550.13	101	Premiums	2012
12/13/12	HEALTH PREMIUMS	300,058.60	101	Premiums	2012
12/14/12	HEALTH PREMIUMS	778,333.81	101	Premiums	2012
12/17/12	HEALTH PREMIUMS	314,463.54	101	Premiums	2012
12/18/12	COBRA PREMIUMS NOV 2012	256,613.77	101	Premiums	2012
12/18/12	HEALTH PREMIUMS	106,194.33	101	Premiums	2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
12/18/12	JEFFERSON CO BOE NOV 2012 HEALTH	1,506,058.03	101	Premiums	2012
12/19/12	HEALTH PREMIUMS	184,112.84	101	Premiums	2012
12/20/12	HEALTH PREMIUMS	230,550.37	101	Premiums	2012
12/21/12	KCTCS #45470/81000 PREMIUM PAYMENT DEC 2012	3,271,274.75	101	Premiums	2012
12/20/12	HEALTH PREMIUMS	880,046.61	101	Premiums	2012
12/26/12	HEALTH PREMIUMS	812,464.35	101	Premiums	2012
12/27/12	HEALTH PREMIUMS	1,006,494.92	101	Premiums	2012
12/27/12	EPAY-HEALTH PREMIUMS 2012	35,826.64	101	Premiums	2012
12/27/12	EPAY-HEALTH PREMIUMS 2012	45,444.76	101	Premiums	2012
12/27/12	EPAY-HEALTH PREMIUMS 2012	91,908.66	101	Premiums	2012
12/27/12	EPAY-HRA QUASI 2012 - JV CORRECTION 1/13	175.00	101	Premiums	2012
12/27/12	EPAY-HEALTH PREMIUMS 2012	157,331.89	101	Premiums	2012
12/27/12	EPAY-HEALTH PREMIUMS 2012	178,969.99	101	Premiums	2012
12/27/12	EPAY-HRA QUASI 2012 - JV CORRECTION 1/13	175.00	101	Premiums	2012
12/03/12	KDE PREMIUMS NOV 2012 BILL PERIOD	53,256,176.81	101	Premiums	2012
12/05/12	KRS 12/12 PREMIUMS	23,060,955.95	101	Premiums	2012
12/21/12	KTRS 12/12 PREMIUMS	11,670,945.02	101	Premiums	2012
12/06/12	CORRECT DEPOSIT FUND ALLOCATION	48.60	101	Premiums	2012
12/10/12	CORRECT DEPOSIT FUND ALLOCATION	(2,939.95)	101	Premiums	2012
12/20/12	CORRECT DEPOSIT FUND ALLOCATIONS	728.52	101	Premiums	2012
12/20/12	CORRECT DEPOSIT FUND ALLOCATIONS	(350.00)	101	Premiums	2012
12/20/12	CORRECT DEPOSIT FUND ALLOCATIONS	(4,407.40)	101	Premiums	2012
12/27/12	CORRECT DEPOSIT FUND ALLOCATIONS	292.40	101	Premiums	2012
12/07/12	UPPS PAYROLL	354,900.00	102	HRA Waiver Contribution	2012
12/19/12	UPPS PAYROLL	357,875.00	102	HRA Waiver Contribution	2012
12/14/12	UPPS PAYROLL	2,362.50	102	HRA Waiver Contribution	2012
12/17/12	UPPS PAYROLL	87.50	102	HRA Waiver Contribution	2012
12/21/12	UPPS PAYROLL	87.50	102	HRA Waiver Contribution	2012
12/03/12	HRA QUASI DEPOSIT	2,275.00	102	HRA Waiver Contribution	2012
12/04/12	HRA PREMIUMS	175.00	102	HRA Waiver Contribution	2012
12/06/12	HRA PREMIUMS	1,400.00	102	HRA Waiver Contribution	2012
12/11/12	HRA PREMIUMS	1,225.00	102	HRA Waiver Contribution	2012
12/12/12	HRA PREMIUMS	1,225.00	102	HRA Waiver Contribution	2012
12/13/12	HRA QUASI DEPOSIT	175.00	102	HRA Waiver Contribution	2012
12/14/12	HRA QUASI DEPOSIT	700.00	102	HRA Waiver Contribution	2012
12/17/12	HRA PREMIUMS	175.00	102	HRA Waiver Contribution	2012
12/19/12	HRA PREMIUMS	350.00	102	HRA Waiver Contribution	2012
12/21/12	HRA QUASI DEPOSIT	1,225.00	102	HRA Waiver Contribution	2012
12/26/12	HRA PREMIUMS	875.00	102	HRA Waiver Contribution	2012
12/27/12	HRA PREMIUMS	875.00	102	HRA Waiver Contribution	2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
12/21/12	KDE HRA WAIVER DEC 2012	3,224,621.22	102	HRA Waiver Contribution	2012
12/21/12	KDE D&V HRA DEC 2012	36,040.46	102	HRA Waiver Contribution	2012
12/20/12	CORRECT DEPOSIT FUND ALLOCATIONS	350.00	102	HRA Waiver Contribution	2012
01/03/13	INTEREST DISTRIBUTION DEC 2012	3,234.55	110	Interest Income	2012
12/14/12	INTEREST EARNED @ BOA 3RD QTR 2012 HRA WAIVER PLAN YEAR 12	3.75	110	Interest Income	2012
12/14/12	INTEREST EARNED @ BOA 3RD QTR 2012 HRA SELECT PLAN YEAR 2012	0.36	110	Interest Income	2012
12/20/12	3RD QTR 2012 INTEREST - PNC PY 2012	2,931.95	110	Interest Income	2012
12/04/12	2ND QUARTER EXPRESS SCRIPTS REBATES PY2012 1/10 - 6/12	7,940,052.90	120	Drug Rebates	2012
12/20/12	MEDICAL VOIDS 8/2012	32,215.19	201	Medical Claims	2012
12/20/12	MEDICAL VOIDS 9/2012	19,866.16	201	Medical Claims	2012
12/20/12	MEDICAL CLAIM RECOVERY 09/2012	1,166,107.31	201	Medical Claims	2012
12/20/12	MEDICAL CLAIM RECOVERY 07/2012	493,115.59	201	Medical Claims	2012
11/30/12	MEDICAL CLAIMS 2012	(6,374,156.93)	201	Medical Claims	2012
12/03/12	MEDICAL CLAIMS 2012	(9,423,457.48)	201	Medical Claims	2012
12/04/12	MEDICAL CLAIMS 2012	(2,940,134.53)	201	Medical Claims	2012
12/05/12	MEDICAL CLAIMS 2012	(1,276,543.13)	201	Medical Claims	2012
12/06/12	MEDICAL CLAIMS 2012	(2,535,474.88)	201	Medical Claims	2012
12/07/12	MEDICAL CLAIMS 2012	(7,387,471.39)	201	Medical Claims	2012
12/10/12	MEDICAL CLAIMS 2012	(7,013,360.80)	201	Medical Claims	2012
12/11/12	MEDICAL CLAIMS 2012	(5,499,810.81)	201	Medical Claims	2012
12/12/12	MEDICAL CLAIMS 2012	(2,094,116.56)	201	Medical Claims	2012
12/13/12	MEDICAL CLAIMS 2012	(2,543,971.21)	201	Medical Claims	2012
12/14/12	MEDICAL CLAIMS 2012	(6,839,677.42)	201	Medical Claims	2012
12/17/12	MEDICAL CLAIMS 2012	(4,241,141.03)	201	Medical Claims	2012
12/18/12	MEDICAL CLAIMS 2012	(4,559,111.06)	201	Medical Claims	2012
12/19/12	MEDICAL CLAIMS 2012	(3,276,100.33)	201	Medical Claims	2012
12/20/12	MEDICAL CLAIMS 2012	(3,273,734.82)	201	Medical Claims	2012
12/21/12	MEDICAL CLAIMS 2012	(7,777,697.00)	201	Medical Claims	2012
12/24/12	MEDICAL CLAIMS 2012	(9,275,000.00)	201	Medical Claims	2012
12/26/12	MEDICAL CLAIMS 2012	9,275,000.00	201	Medical Claims	2012
12/26/12	MEDICAL CLAIMS 2012	(13,687,439.09)	201	Medical Claims	2012
12/27/12	MEDICAL CLAIMS 2012	(1,621,996.67)	201	Medical Claims	2012
12/26/12	FIRST ONSITE LLC - 11/12	(38,734.89)	201	Medical Claims	2012
12/21/12	KDE DEC 2012 ASO FEE PMT	19,657.28	202	Humana Admin	2012
12/10/12	HUMANA ONCOLOGY QM 07/2012	(53,340.00)	202	Humana Admin	2012
12/10/12	HUMANA BIOMETRICS 07/2012	(13,630.00)	202	Humana Admin	2012
12/10/12	HUMANA SHARED SAVINGS 08/2012	(240,868.95)	202	Humana Admin	2012
12/10/12	HUMANA SHARED SAVINGS 09/2012	(161,763.81)	202	Humana Admin	2012
12/10/12	HUMANA SHARED SAVINGS 10/2012	(217,171.41)	202	Humana Admin	2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
12/10/12	HUMANA ONCOLOGY QM 09/2012	(56,420.00)	202	Humana Admin	2012
12/10/12	HUMANA BIOMETRICS 09/2012	(7,888.00)	202	Humana Admin	2012
12/10/12	HUMANA BIOMETRICS 08/2012	(1,740.00)	202	Humana Admin	2012
12/10/12	HUMANA ONCOLOGY QM 08/2012	(56,280.00)	202	Humana Admin	2012
12/10/12	HUMANA LIFE SYNCH 09/2012	(14,588.75)	202	Humana Admin	2012
12/13/12	HUMANA ASO FEES 12/12	(5,799,417.46)	202	Humana Admin	2012
12/07/12	SEPT 2012 HRA SELECT VOIDS PY 2012	50.00	203	HRA Select Claims	2012
12/14/12	HRA SELECT CLAIM RECOVERY OCT 2012 PY 2012	9,422.95	203	HRA Select Claims	2012
12/14/12	OCT 2012 HRA SELECT VOIDS PY 2012	805.24	203	HRA Select Claims	2012
12/14/12	OCT 2012 HRA SELECT CLOSED VISA DISPUTES PY 2012	305.52	203	HRA Select Claims	2012
12/14/12	HRA SELECT CLAIM RECOVERY SEPT 2012 PY 2012	6,734.19	203	HRA Select Claims	2012
11/30/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(43,028.94)	203	HRA Select Claims	2012
12/03/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(93,755.73)	203	HRA Select Claims	2012
12/04/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(19,595.72)	203	HRA Select Claims	2012
12/06/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(33,596.72)	203	HRA Select Claims	2012
12/06/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(44,817.47)	203	HRA Select Claims	2012
12/07/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(47,154.35)	203	HRA Select Claims	2012
12/10/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(91,786.08)	203	HRA Select Claims	2012
12/11/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(16,451.53)	203	HRA Select Claims	2012
12/12/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(40,956.21)	203	HRA Select Claims	2012
12/13/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(49,988.38)	203	HRA Select Claims	2012
12/14/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(45,758.62)	203	HRA Select Claims	2012
12/17/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(100,152.38)	203	HRA Select Claims	2012
12/18/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(20,379.76)	203	HRA Select Claims	2012
12/19/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(34,802.98)	203	HRA Select Claims	2012
12/20/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(42,069.97)	203	HRA Select Claims	2012
12/21/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(51,088.37)	203	HRA Select Claims	2012
12/26/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(154,646.18)	203	HRA Select Claims	2012
12/27/12	HRA CLAIM PAYMENTS (HRA SELECT 2012)	(14,177.65)	203	HRA Select Claims	2012
12/07/12	SEPT 2012 HRA WAIVER VOIDS PY 2012	1,909.33	204	HRA Waiver Claims	2012
12/14/12	HRA WAIVER CLAIM RECOVERY OCT 2012 PY 2012	32,282.75	204	HRA Waiver Claims	2012
12/14/12	OCT 2012 HRA WAIVER VOIDS PY 2012	10,551.60	204	HRA Waiver Claims	2012
12/14/12	HRA WAIVER CLAIM RECOVERY SEPT 2012 PY 2012	28,372.54	204	HRA Waiver Claims	2012
12/14/12	OCT 2012 HRA WAIVER CLOSED VISA DISPUTES PY 2012	655.96	204	HRA Waiver Claims	2012
11/30/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(114,592.13)	204	HRA Waiver Claims	2012
12/03/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(234,427.29)	204	HRA Waiver Claims	2012
12/04/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(57,287.07)	204	HRA Waiver Claims	2012
12/06/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(120,903.50)	204	HRA Waiver Claims	2012
12/06/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(135,652.65)	204	HRA Waiver Claims	2012
12/07/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(132,334.53)	204	HRA Waiver Claims	2012

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
12/10/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(247,016.65)	204	HRA Waiver Claims	2012
12/11/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(62,949.85)	204	HRA Waiver Claims	2012
12/12/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(97,291.69)	204	HRA Waiver Claims	2012
12/13/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(155,985.73)	204	HRA Waiver Claims	2012
12/14/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(136,145.39)	204	HRA Waiver Claims	2012
12/17/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(203,847.34)	204	HRA Waiver Claims	2012
12/18/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(60,440.85)	204	HRA Waiver Claims	2012
12/19/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(114,879.94)	204	HRA Waiver Claims	2012
12/20/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(110,417.82)	204	HRA Waiver Claims	2012
12/21/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(123,515.94)	204	HRA Waiver Claims	2012
12/26/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(440,903.74)	204	HRA Waiver Claims	2012
12/27/12	HRA CLAIM PAYMENTS (HRA WAIVER 2012)	(43,712.50)	204	HRA Waiver Claims	2012
12/04/12	EXPRESS SCRIPTS 12/4/12	(7,402,209.75)	301	Pharmacy Claims	2012
12/11/12	EXPRESS SCRIPTS 12/11/12	(6,990,457.83)	301	Pharmacy Claims	2012
12/18/12	EXPRESS SCRIPTS 12/18/12	(7,231,937.68)	301	Pharmacy Claims	2012
12/26/12	EXPRESS SCRIPTS 12/25/12	(8,101,468.56)	301	Pharmacy Claims	2012
12/04/12	EXPRESS SCRIPTS 11/06/12	(126,336.68)	302	Express Scripts Admin	2012
12/04/12	EXPRESS SCRIPTS MI HICA TAX	(41.90)	302	Express Scripts Admin	2012
12/04/12	EXPRESS SCRIPTS 11/13/12	(128,838.98)	302	Express Scripts Admin	2012
12/04/12	EXPRESS SCRIPTS 11/20/12	(137,934.95)	302	Express Scripts Admin	2012
12/04/12	EXPRESS SCRIPTS 10/30/12	(127,524.60)	302	Express Scripts Admin	2012
12/04/12	EXPRESS SCRIPTS CREDIT MC32180 PARTIAL	143,527.41	302	Express Scripts Admin	2012
12/04/12	EXPRESS SCRIPTS 9/12 FLU VACCINE	(15,352.50)	302	Express Scripts Admin	2012
12/04/12	EXPRESS SCRIPTS 11/27/12	(114,956.80)	302	Express Scripts Admin	2012
12/04/12	EXPRESS SCRIPTS 10/23/12	(127,451.06)	302	Express Scripts Admin	2012
12/04/12	EXPRESS SCRIPTS 6/12 FLU VACCINE	(675.00)	302	Express Scripts Admin	2012
12/14/12	EXPRESS SCRIPTS 12/11/12	(131,230.46)	302	Express Scripts Admin	2012
12/14/12	EXPRESS SCRIPTS VACCINE PROG 10/2012	(27,505.00)	302	Express Scripts Admin	2012
12/14/12	EXPRESS SCRIPTS STEP THERAPY	(211,130.17)	302	Express Scripts Admin	2012
12/14/12	EXPRESS SCRIPTS 12/11/12 COUNTS	(211,206.39)	302	Express Scripts Admin	2012
12/19/12	EXPRESS SCRIPTS 12/18/12	(137,987.76)	302	Express Scripts Admin	2012
12/10/12	EXPRESS SCRIPTS 12/04/12	(138,454.66)	302	Express Scripts Admin	2012
12/28/12	EXPRESS SCRIPTS 12/25/12	(156,474.69)	302	Express Scripts Admin	2012
12/06/12	TRUVEN HEALTH ANALYTICS - ENROLLMENT MAILING	(43,165.17)	501	Operating Transfer/Exp & Adj	2012
December Activity		<u>\$16,057,696.70</u>			
Ending Balance		<u>\$139,463,730.63</u>			

December Account Summary		
101	Premiums	131,764,530.01
102	HRA Waiver Contribution	3,986,999.18
110	Interest Income	22,017.84
120	Drug Rebates	7,940,052.90
130	Transfers From other plan year(s)	0.00
201	Medical Claims	(86,152,034.30)
202	Humana Admin	(6,628,289.82)
203	HRA Select Claims	(930,820.70)
204	HRA Waiver Claims	(2,516,409.93)
301	Pharmacy Claims	(29,735,287.65)
302	Express Scripts Admin	(1,649,895.66)
500	Transfers To other plan year(s)	0.00
501	Operating Transfer/ Exp & Adj	(43,165.17)
Account Activity – December 2012		16,057,696.70

Summary of Transfers between Plan Years

	2006	2007	2008
KRS SUBSIDY			
KRS SUBSIDY REPAYMENT	5,750,469.94		(5,750,469.94)
KRS FAMILY SUBSIDY	(1,148,095.15)		1,148,095.15
KRS INITIALS FAMILY SUBSIDY	(5,551.49)		5,551.49
KRS FAMILY SUBSIDY	(1,148,140.45)		1,148,140.45
KRS INITIALS FAMILY SUBSIDY	(3,194.56)		3,194.56
KRS FAMILY SUBSIDY	(1,145,845.38)		1,145,845.38
KRS PREMIUM SUBSIDY	(2,822.95)		2,822.95
KRS PREMIUM SUBSIDY	(1,146,453.32)		1,146,453.32
KRS PREMIUM SUBSIDY	(4,336.94)		4,336.94
KRS PREMIUM SUBSIDY	(1,146,029.69)		1,146,029.69
KRS FINAL TOTAL AFTER REPAYMENT	0.01	0.00	(0.01)
TRANSFERS FROM PLAN YEARS			
BUDGETERY TRANSFER PY206	(52,000,000.00)		52,000,000.00
PLAN YEAR TRANSFER 2006	(26,171,597.12)		26,171,597.12
PLAN YEAR TRANSFER 2007		(15,698,731.74)	15,698,731.74
TOTAL NON SUBSIDY PY TRANSFERS	(78,171,597.12)	(15,698,731.74)	93,870,328.86
KTRS SUBSIDY			
KTRS JAN 08 FAMILY SUBSIDY	(658,356.10)		658,356.10
KTRS FEB 08 FAMILY SUBSIDY	(657,763.84)		657,763.84
KTRS MAR 08 FAMILY SUBSIDY	(651,640.10)		651,640.10
KTRS MAY 08 FAMILY SUBSIDY	(644,890.64)		644,890.64
KTRS APR 08 FAMILY SUBSIDY	(704,209.24)		704,209.24
KTRS JUNE 08FAMILY SUBSIDY	(632,039.18)		632,039.18
TOTAL KTRS SUBSIDY	(3,948,899.10)	0.00	3,948,899.10
TOTAL TRANSFERS BETWEEN PLAN YEARS	(\$82,120,496.21)	(\$15,698,731.74)	\$97,819,227.95

PLAN YEAR 2006														
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS
2011 Balance Forward	1,102,199,258.24	0.00	13,252,316.59	22,564,128.65	0.00	(762,623,374.56)	(47,449,907.92)	0.00	0.00	(231,474,267.61)	(7,783,597.84)	(82,120,496.21)	(4,000,000.00)	\$2,564,059.34
January-12	0.00	0.00	59.70	0.00	0.00	48,977.55	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$49,037.25
February-12	0.00	0.00	49.64	0.00	0.00	(88.62)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$38.98)
March-12	0.00	0.00	53.54	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$53.54
April-12	0.00	0.00	241.06	0.00	0.00	47,367.73	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$47,608.79
May-12	0.00	0.00	2,370.95	0.00	0.00	34,573.36	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$36,944.31
June-12	0.00	0.00	566.63	0.00	0.00	(665.17)	0.00	0.00	0.00	0.00	0.00	(2,697,565.71)	0.00	(\$2,697,664.25)
July-12	0.00	0.00	0.00	0.00	0.00	1,342.05	0.00	0.00	0.00	0.00	0.00	(1,342.05)	0.00	\$0.00
August-12	0.00	0.00	0.00	0.00	0.00	30,930.97	0.00	0.00	0.00	0.00	0.00	(30,930.97)	0.00	\$0.00
September-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
October-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
November-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
December-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
Plan Year Transactions to Date	1,102,199,258.24	0.00	13,255,658.11	22,564,128.65	0.00	(762,460,936.69)	(47,449,907.92)	0.00	0.00	(231,474,267.61)	(7,783,597.84)	(84,850,334.94)	(4,000,000.00)	(\$0.00)

500 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

PLAN YEAR 2006														
2011	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS
2010 Balance Forward	1,102,199,258.24	0.00	13,246,003.03	22,564,128.65	0.00	(762,907,721.82)	(47,449,886.32)	0.00	0.00	(231,474,267.61)	(7,783,597.84)	(82,120,496.21)	(4,000,000.00)	\$2,273,420.12
January-11	0.00	0.00	703.06	0.00	0.00	92,832.37	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$93,535.43
February-11	0.00	0.00	879.01	0.00	0.00	70,242.39	(21.60)	0.00	0.00	0.00	0.00	0.00	0.00	\$71,099.80
March-11	0.00	0.00	389.19	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$389.19
April-11	0.00	0.00	396.42	0.00	0.00	75,913.75	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$76,310.17
May-11	0.00	0.00	648.91	0.00	0.00	(102.03)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$546.88
June-11	0.00	0.00	2,087.88	0.00	0.00	42,337.98	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$44,425.86
July-11	0.00	0.00	0.00	0.00	0.00	4,716.92	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$4,716.92
August-11	0.00	0.00	0.00	0.00	0.00	(3,111.30)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$3,111.30)
September-11	0.00	0.00	149.67	0.00	0.00	605.58	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$755.25
October-11	0.00	0.00	356.65	0.00	0.00	695.46	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$1,052.11
November-11	0.00	0.00	312.19	0.00	0.00	216.14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$528.33
December-11	0.00	0.00	390.58	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$390.58
Plan Year Transactions to Date	1,102,199,258.24	0.00	13,252,316.59	22,564,128.65	0.00	(762,623,374.56)	(47,449,907.92)	0.00	0.00	(231,474,267.61)	(7,783,597.84)	(82,120,496.21)	(4,000,000.00)	\$2,564,059.34

PLAN YEAR 2006														
2010	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS
2009 Balance Forward	1,102,199,258.24	0.00	13,240,658.47	22,564,128.65	0.00	(763,459,613.93)	(47,449,798.19)	0.00	0.00	(231,462,058.76)	(7,782,832.84)	(82,120,496.21)	(4,000,000.00)	\$1,729,245.43
January-10	0.00	0.00	1,336.36	0.00	0.00	(34,298.48)	(88.13)	0.00	0.00	0.00	0.00	0.00	0.00	(\$33,050.25)
February-10	0.00	0.00	0.00	0.00	0.00	66,267.60	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$66,267.60
March-10	0.00	0.00	31.77	0.00	0.00	160,773.72	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$160,805.49
April-10	0.00	0.00	19.79	0.00	0.00	(4,924.48)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$4,904.69)
May-10	0.00	0.00	10.12	0.00	0.00	29,581.39	0.00	0.00	0.00	(12,208.85)	(765.00)	0.00	0.00	\$16,617.66
June-10	0.00	0.00	55.85	0.00	0.00	(5,386.80)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$5,330.95)
July-10	0.00	0.00	0.00	0.00	0.00	(494.20)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$494.20)
August-10	0.00	0.00	409.71	0.00	0.00	53,247.53	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$53,657.24
September-10	0.00	0.00	845.08	0.00	0.00	(1,571.87)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$726.79)
October-10	0.00	0.00	1,117.34	0.00	0.00	298,242.39	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$299,359.73
November-10	0.00	0.00	522.42	0.00	0.00	(10,873.22)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$10,350.80)
December-10	0.00	0.00	996.12	0.00	0.00	1,328.53	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$2,324.65
Plan Year Transactions to Date	1,102,199,258.24	0.00	13,246,003.03	22,564,128.65	0.00	(762,907,721.82)	(47,449,886.32)	0.00	0.00	(231,474,267.61)	(7,783,597.84)	(82,120,496.21)	(4,000,000.00)	\$2,273,420.12

PLAN YEAR 2006														
2009	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS/ ADJUSTMENTS	CASH TRANSACTIONS
2008 Balance Forward	1,102,199,258.24	0.00	13,213,543.20	22,564,128.65	0.00	(763,250,044.64)	(47,449,461.43)	0.00	0.00	(231,460,977.27)	(7,782,861.05)	(82,120,496.21)	(4,000,000.00)	\$1,913,089.49
January-09	0.00	0.00	1,229.50	0.00	0.00	(33,318.06)	(193.80)	0.00	0.00	0.00	53.21	0.00	0.00	(\$32,229.15)
February-09	0.00	0.00	2,031.35	0.00	0.00	282,701.73	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$284,733.08
March-09	0.00	0.00	1,095.53	0.00	0.00	60,104.48	1.84	0.00	0.00	374.69	0.00	0.00	0.00	\$61,576.54
April-09	0.00	0.00	868.59	0.00	0.00	(497,077.80)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$496,209.21)
May-09	0.00	0.00	621.62	0.00	0.00	39,736.78	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$40,358.40
June-09	0.00	0.00	7,147.71	0.00	0.00	6,552.55	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$13,700.26
July-09	0.00	0.00	0.00	0.00	0.00	(45,112.68)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$45,112.68)
August-09	0.00	0.00	3,168.63	0.00	0.00	(13,364.36)	0.00	0.00	0.00	(184.94)	(12.50)	0.00	0.00	(\$10,393.17)
September-09	0.00	0.00	3,930.02	0.00	0.00	47,604.47	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$51,534.49
October-09	0.00	0.00	404.84	0.00	0.00	(163,960.72)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$163,555.88)
November-09	0.00	0.00	0.00	0.00	0.00	(5,190.99)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$5,190.99)
December-09	0.00	0.00	6,617.48	0.00	0.00	111,755.31	(144.80)	0.00	0.00	(1,271.24)	(12.50)	0.00	0.00	\$116,944.25
Plan Year Transactions to Date	1,102,199,258.24	0.00	13,240,658.47	22,564,128.65	0.00	(763,459,613.93)	(47,449,798.19)	0.00	0.00	(231,462,058.76)	(7,782,832.84)	(82,120,496.21)	(4,000,000.00)	\$1,729,245.43

PLAN YEAR 2006														
2008	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS/ ADJUSTMENTS	CASH TRANSACTIONS
2007 Balance Forward	1,102,198,560.68	0.00	11,988,284.19	22,564,128.65	0.00	(781,109,649.73)	(47,320,908.95)	0.00	0.00	(224,014,151.59)	(7,782,779.02)	0.00	(4,000,000.00)	\$72,523,484.23
January-08	0.00	0.00	332,620.33	0.00	0.00	(430,361.51)	0.00	0.00	0.00	144.64	0.00	(658,356.10)	0.00	(\$755,952.64)
February-08	0.00	0.00	396,862.96	0.00	0.00	(332,077.22)	0.00	0.00	0.00	509.07	0.00	(657,763.84)	0.00	(\$592,469.03)
March-08	250.00	0.00	248,839.16	0.00	0.00	17,387,941.45	0.00	0.00	0.00	(7,454,423.55)	0.00	(56,102,467.13)	0.00	(\$45,919,860.07)
April-08	(142.12)	0.00	93,838.04	0.00	0.00	638,090.74	(460.00)	0.00	0.00	44.25	(1.39)	(1,850,662.56)	0.00	(\$1,119,293.04)
May-08	0.00	0.00	59,770.67	0.00	0.00	59,299.89	18,124.96	0.00	0.00	4,615.51	(55.62)	3,952,389.72	0.00	\$4,094,145.13
June-08	0.00	0.00	70,788.90	0.00	0.00	573,581.72	6,781.93	0.00	0.00	589.71	(1.39)	(26,803,636.30)	0.00	(\$26,151,895.43)
July-08	609.20	0.00	493.17	0.00	0.00	(136,874.42)	0.00	0.00	0.00	23.02	0.00	0.00	0.00	(\$135,749.03)
August-08	(19.52)	0.00	4,698.23	0.00	0.00	144,188.51	0.00	0.00	0.00	122.66	0.00	0.00	0.00	\$148,989.88
September-08	0.00	0.00	5,207.99	0.00	0.00	23,017.40	(111.67)	0.00	0.00	41.72	(1.39)	0.00	0.00	\$28,154.05
October-08	0.00	0.00	3,010.40	0.00	0.00	49,296.56	(14.88)	0.00	0.00	782.00	(19.46)	0.00	0.00	\$53,054.62
November-08	0.00	0.00	5,721.95	0.00	0.00	(68,199.33)	(152,872.82)	0.00	0.00	725.29	(2.78)	0.00	0.00	(\$214,627.69)
December-08	0.00	0.00	3,407.21	0.00	0.00	(48,298.70)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$44,891.49)
Plan Year Transactions to Date	1,102,199,258.24	0.00	13,213,543.20	22,564,128.65	0.00	(763,250,044.64)	(47,449,461.43)	0.00	0.00	(231,460,977.27)	(7,782,861.05)	(82,120,496.21)	(4,000,000.00)	\$1,913,089.49

201 March 08 Plan year corrections of \$9,933,517.90 were made after Humana supplied plan year information on the claim recoveries.

301 March 08 Weekly pharmacy claims were improperly recorded as medical claims. The correction appears on the March 2008 summary and totals \$7,454,423.55.

500 March 08 transfers between plan years consist of \$52,000,000.00 used to reduce premium rates and \$4,102,467.13 in family subsidies.

500 May 08 included the KRS subsidy repayment of \$5,750,469.94.

500 June 08 transfers between plan years consist of \$26,171,597.12 and \$632,039.18 in family subsidies.

Kentucky Employees Health Plan – Status Report
Attachment B

PLAN YEAR 2006														
2007	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS/ ADJUSTMENTS	CASH TRANSACTIONS
2006 Balance Forward	1,101,364,426.55	0.00	6,705,247.06	11,813,426.09	0.00	(696,060,326.39)	(51,029,179.63)	0.00	0.00	(218,657,413.99)	(7,555,888.27)	0.00	0.00	\$146,580,291.42
January-07	832,027.80	0.00	613,850.54	0.00	0.00	(31,844,847.77)	(262,090.41)	0.00	0.00	(4,582,841.35)	(238,921.38)	0.00	(4,000,000.00)	(\$39,482,822.57)
February-07	(2,966.85)	0.00	444,335.78	0.00	0.00	(22,159,667.31)	(71,857.17)	0.00	0.00	(38,556.48)	(412.11)	0.00	0.00	(\$21,829,124.14)
March-07	(11,583.76)	0.00	720,231.24	5,614,413.50	0.00	(15,627,638.69)	(53,058.39)	0.00	0.00	(3,699.56)	(305.61)	0.00	0.00	(\$9,361,641.27)
April-07	(1,353.82)	0.00	370,886.65	0.00	0.00	(4,699,274.79)	(39,438.15)	0.00	0.00	(691,896.38)	14,295.86	0.00	0.00	(\$5,046,780.63)
May-07	8,726.90	0.00	328,961.65	0.00	0.00	(2,847,412.23)	4,150,089.64	0.00	0.00	(33,784.24)	(796.09)	0.00	0.00	\$1,605,785.63
June-07	4,584.74	0.00	750,625.98	5,136,289.06	0.00	(2,250,182.11)	(9,060.50)	0.00	0.00	(1,695.23)	(205.53)	0.00	0.00	\$3,630,356.41
July-07	36.40	0.00	0.00	0.00	0.00	(1,284,653.93)	(6,314.34)	0.00	0.00	(1,452.45)	(135.84)	0.00	0.00	(\$1,292,520.16)
August-07	3,143.22	0.00	422,572.11	0.00	0.00	(1,126,858.57)	0.00	0.00	0.00	(1,082.55)	(267.55)	0.00	0.00	(\$702,493.34)
September-07	0.00	0.00	357,876.42	0.00	0.00	(1,453,366.04)	0.00	0.00	0.00	(898.44)	(62.50)	0.00	0.00	(\$1,096,450.56)
October-07	0.00	0.00	398,634.57	0.00	0.00	(779,179.98)	0.00	0.00	0.00	(549.97)	(45.00)	0.00	0.00	(\$381,140.38)
November-07	0.00	0.00	0.00	0.00	0.00	(691,012.71)	0.00	0.00	0.00	(270.95)	(32.50)	0.00	0.00	(\$691,316.16)
December-07	1,519.50	0.00	875,062.18	0.00	0.00	(285,229.21)	0.00	0.00	0.00	(10.00)	(2.50)	0.00	0.00	\$591,339.97
Plan Year Transactions to Date	1,102,198,560.68	0.00	11,988,284.19	22,564,128.65	0.00	(781,109,649.73)	(47,320,908.95)	0.00	0.00	(224,014,151.59)	(7,782,779.02)	0.00	(4,000,000.00)	\$72,523,484.23

101 Premiums received in 2007 include December lockbox, November / December Cobra payments. Negative amounts reflect refunds.

110 Interest is reported as the information is received from Finance Accounts and as it is received from Humana per the contract

120 Pharmacy rebates are not due under the terms of the contract until 150 days after the end of each quarter.

201 These are claims incurred in 2006, but paid in 2007.

202 Admin fees are on claims incurred in 2006.

301 These are claims incurred on 2006, but paid in 2007.

302 Admin fees are on claims incurred in 2006.

501 For fiscal year 2006, HB380 provided \$4 million from the Trust Fund to help offset the self-insurance administrative cost.

** Premiums forwarded include the escrow account to fund the outstanding claim checks through December 31, 2006. The Claims have been adjusted for the outstanding checks as of December 31, 2006.

Kentucky Employees Health Plan – Status Report
Attachment B

4th Quarter, 2012
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PLAN YEAR 2006														
2006	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS/ ADJUSTMENTS	CASH TRANSACTIONS
December-05	12,109,520.87	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$12,109,520.87
January-06	58,717,052.66	0.00	0.00	0.00	0.00	(5,858,910.97)	0.00	0.00	0.00	(4,355,323.50)	0.00	0.00	0.00	\$48,502,818.19
February-06	95,279,715.21	0.00	0.00	0.00	0.00	(33,608,116.12)	0.00	0.00	0.00	(27,222,136.63)	0.00	0.00	0.00	\$34,449,462.46
March-06	96,203,652.90	0.00	479,416.24	0.00	0.00	(55,143,313.46)	(8,450,597.05)	0.00	0.00	(22,689,138.39)	0.00	0.00	0.00	\$10,400,020.24
April-06	95,713,661.72	0.00	363,022.39	0.00	0.00	(49,234,826.19)	(4,245,852.36)	0.00	0.00	(15,725,632.15)	(2,123,741.31)	0.00	0.00	\$24,746,632.10
May-06	96,477,472.44	0.00	433,688.68	0.00	0.00	(59,192,900.58)	(4,237,553.40)	0.00	0.00	(21,614,764.42)	(505,455.83)	0.00	0.00	\$11,360,486.89
June-06	75,715,144.70	0.00	1,309,327.87	0.00	0.00	(66,179,114.23)	(8,434,079.16)	0.00	0.00	(17,722,866.45)	(859,244.32)	0.00	0.00	(\$16,170,831.59)
July-06	106,607,010.35	0.00	0.00	0.00	0.00	(62,801,570.87)	(4,262,691.48)	0.00	0.00	(16,921,364.87)	(641,012.65)	0.00	0.00	\$21,980,370.48
August-06	87,156,882.23	0.00	377,971.98	0.00	0.00	(73,872,918.18)	(4,112,992.68)	0.00	0.00	(17,456,752.65)	(746,088.15)	0.00	0.00	(\$8,653,897.45)
September-06	84,428,262.67	0.00	1,577,522.63	5,799,613.36	0.00	(68,916,143.27)	(4,229,309.40)	0.00	0.00	(14,830,311.45)	(653,915.94)	0.00	0.00	\$3,175,718.60
October-06	90,247,993.08	0.00	646,707.29	0.00	0.00	(65,259,252.33)	(4,243,928.76)	0.00	0.00	(22,693,132.66)	(657,804.47)	0.00	0.00	(\$1,959,417.85)
November-06	88,348,883.51	0.00	720,536.99	0.00	0.00	(62,337,283.05)	(4,504,712.82)	0.00	0.00	(18,218,171.50)	(799,688.56)	0.00	0.00	\$3,209,564.57
December-06	85,532,174.21	0.00	797,052.99	6,013,812.73	0.00	(64,828,977.14)	(4,307,462.52)	0.00	0.00	(19,207,819.32)	(568,937.04)	0.00	0.00	\$3,429,843.91
Plan Year Transactions to Date	1,072,537,426.55	0.00	6,705,247.06	11,813,426.09	0.00	(667,233,326.39)	(51,029,179.63)	0.00	0.00	(218,657,413.99)	(7,555,888.27)	0.00	0.00	\$146,580,291.42
Plus: Claims Escrow	28,827,000.00					(28,827,000.00)								\$0.00
Total Adjusted Transactions to Date	\$1,101,364,426.55	\$0.00	\$6,705,247.06	\$11,813,426.09	\$0.00	(\$696,060,326.39)	(\$51,029,179.63)	\$0.00	\$0.00	(\$218,657,413.99)	(\$7,555,888.27)	\$0.00	\$0.00	\$146,580,291.42

** In 2006, the Commonwealth funded the payment of medical claims on an “as cleared” basis. Due to the “as cleared” banking arrangement Humana required the funding of an escrow account of \$28,827,000 to cover an estimated 8 days of medical claims. In 2007, the banking arrangements changed from an “as cleared” to an “as issued” basis for claim payments. This change is due to a recommendation made by our External Auditor. Because of the banking change, Humana will no longer require an escrow account in 2007. The escrow account funds were added into the 2006 premium balance. These funds were then used for 2006 outstanding claim checks.

PLAN YEAR 2007														
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS
2011 Balance Forward	1,140,703,154.38	59,281,406.95	7,930,288.94	24,262,373.59	0.00	(837,098,994.80)	(56,911,781.09)	(2,931,705.94)	(47,117,431.12)	(256,402,961.76)	(8,874,050.30)	(15,698,731.74)	6,722,637.05	\$13,864,204.16
January-12	0.00	0.00	322.81	0.00	0.00	25,998.16	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$26,320.97
February-12	(0.00)	0.00	263.87	0.00	0.00	1,729.39	0.00	0.00	1.00	0.00	0.00	0.00	0.00	\$1,994.26
March-12	(60.00)	0.00	284.65	0.00	0.00	2,480.25	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$2,704.90
April-12	60.00	0.00	1,281.85	0.00	0.00	17,852.17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$19,194.02
May-12	36,245.61	0.00	12,399.02	0.00	0.00	125,363.90	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$174,008.53
June-12	(36,245.61)	0.00	2,959.20	0.00	0.00	(2,695.86)	0.00	0.00	0.00	0.00	0.00	(14,052,444.57)	0.00	(\$14,088,426.84)
July-12	204,249.39	0.00	0.00	0.00	0.00	162,542.66	0.00	0.00	0.00	0.00	0.00	(366,792.05)	0.00	\$0.00
August-12	54.16	0.00	0.00	0.00	0.00	(35,255.52)	0.00	0.00	0.00	0.00	0.00	35,201.36	0.00	\$0.00
September-12	66.67	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(66.67)	0.00	\$0.00
October-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
November-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
December-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
Plan Year Transactions to Date	1,140,907,524.60	59,281,406.95	7,947,800.34	24,262,373.59	0.00	(836,800,979.65)	(56,911,781.09)	(2,931,705.94)	(47,117,430.12)	(256,402,961.76)	(8,874,050.30)	(30,082,833.67)	6,722,637.05	\$0.00

500 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees Health Plan – Status Report
Attachment B

4th Quarter, 2012
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PLAN YEAR 2007														
2011	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS
2010 Balance Forward	1,140,701,315.37	59,281,406.95	7,895,238.44	24,262,373.59	0.00	(837,654,861.11)	(56,911,525.70)	(2,931,705.94)	(47,115,542.33)	(256,390,270.07)	(8,873,890.30)	(15,698,731.74)	6,722,637.05	\$13,286,444.21
January-11	0.00	0.00	4,108.84	0.00	0.00	175,012.44	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$179,121.28
February-11	0.00	0.00	5,000.71	0.00	0.00	105,360.27	(12.00)	0.00	0.00	0.00	0.00	0.00	0.00	\$110,348.98
March-11	0.00	0.00	2,167.14	0.00	0.00	(24,749.94)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$22,582.80)
April-11	57,594.62 *	0.00	2,203.40	0.00	0.00	113,682.98	0.00	0.00	26.00	0.00	0.00	0.00	0.00	\$173,507.00
May-11	103,823.09 *	0.00	3,542.12	0.00	0.00	(6,010.84)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$101,354.37
June-11	(161,417.71) *	0.00	11,478.36	0.00	0.00	58,587.62	(176.13)	0.00	0.00	0.00	0.00	0.00	0.00	(\$91,527.86)
July-11	1,265.70 *	0.00	0.00	0.00	0.00	44,331.35	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$45,597.05
August-11	573.31	0.00	0.00	0.00	0.00	124,545.65	0.00	0.00	(792.44)	(12,024.92)	(92.50)	0.00	0.00	\$112,209.10
September-11	0.00	0.00	811.89	0.00	0.00	447.63	(67.26)	0.00	0.00	(666.77)	(67.50)	0.00	0.00	\$457.99
October-11	944.14	0.00	1,934.20	0.00	0.00	(5,734.94)	0.00	0.00	1.00	0.00	0.00	0.00	0.00	(\$2,855.60)
November-11	(837.03)	0.00	1,692.06	0.00	0.00	(30,524.90)	0.00	0.00	(1,123.35)	0.00	0.00	0.00	0.00	(\$30,793.22)
December-11	(107.11)	0.00	2,111.78	0.00	0.00	918.99	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$2,923.66
Plan Year Transactions to Date	1,140,703,154.38	59,281,406.95	7,930,288.94	24,262,373.59	0.00	(837,098,994.80)	(56,911,781.09)	(2,931,705.94)	(47,117,431.12)	(256,402,961.76)	(8,874,050.30)	(15,698,731.74)	6,722,637.05	\$13,864,204.16

PLAN YEAR 2007														
2010	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS
2009 Balance Forward	1,140,715,605.42	59,281,231.95	7,862,561.27	24,262,373.59	0.00	(839,818,253.91)	(56,910,725.19)	(2,931,730.94)	(47,109,221.06)	(256,251,118.15)	(8,866,235.30)	(15,698,731.74)	6,722,637.05	\$11,258,392.99
January-10	301,150.69	175.00	8,700.51	0.00	0.00	(19,122.64)	(604.51)	0.00	75.00	0.00	0.00	0.00	0.00	\$290,374.05
February-10	0.00	0.00	0.00	0.00	0.00	510,304.62	0.00	0.00	50.00	0.00	0.00	0.00	0.00	\$510,354.62
March-10	(315,440.74)	0.00	217.39	0.00	0.00	94,744.57	(190.03)	0.00	140.00	5.40	0.00	0.00	0.00	(\$220,523.41)
April-10	0.00	0.00	121.82	0.00	0.00	(21,757.91)	0.00	0.00	275.20	0.00	0.00	0.00	0.00	(\$21,360.89)
May-10	0.00	0.00	62.38	0.00	0.00	322,196.68	0.00	25.00	20.00	(27,864.94)	(1,340.00)	0.00	0.00	\$293,099.12
June-10	0.00	0.00	349.56	0.00	0.00	(91,560.94)	0.00	0.00	0.00	(92,263.60)	(5,490.00)	0.00	0.00	(\$188,964.98)
July-10	0.00	0.00	0.00	0.00	0.00	(21,688.70)	0.00	0.00	20.00	0.00	0.00	0.00	0.00	(\$21,668.70)
August-10	0.00	0.00	2,527.23	0.00	0.00	109,182.31	0.00	0.00	15.00	(22.50)	(22.50)	0.00	0.00	\$111,679.54
September-10	0.00	0.00	5,119.30	0.00	0.00	(38,725.20)	0.00	0.00	0.00	(16,655.09)	(722.50)	0.00	0.00	(\$50,983.49)
October-10	0.00	0.00	6,742.31	0.00	0.00	1,299,117.17	(5.97)	0.00	0.00	(401.95)	0.00	0.00	0.00	\$1,305,451.56
November-10	0.00	0.00	3,037.71	0.00	0.00	(39,250.85)	0.00	0.00	(6,319.72)	(1,949.24)	(80.00)	0.00	0.00	(\$44,562.10)
December-10	0.00	0.00	5,798.96	0.00	0.00	59,953.69	0.00	0.00	(596.75)	0.00	0.00	0.00	0.00	\$65,155.90
Plan Year Transactions to Date	1,140,701,315.37	59,281,406.95	7,895,238.44	24,262,373.59	0.00	(837,654,861.11)	(56,911,525.70)	(2,931,705.94)	(47,115,542.33)	(256,390,270.07)	(8,873,890.30)	(15,698,731.74)	6,722,637.05	\$13,286,444.21

PLAN YEAR 2007														
2009	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS
2008 Balance Forward	1,140,715,605.42	59,281,231.95	7,623,443.50	24,262,373.59	0.00	(842,933,324.89)	(56,892,071.80)	(2,933,620.26)	(47,399,523.14)	(256,254,598.42)	(8,866,331.64)	(15,698,731.74)	6,722,637.05	\$7,627,089.62
January-09	0.00	0.00	87,128.98	0.00	0.00	(250,923.45)	(714.15)	0.00	200.00	0.00	121.35	0.00	0.00	(\$164,187.27)
February-09	0.00	0.00	8,060.04	0.00	0.00	1,611,598.38	(1,041.09)	0.00	152,070.85	0.00	0.00	0.00	0.00	\$1,770,688.18
March-09	0.00	0.00	4,671.08	0.00	0.00	870,294.88	(98.28)	0.00	211.24	2,171.52	(7.50)	0.00	0.00	\$877,242.94
April-09	0.00	0.00	3,953.04	0.00	0.00	(253,110.42)	0.00	254.83	10,269.82	346.39	(4.17)	0.00	0.00	(\$238,290.51)
May-09	0.00	0.00	3,554.11	0.00	0.00	742,151.23	(442.52)	1,288.95	106,564.83	141.94	0.00	0.00	0.00	\$853,258.54
June-09	0.00	0.00	43,281.30	0.00	0.00	(578.91)	(1,285.97)	0.00	240.00	0.00	0.00	0.00	0.00	\$41,656.42
July-09	0.00	0.00	1.64	0.00	0.00	(164,629.57)	(1,285.97)	0.00	1,135.34	0.00	0.00	0.00	0.00	(\$164,778.56)
August-09	0.00	0.00	19,309.09	0.00	0.00	(412,502.97)	0.00	0.00	5,537.39	351.31	(2.78)	0.00	0.00	(\$387,307.96)
September-09	0.00	0.00	23,212.64	0.00	0.00	180,410.64	0.00	0.00	4,901.51	65.83	0.00	0.00	0.00	\$208,590.62
October-09	0.00	0.00	2,369.47	0.00	0.00	7,399.10	0.00	345.54	8,727.15	301.27	(8.06)	0.00	0.00	\$19,134.47
November-09	0.00	0.00	0.00	0.00	0.00	173,822.45	0.00	0.00	45.00	102.01	(2.50)	0.00	0.00	\$173,966.96
December-08	0.00	0.00	43,576.38	0.00	0.00	611,139.62	(13,785.41)	0.00	398.95	0.00	0.00	0.00	0.00	\$641,329.54
Plan Year Transactions to Date	1,140,715,605.42	59,281,231.95	7,862,561.27	24,262,373.59	0.00	(839,818,253.91)	(56,910,725.19)	(2,931,730.94)	(47,109,221.06)	(256,251,118.15)	(8,866,235.30)	(15,698,731.74)	6,722,637.05	\$11,258,392.99

PLAN YEAR 2007														
2008	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS
2007 Balance Forward	1,140,145,513.81	58,856,749.55	6,193,830.77	12,067,730.07	0.00	(758,170,132.00)	(56,477,167.97)	(2,806,678.62)	(42,679,505.27)	(250,479,048.07)	(8,573,031.46)	0.00	9,130,694.38	\$107,208,955.19
January-08	7,282.49	419,732.00	485,700.30	0.00	0.00	(50,098,583.38)	0.00	(11,648.69)	(1,358,015.54)	(5,868,397.56)	(133,265.01)	0.00	(8,057.33)	(\$56,565,252.72)
February-08	78,188.93	(700.00)	280,051.57	0.00	0.00	(12,652,813.51)	(149,473.94)	(30,982.99)	(976,055.79)	(24,291.81)	(2,486.56)	0.00	0.00	(\$13,478,564.10)
March-08	(117,007.81)	3,650.00	297,242.43	5,958,195.90	0.00	(15,149,047.56)	(119,466.76)	(40,157.18)	(1,386,827.08)	(27,676.44)	(643.64)	0.00	0.00	(\$10,581,738.14)
April-08	327,063.33	400.40	155,664.53	0.00	0.00	(1,842,874.93)	(53,292.46)	(11,024.74)	(488,075.96)	(62.25)	(210.01)	0.00	(2,000,000.00)	(\$3,912,412.09)
May-08	(11,465.74)	0.00	56,142.90	0.00	0.00	(1,255,673.17)	(62,923.22)	(8,708.87)	(47,475.40)	16,421.38	(276.27)	0.00	(400,000.00)	(\$1,713,958.39)
June-08	284,415.97	1,312.50	52,551.25	6,236,447.62	0.00	(456,010.93)	(11,436.86)	(908.40)	(43,112.03)	(959.83)	(117.52)	(15,698,731.74)	0.00	(\$9,636,549.97)
July-08	1,973.19	87.50	1,325.69	0.00	0.00	(1,308,287.03)	0.00	0.00	1,859.23	(266.50)	(56.67)	0.00	0.00	(\$1,303,364.59)
August-08	(379.68)	87.50	24,229.02	0.00	0.00	(560,191.45)	0.00	(108.08)	3,689.36	(1,098.62)	10,863.60	0.00	0.00	(\$522,908.35)
September-08	20.93	(87.50)	23,642.40	0.00	0.00	(219,001.89)	(1,995.14)	(1,057.27)	(7,680.87)	170,899.41	(166,859.89)	0.00	0.00	(\$202,119.82)
October-08	0.00	0.00	13,294.42	0.00	0.00	189,810.10	(13,439.71)	(3,791.04)	(78,022.31)	20,638.29	(219.04)	0.00	0.00	\$128,270.71
November-08	0.00	0.00	24,810.18	0.00	0.00	(845,852.66)	(2,875.74)	0.00	(529.64)	(128.90)	(17.50)	0.00	0.00	(\$824,594.26)
December-08	0.00	0.00	14,958.04	0.00	0.00	(564,666.48)	0.00	(18,554.38)	(339,771.84)	(60,627.52)	(11.67)	0.00	0.00	(\$968,673.85)
Plan Year Transactions to Date	1,140,715,605.42	59,281,231.95	7,623,443.50	24,262,373.59	0.00	(842,933,324.89)	(56,892,071.80)	(2,933,620.26)	(47,399,523.14)	(256,254,598.42)	(8,866,331.64)	(15,698,731.74)	6,722,637.05	\$7,627,089.62

500 June 08 transfers between plan years consist of \$15,698,731.74 transferred to 2008.

Kentucky Employees Health Plan – Status Report
Attachment B

4th Quarter, 2012
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PLAN YEAR 2007														
2007	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO/FROM PLAN YEARS	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS
December-06	145,538.53	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$145,538.53
January-07	95,628,504.31	890,337.50	0.00	0.00	0.00	(12,872,647.41)	(4,344,187.52)	(70,023.79)	(1,638,005.91)	(19,220,941.75)	(578,897.33)	0.00	10,806,011.40	\$68,600,149.50
February-07	94,760,208.66	7,028,187.50	285,218.40	0.00	0.00	(54,138,312.89)	(4,437,008.00)	(182,325.14)	(4,371,073.41)	(19,185,904.92)	(843,518.78)	0.00	100,010.73	\$19,015,482.15
March-07	92,635,535.95	4,994,500.00	374,953.09	0.00	0.00	(57,423,623.25)	(4,761,706.10)	(539,111.13)	(4,290,599.88)	(19,791,543.39)	(716,832.28)	0.00	59,956.22	\$10,541,529.23
April-07	95,132,009.43	2,953,650.00	489,438.28	0.00	0.00	(60,354,324.28)	(4,385,383.08)	(207,874.13)	(4,768,571.76)	(18,531,239.51)	(691,816.38)	0.00	0.00	\$9,635,888.57
May-07	95,697,253.52	9,051,033.88	673,715.75	0.00	0.00	(67,010,154.22)	(4,693,562.22)	(547,762.85)	(4,157,130.61)	(23,510,856.73)	(800,079.05)	0.00	0.00	\$4,702,457.47
June-07	95,998,911.56	4,913,354.62	1,118,787.04	0.00	0.00	(76,111,445.98)	(4,604,295.32)	(184,726.50)	(4,470,479.22)	(19,666,161.02)	(694,905.56)	0.00	157,230.00	(\$3,543,730.38)
July-07	94,065,136.94	4,820,107.23	0.00	0.00	0.00	(74,876,669.69)	(93,452.51)	(354,933.71)	(3,998,535.11)	(19,293,908.35)	(501,554.74)	0.00	0.00	(\$233,809.94)
August-07	92,804,598.20	892,664.70	535,832.71	6,127,725.00	0.00	(76,559,986.56)	(9,397,503.94)	(163,086.56)	(3,859,291.89)	(24,480,548.74)	(801,796.43)	0.00	0.00	(\$14,901,393.51)
September-07	94,858,022.07	8,625,244.17	613,971.58	0.00	0.00	(58,342,519.13)	(4,640,244.18)	(137,420.21)	(2,730,049.63)	(19,968,399.17)	(690,468.28)	0.00	0.00	\$17,588,137.22
October-07	136,543,983.44	4,920,047.56	956,738.96	0.00	0.00	(78,704,657.49)	(4,771,304.14)	(152,300.13)	(3,237,230.54)	(25,418,474.07)	(694,655.18)	0.00	(25,157.96)	\$29,416,990.45
November-07	57,524,524.06	875,086.46	0.00	0.00	0.00	(76,072,441.48)	(5,374,281.49)	(169,977.44)	(2,540,207.78)	(20,369,255.05)	(712,129.49)	0.00	7,486.03	(\$46,831,196.18)
December-07	94,351,287.14	8,892,535.93	1,145,174.97	5,940,005.07	0.00	(65,703,349.62)	(4,974,239.47)	(97,137.03)	(2,618,329.53)	(21,041,815.37)	(846,377.96)	0.00	(1,974,842.04)	\$13,072,912.09
Plan Year Transactions to Date	1,140,145,513.81	58,856,749.55	6,193,830.77	12,067,730.07	0.00	(758,170,132.00)	(56,477,167.97)	(2,806,678.62)	(42,679,505.27)	(250,479,048.07)	(8,573,031.46)	0.00	9,130,694.38	\$107,208,955.20

- 101 Received part of January 2007 premiums in December 2006.
- 102 HRA waiver contributions are due monthly. Untimely payments by some agencies account for monthly fluctuations.
- 110 Interest is reported as the information is received from Finance Accounts and as it is received from Humana per the contract.
- 120 Pharmacy rebates are not due under the terms of the contract until 150 days after the end of each quarter.
- 201 Medical claims are generally lower in the 1st quarter due to deductibles.
- 202 ASO fees fluctuate based on enrollment changes (new hires, terminations, disease management program enrollments, etc).
- 203 HRA funds used under the Select Plan.
- 204 HRA funds used under the Waiver program. Note \$175 each month is contributed by the agencies for employees that waive coverage. However, employees may use the yearly total (\$2,100.00) starting January 1.
- 301 Pharmacy Claims
- 302 Express Scripts administration fees are paid weekly. Fees vary depending on the number of weeks per month.
- 501 Other payments include 2005 Anthem reimbursements.
- 102 During April 07 a portion of KDE's HRA (\$2,049,512.50) was misclassified as a premium.

PLAN YEAR 2008														
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS
2011 Balance Forward	1,230,690,906.30	52,090,899.58	3,990,195.52	28,089,928.93	97,819,227.95	(949,783,032.95)	(61,670,256.23)	(4,335,289.87)	(48,348,525.88)	(291,065,510.28)	(9,113,443.00)	0.00	(55,497,818.84)	(\$7,132,718.77)
January-12	493.92	0.00	(166.07)	0.00	0.00	450,908.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$451,235.85
February-12	0.00	0.00	(126.93)	0.00	0.00	(86,537.21)	(4.05)	0.00	0.00	0.00	0.00	0.00	0.00	(\$86,668.19)
March-12	0.00	0.00	(138.67)	0.00	0.00	941.76	(29.79)	(2.84)	0.00	0.00	0.00	0.00	0.00	\$770.46
April-12	0.00	0.00	(624.30)	0.00	0.00	176,213.24	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$175,588.94
May-12	0.00	0.00	(5,873.89)	0.00	0.00	(153,332.22)	0.00	2.84	(7,369.33)	0.00	0.00	0.00	0.00	(\$166,572.60)
June-12	0.00	0.00	(1,419.56)	0.00	0.00	(4,024.41)	0.00	0.00	(943.77)	0.00	0.00	6,764,752.05	0.00	\$6,758,364.31
July-12	0.00	0.00	0.00	0.00	0.00	28,449.99	0.00	0.00	(7,807.23)	0.00	0.00	(20,642.76)	0.00	\$0.00
August-12	0.00	0.00	0.00	0.00	0.00	156,804.46	0.00	0.00	0.00	0.00	0.00	(156,804.46)	0.00	(\$0.00)
September-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
October-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
November-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
December-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
Plan Year Transactions to Date	1,230,691,400.22	52,090,899.58	3,981,846.10	28,089,928.93	97,819,227.95	(949,213,609.34)	(61,670,290.07)	(4,335,289.87)	(48,364,646.21)	(291,065,510.28)	(9,113,443.00)	6,587,304.83	(55,497,818.84)	(\$0.00)

500 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

PLAN YEAR 2008														
2011	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS
2010 Balance Forward	1,230,690,906.30	52,090,899.58	4,009,303.20	28,089,928.93	97,819,227.95	(951,541,570.09)	(61,666,560.15)	(4,335,289.87)	(48,350,137.39)	(291,054,302.36)	(9,113,148.00)	0.00	(54,997,818.84)	(\$8,358,560.74)
January-11	0.00	0.00	(2,584.89)	0.00	0.00	767,997.86	0.00	0.00	189.78	0.00	0.00	0.00	0.00	\$765,602.75
February-11	0.00	0.00	(2,819.80)	0.00	0.00	307,166.69	(1,284.01)	0.00	11.65	0.00	0.00	0.00	0.00	\$303,074.53
March-11	0.00	0.00	(1,163.69)	0.00	0.00	(47,928.22)	0.00	0.00	1,283.81	0.00	0.00	0.00	0.00	(\$47,808.10)
April-11	0.00	0.00	(1,192.91)	0.00	0.00	432,356.20	(1,579.87)	0.00	27.62	0.00	0.00	0.00	0.00	\$429,611.04
May-11	0.00	0.00	(1,782.58)	0.00	0.00	(65,120.30)	(99.90)	0.00	0.00	(1,639.88)	(10.00)	0.00	(500,000.00)	(\$568,652.66)
June-11	0.00	0.00	(6,206.21)	0.00	0.00	169,697.70	(208.67)	0.00	0.00	(1,278.58)	(42.50)	0.00	0.00	\$161,961.74
July-11	0.00	0.00	0.00	0.00	0.00	128,567.15	0.00	0.00	14.80	(389.47)	(52.50)	0.00	0.00	\$128,139.98
August-11	0.00	0.00	0.00	0.00	0.00	(13,863.95)	0.00	0.00	0.00	(8,394.18)	(22.50)	0.00	0.00	(\$22,280.63)
September-11	0.00	0.00	(421.24)	0.00	0.00	152,324.19	(411.17)	0.00	83.85	(572.34)	(167.50)	0.00	0.00	\$150,835.79
October-11	0.00	0.00	(982.50)	0.00	0.00	(70,450.59)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$71,433.09)
November-11	0.00	0.00	(868.37)	0.00	0.00	5,537.67	(112.46)	0.00	0.00	0.00	0.00	0.00	0.00	\$4,556.84
December-11	0.00	0.00	(1,085.49)	0.00	0.00	(7,747.26)	0.00	0.00	0.00	1,066.53	0.00	0.00	0.00	(\$7,766.22)
Plan Year Transactions to Date	1,230,690,906.30	52,090,899.58	3,990,195.52	28,089,928.93	97,819,227.95	(949,783,032.95)	(61,670,256.23)	(4,335,289.87)	(48,348,525.88)	(291,065,510.28)	(9,113,443.00)	0.00	(55,497,818.84)	(\$7,132,718.77)

PLAN YEAR 2008														
2010	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/ EXP & ADJ	CASH TRANSACTIONS
2009 Balance Forward	1,230,690,906.30	55,623,196.96	4,007,504.32	28,089,928.93	97,819,227.95	(953,887,686.24)	(61,566,897.78)	(4,318,630.47)	(48,262,625.30)	(290,801,469.35)	(9,100,846.07)	0.00	(54,997,818.84)	(\$6,705,209.59)
January-10	0.00	(805,842.07)	18,774.16	0.00	0.00	(312,273.79)	(93,393.28)	(9,668.92)	(51,733.92)	(1,544.81)	(99.73)	0.00	0.00	(\$1,255,782.36)
February-10	0.00	0.00	5.67	0.00	0.00	238,286.41	0.00	(7,384.09)	(48,226.39)	0.00	0.00	0.00	0.00	\$182,681.60
March-10	0.00	(401,362.91)	418.59	0.00	0.00	507,776.84	(5,319.22)	0.00	294.66	9,086.58	0.00	0.00	0.00	\$110,894.54
April-10	0.00	0.00	242.97	0.00	0.00	(160,893.46)	0.00	0.00	4,819.85	178.86	(10.56)	0.00	0.00	(\$155,662.34)
May-10	0.00	0.00	122.33	0.00	0.00	675,134.79	(626.89)	66.00	16.01	(82,202.59)	(3,187.78)	0.00	0.00	\$589,321.87
June-10	0.00	(2,325,792.40)	(208.80)	0.00	0.00	(235,297.77)	(315.45)	22.27	3,227.32	(148,012.20)	(7,605.00)	0.00	0.00	(\$2,713,982.03)
July-10	0.00	0.00	0.00	0.00	0.00	(137,046.04)	0.00	153.76	1,598.56	(2,585.29)	(172.45)	0.00	0.00	(\$138,051.46)
August-10	0.00	0.00	(2,142.00)	0.00	0.00	382,782.57	(4.53)	151.58	2,090.94	(7,526.67)	(165.00)	0.00	0.00	\$375,186.89
September-10	0.00	0.00	(4,138.70)	0.00	0.00	(197,270.29)	0.00	0.00	150.00	(1,254.45)	(295.00)	0.00	0.00	(\$202,808.44)
October-10	0.00	0.00	(5,588.38)	0.00	0.00	1,472,974.03	(3.00)	0.00	250.88	(257.60)	(20.00)	0.00	0.00	\$1,467,355.93
November-10	0.00	700.00	(1,934.04)	0.00	0.00	(89,902.64)	0.00	0.00	0.00	(18,557.28)	(688.91)	0.00	0.00	(\$110,382.87)
December-10	0.00	0.00	(3,752.92)	0.00	0.00	201,845.50	0.00	0.00	0.00	(157.56)	(57.50)	0.00	0.00	\$197,877.52
Plan Year Transactions to Date	1,230,690,906.30	52,090,899.58	4,009,303.20	28,089,928.93	97,819,227.95	(951,541,570.09)	(61,666,560.15)	(4,335,289.87)	(48,350,137.39)	(291,054,302.36)	(9,113,148.00)	0.00	(54,997,818.84)	(\$8,358,560.74)

PLAN YEAR 2008														
2009	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS
2008 Balance Forward	1,229,877,980.55	55,853,875.83	3,303,990.27	13,737,665.63	97,819,227.95	(864,501,136.77)	(60,985,385.97)	(3,977,886.58)	(44,438,452.79)	(287,588,732.91)	(8,918,940.15)	0.00	(2,447,818.84)	\$127,734,386.22
January-09	31,731,638.32	(5,956.00)	52,421.76	0.00	0.00	(61,732,064.09)	(111,858.77)	(87,199.44)	(1,723,846.38)	(2,877,286.35)	(173,324.90)	0.00	0.00	(\$34,927,475.85)
February-09	83,289.80	(216,986.49)	100,232.73	0.00	0.00	(15,046,500.84)	(328,281.88)	(36,032.33)	(1,046,472.64)	(136,795.79)	(4,803.24)	0.00	(1,275,000.00)	(\$17,907,350.68)
March-09	(25,952.98)	0.00	37,890.10	7,055,831.33	0.00	(5,156,748.15)	(46,949.13)	(44,382.95)	(797,807.07)	5,978.11	(494.77)	0.00	0.00	\$1,027,364.49
April-09	(54,338.09)	801,866.41	29,916.65	0.00	0.00	(5,825,627.66)	0.00	(78,401.52)	(433,651.96)	(10,724.12)	(422.24)	0.00	(1,275,000.00)	(\$6,846,382.53)
May-09	(1,285.64)	(14,179.64)	24,869.17	0.00	0.00	(1,660,992.90)	(26,408.80)	(4,167.40)	129,916.70	(59,779.83)	(269.54)	0.00	0.00	(\$1,612,297.88)
June-09	(2,436.98)	(393,225.00)	272,251.30	7,295,841.15	0.00	(636,045.32)	(38,236.87)	10,837.06	(9,928.86)	(49,995.06)	3,417.71	0.00	(50,000,000.00)	(\$43,547,520.87)
July-09	5,527.22	0.00	50.38	590.82	0.00	(1,566,569.71)	(27,218.53)	(257.85)	(1,276.79)	(1,100.48)	(1,581.39)	0.00	0.00	(\$1,591,836.33)
August-09	40.80	0.00	40,664.29	0.00	0.00	(1,209,900.95)	0.00	(18,579.15)	18,455.37	(34,345.39)	(1,804.45)	0.00	0.00	(\$1,205,469.48)
September-09	75,278.69	0.00	47,999.28	0.00	0.00	232,009.00	0.00	(40,929.78)	17,664.56	(3,103.78)	(168.09)	0.00	0.00	\$328,749.88
October-09	0.00	0.00	4,895.19	0.00	0.00	(396,388.89)	0.00	(19,995.26)	17,802.08	(39,340.49)	(2,095.01)	0.00	0.00	(\$435,122.38)
November-09	0.00	0.00	0.00	0.00	0.00	1,498,110.29	0.00	(21,778.40)	5,982.89	(5,206.54)	(285.00)	0.00	0.00	\$1,476,823.24
December-09	0.00	(402,198.15)	92,323.20	0.00	0.00	2,114,169.75	(2,557.83)	143.13	(1,010.41)	(1,036.72)	(75.00)	0.00	0.00	\$1,799,757.97
Plan Year Transactions to Date	1,261,689,741.69	55,623,196.96	4,007,504.32	28,089,928.93	97,819,227.95	(953,887,686.24)	(61,566,897.78)	(4,318,630.47)	(48,262,625.30)	(290,801,469.35)	(9,100,846.07)	0.00	(54,997,818.84)	\$24,293,625.80

PLAN YEAR 2008														
2008	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS
December-07	1,938,158.97	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$1,938,158.97
January-08	102,981,143.35	433,603.50	8,824.43	0.00	658,356.10	(25,805,110.62)	(4,842,532.38)	(213,106.55)	(3,074,686.99)	(20,404,177.68)	(569,416.92)	0.00	100,820.75	\$49,273,716.99
February-08	100,464,108.76	8,726,638.57	283,193.48	0.00	657,763.84	(65,547,690.39)	(4,892,978.86)	(301,274.73)	(3,819,381.00)	(21,910,466.69)	(885,439.23)	0.00	0.00	\$12,774,473.75
March-08	100,994,511.09	4,751,051.92	222,574.92	0.00	56,102,467.13	(63,303,985.21)	(4,885,611.55)	(712,551.36)	(3,791,359.08)	(21,863,200.61)	(732,679.53)	0.00	0.00	\$66,781,217.72
April-08	101,332,716.16	4,781,715.67	463,073.97	0.00	1,850,662.56	(73,971,630.76)	(5,201,553.06)	(361,519.58)	(4,919,746.70)	(26,637,509.83)	(710,480.72)	0.00	0.00	(\$3,374,272.29)
May-08	105,367,420.66	862,281.74	315,479.41	0.00	(3,952,389.72)	(75,836,235.05)	(5,213,601.85)	(439,077.46)	(4,053,707.15)	(20,803,317.81)	(817,622.83)	0.00	0.00	(\$4,570,770.06)
June-08	104,306,873.36	8,624,814.76	307,986.30	0.00	42,502,368.04	(73,042,375.89)	(4,892,557.54)	(529,936.19)	(4,293,308.91)	(21,928,865.19)	(710,367.63)	0.00	0.00	\$50,344,631.11
July-08	103,056,822.87	4,587,341.50	72,717.14	0.00	0.00	(78,866,018.11)	(5,286,315.21)	(338,888.30)	(4,368,878.33)	(26,346,647.76)	(551,076.67)	0.00	1,360.41	(\$8,039,582.46)
August-08	139,548,823.37	4,499,039.82	399,404.36	0.00	0.00	(90,733,996.65)	(4,987,877.31)	(239,199.08)	(3,626,671.10)	(21,863,426.56)	(940,514.01)	0.00	(1,275,000.00)	\$20,780,582.84
September-08	64,929,512.11	813,018.68	462,947.16	6,912,622.35	0.00	(80,328,448.72)	(5,160,604.69)	(215,549.67)	(3,319,622.76)	(28,153,329.94)	(696,594.97)	0.00	0.00	(\$44,756,050.45)
October-08	60,335,714.07	8,348,674.55	274,454.73	0.00	0.00	(80,020,099.61)	(5,290,409.74)	(192,110.10)	(3,007,610.81)	(23,270,114.85)	(706,914.01)	0.00	0.00	(\$43,528,415.77)
November-08	144,083,073.47	822,852.19	257,109.25	0.00	0.00	(71,721,346.46)	(5,240,246.62)	(219,870.06)	(3,141,778.38)	(24,573,391.85)	(866,337.83)	0.00	(1,275,000.00)	\$38,125,063.71
December-08	69,540,266.92	8,602,842.93	236,225.12	6,825,043.28	0.00	(85,324,199.30)	(5,091,097.16)	(214,803.50)	(3,021,701.58)	(29,834,284.14)	(731,495.80)	0.00	0.00	(\$39,013,203.23)
Plan Year Transactions to Date	1,198,879,145.16	55,853,875.83	3,303,990.27	13,737,665.63	97,819,227.95	(864,501,136.77)	(60,985,385.97)	(3,977,886.58)	(44,438,452.79)	(287,588,732.91)	(8,918,940.15)	0.00	(2,447,818.84)	\$96,735,550.83

101 Move \$30,998,835.39 in premium receipts from December, 2008 to January, 2009 to reflect proper allocation of receipts.

PLAN YEAR 2009														
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2011 Balance Forward	1,393,953,469.18	55,664,485.80	(158,751.20)	30,206,603.39	0.00	(1,021,402,308.94)	(64,702,781.60)	(12,287,072.28)	(48,451,869.92)	(308,531,453.64)	(9,956,208.43)	0.00	(4,011,296.35)	\$10,322,816.01
January-12	0.00	0.00	240.35	0.00	0.00	1,541,165.59	0.00	65.20	1,686.02	701.15	(11.67)	0.00	0.00	\$1,543,846.64
February-12	0.00	0.00	225.43	0.00	0.00	(102,974.48)	(975.74)	245.00	305.45	0.00	0.00	0.00	0.00	(\$103,174.34)
March-12	(1,698.60)	0.00	241.02	0.00	0.00	(187,520.81)	(12.60)	0.00	70.00	(1,495.92)	(80.00)	0.00	0.00	(\$190,496.91)
April-12	0.00	0.00	1,067.62	0.00	0.00	467,281.25	(44.73)	200.11	20.00	(542.25)	(25.00)	0.00	0.00	\$467,957.00
May-12	0.00	0.00	10,729.59	0.00	0.00	713,350.21	(5.31)	371.99	445.57	6,673.70	0.00	0.00	0.00	\$731,565.75
June-12	0.00	0.00	2,682.80	0.00	0.00	(38,181.11)	(533.46)	83.64	(25.00)	(23.50)	0.00	(12,736,517.52)	0.00	(\$12,772,514.15)
July-12	0.00	0.00	0.00	0.00	0.00	(24,204.68)	0.00	0.00	265.63	0.00	0.00	23,939.05	0.00	(\$0.00)
August-12	0.00	0.00	0.00	0.00	0.00	59,654.77	0.00	50.00	334.42	0.00	0.00	(60,039.19)	0.00	(\$0.00)
September-12	0.00	0.00	0.00	0.00	0.00	342.91	0.00	0.00	0.00	0.00	0.00	(342.91)	0.00	(\$0.00)
October-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
November-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
December-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
Plan Year Transactions to Date	1,393,951,770.58	55,664,485.80	(143,564.39)	30,206,603.39	0.00	(1,018,973,395.29)	(64,704,353.44)	(12,286,056.34)	(48,448,767.83)	(308,526,140.46)	(9,956,325.10)	(12,772,960.57)	(4,011,296.35)	\$0.00

500 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

PLAN YEAR 2009														
2011	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2010 Balance Forward	1,393,953,469.18	55,664,485.80	(182,808.97)	30,206,603.39	0.00	(1,024,954,193.76)	(64,678,191.21)	(12,297,674.67)	(48,479,712.61)	(308,430,574.58)	(9,950,444.78)	0.00	(4,011,296.35)	\$6,839,661.44
January-11	0.00	0.00	2,115.44	0.00	0.00	1,571,119.64	0.00	(45.26)	6,279.87	780.82	(583.07)	0.00	0.00	\$1,579,667.44
February-11	0.00	0.00	3,140.16	0.00	0.00	1,079,316.92	(5,754.41)	613.89	8,117.23	(6.15)	(27.78)	0.00	0.00	\$1,085,399.86
March-11	0.00	0.00	1,517.36	0.00	0.00	(409,331.72)	0.00	877.00	1,847.71	(30,933.18)	(2,643.07)	0.00	0.00	(\$438,665.90)
April-11	0.00	0.00	1,473.90	0.00	0.00	1,195,692.97	(1,115.62)	6,244.97	3,832.47	(617.28)	(42.50)	0.00	0.00	\$1,205,468.91
May-11	0.00	0.00	2,657.41	0.00	0.00	(360,902.93)	(4,436.71)	300.00	374.79	(5,769.89)	(247.78)	0.00	0.00	(\$368,025.11)
June-11	0.00	0.00	8,220.60	0.00	0.00	405,339.18	(8,880.78)	501.64	2,376.98	(16,494.64)	0.00	0.00	0.00	\$391,062.98
July-11	0.00	0.00	0.00	0.00	0.00	494,682.90	0.00	0.00	340.00	(15,112.84)	(1,167.50)	0.00	0.00	\$478,742.56
August-11	0.00	0.00	0.00	0.00	0.00	(128,779.29)	(190.53)	100.00	270.00	(27,218.17)	(355.00)	0.00	0.00	(\$156,172.99)
September-11	0.00	0.00	620.39	0.00	0.00	(93,198.96)	(1,007.39)	1,659.87	3,392.83	(7,033.25)	(652.50)	0.00	0.00	(\$96,219.01)
October-11	0.00	0.00	1,464.53	0.00	0.00	(84,758.38)	(6.30)	21.90	769.00	83.40	(8.06)	0.00	0.00	(\$82,433.91)
November-11	0.00	0.00	1,271.41	0.00	0.00	(88,375.55)	(3,198.65)	278.38	191.81	(352.41)	(22.50)	0.00	0.00	(\$90,207.51)
December-11	0.00	0.00	1,576.57	0.00	0.00	(28,919.96)	0.00	50.00	50.00	1,794.53	(13.89)	0.00	0.00	(\$25,462.75)
Plan Year Transactions to Date	1,393,953,469.18	55,664,485.80	(158,751.20)	30,206,603.39	0.00	(1,021,402,308.94)	(64,702,781.60)	(12,287,072.28)	(48,451,869.92)	(308,531,453.64)	(9,956,208.43)	0.00	(4,011,296.35)	\$10,322,816.01

PLAN YEAR 2009														
2010	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2009 Balance Forward	1,335,567,591.81	53,760,719.06	(197,347.69)	13,736,883.35	0.00	(938,874,352.07)	(63,981,291.05)	(11,520,857.46)	(44,487,091.79)	(303,575,659.36)	(9,643,151.80)	0.00	(2,736,296.35)	\$28,049,146.65
January-10	54,835,551.60	1,552,729.38	(2,279.52)	0.00	0.00	(59,508,858.50)	(141,041.02)	(299,514.62)	(1,620,486.12)	(4,371,296.64)	(318,936.11)	0.00	(1,275,000.00)	(\$11,149,131.55)
February-10	2,808,530.43	(45,862.64)	5,635.11	8,532,678.01	0.00	(14,612,344.80)	0.00	(110,963.66)	(901,689.31)	0.00	0.00	0.00	0.00	(\$4,324,016.86)
March-10	253,023.19	394,625.00	(332.10)	0.00	0.00	(7,374,330.06)	(499,457.33)	(145,088.62)	(847,559.40)	91,312.01	0.00	0.00	0.00	(\$8,127,807.31)
April-10	121,570.29	0.00	193.75	0.00	0.00	(2,877,345.58)	0.00	(89,780.21)	(591,566.11)	3,077.95	37,160.34	0.00	0.00	(\$3,396,689.57)
May-10	713.39	0.00	(158.07)	7,937,042.03	0.00	(1,297,270.46)	(36,843.59)	(6,392.34)	(27,978.57)	(112,804.43)	(4,586.76)	0.00	0.00	\$6,451,721.20
June-10	365,273.49	175.00	216.58	0.00	0.00	(1,517,407.28)	(5,979.42)	(121,761.77)	(4,236.17)	(279,939.85)	(13,297.51)	0.00	0.00	(\$1,576,956.93)
July-10	1,466.98	0.00	0.00	0.00	0.00	(1,120,848.39)	0.00	(920.35)	3,687.93	(72,415.94)	(3,487.02)	0.00	0.00	(\$1,192,516.79)
August-10	0.00	0.00	1,022.48	0.00	0.00	1,395,030.91	(3,853.54)	1,282.88	7,509.67	(14,242.47)	(780.00)	0.00	0.00	\$1,385,969.93
September-10	0.00	0.00	2,608.25	0.00	0.00	(697,531.21)	0.00	885.47	(3,147.96)	(5,083.32)	(425.00)	0.00	0.00	(\$702,693.77)
October-10	0.00	0.00	3,071.10	0.00	0.00	1,848,458.94	(1,137.95)	(1,506.72)	296.57	(5,161.86)	(75.00)	0.00	0.00	\$1,843,945.08
November-10	(252.00)	2,100.00	1,662.67	0.00	0.00	(554,204.31)	(8,587.31)	(397.14)	(2,325.32)	(88,219.34)	(2,399.80)	0.00	0.00	(\$652,622.55)
December-10	0.00	0.00	2,898.47	0.00	0.00	236,809.05	0.00	(2,660.13)	(5,126.03)	(141.33)	(466.12)	0.00	0.00	\$231,313.91
Plan Year Transactions to Date	1,393,953,469.18	55,664,485.80	(182,808.97)	30,206,603.39	0.00	(1,024,954,193.76)	(64,678,191.21)	(12,297,674.67)	(48,479,712.61)	(308,430,574.58)	(9,950,444.78)	0.00	(4,011,296.35)	\$6,839,661.44

PLAN YEAR 2009														
2009	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS
2008 Balance Forward	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
January-09	36,523,672.87	804,529.84	0.00	0.00	0.00	(23,435,843.21)	(4,930,939.18)	(739,916.87)	(3,247,618.49)	(19,410,459.48)	(660,148.43)	0.00	0.00	(\$15,096,722.95)
February-09	116,054,907.31	4,557,333.81	(49,783.83)	0.00	0.00	(62,639,521.72)	(5,408,353.43)	(916,673.62)	(3,511,161.00)	(22,139,001.69)	(773,218.49)	0.00	0.00	\$25,174,527.34
March-09	118,034,176.38	8,311,362.50	(10,583.51)	0.00	0.00	(79,699,086.09)	(5,248,643.35)	(1,306,111.60)	(4,479,711.29)	(29,642,145.83)	(818,677.84)	0.00	0.00	\$5,140,579.37
April-09	113,488,406.75	12,424.58	(6,011.31)	0.00	0.00	(72,349,320.85)	(5,169,296.47)	(1,087,327.00)	(4,101,384.86)	(22,844,015.08)	(788,213.60)	0.00	0.00	\$7,155,262.16
May-09	115,735,916.14	8,282,061.77	2,316.03	0.00	0.00	(85,880,553.65)	(5,303,473.86)	(1,052,889.47)	(3,741,214.09)	(22,661,572.67)	(653,544.66)	0.00	0.00	\$4,727,045.54
June-09	125,207,437.95	4,905,574.70	(15,729.98)	0.00	0.00	(84,589,642.73)	(5,397,893.22)	(1,190,859.72)	(4,659,628.18)	(29,139,580.50)	(1,041,378.61)	0.00	0.00	\$4,078,299.71
July-09	109,584,086.70	4,454,417.06	4,789.35	0.00	0.00	(93,786,698.95)	(5,274,363.23)	(934,666.41)	(4,403,612.75)	(22,789,047.74)	(761,661.19)	0.00	(1,273,348.65)	(\$15,180,105.81)
August-09	111,338,667.35	4,404,735.89	(27,317.18)	0.00	0.00	(84,419,676.60)	(5,143,301.79)	(856,381.60)	(3,713,992.84)	(23,346,474.91)	(760,792.84)	0.00	0.00	(\$2,524,534.52)
September-09	116,503,546.20	4,346,377.77	(39,821.33)	7,023,397.82	0.00	(85,318,827.94)	(5,505,684.21)	(899,214.76)	(3,312,977.27)	(30,057,559.47)	(927,016.37)	0.00	0.00	\$1,812,220.44
October-09	114,644,530.40	4,470,702.84	1,352.22	0.00	0.00	(89,791,379.90)	(5,554,309.19)	(890,145.92)	(3,085,098.37)	(25,050,976.42)	(956,600.13)	0.00	(1,275,000.00)	(\$7,486,924.47)
November-09	116,247,533.00	4,403,589.15	0.00	0.00	0.00	(75,940,040.02)	(5,216,766.28)	(808,452.95)	(2,807,527.44)	(25,778,024.78)	(681,099.06)	0.00	0.00	\$9,419,211.62
December-09	142,204,710.76	4,807,609.15	(56,558.15)	6,713,485.53	0.00	(101,023,760.41)	(5,828,266.84)	(838,217.54)	(3,423,165.21)	(30,716,800.79)	(820,800.58)	0.00	(187,947.70)	\$10,830,288.22
Plan Year Transactions to Date	1,335,567,591.81	53,760,719.06	(197,347.69)	13,736,883.35	0.00	(938,874,352.07)	(63,981,291.05)	(11,520,857.46)	(44,487,091.79)	(303,575,659.36)	(9,643,151.80)	0.00	(2,736,296.35)	\$28,049,146.65

101 Move \$30,998,835.39 in premium receipts from December, 2008 to January, 2009 to reflect proper allocation of receipts.

PLAN YEAR 2010														
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <u>TO</u> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2011 Balance Forward	1,537,190,123.97	52,205,724.17	174,234.49	28,176,749.75	0.00	(1,108,890,445.85)	(68,040,742.98)	(14,937,266.05)	(46,874,123.60)	(337,787,186.27)	(11,202,705.12)	0.00	(4,894,978.57)	\$25,119,383.94
January-12	(889.54)	0.00	584.86	0.00	0.00	5,597,639.66	0.00	(706.81)	2,689.26	5,056.48	(79.48)	0.00	0.00	\$5,604,294.43
February-12	(27.50)	0.00	593.11	0.00	0.00	(515,609.34)	(3,398.61)	73.08	6,565.53	(110.15)	(15.28)	0.00	0.00	(\$511,929.16)
March-12	(20,577.80)	0.00	619.01	0.00	0.00	(253,073.91)	291.20	(776.89)	(3,500.67)	451.66	(70.57)	0.00	0.00	(\$276,637.97)
April-12	(5,683.88)	0.00	2,761.55	0.00	0.00	1,148,959.52	29.92	(10.52)	(263.09)	736.16	(4.17)	0.00	0.00	\$1,146,525.49
May-12	(8,022.48)	0.00	27,697.62	0.00	0.00	771,465.20	(209.81)	(2,125.64)	(2,119.67)	13,904.50	(17.50)	0.00	0.00	\$800,572.22
June-12	0.00	0.00	6,696.69	0.00	22,721,775.75	(126,687.55)	(464.60)	928.38	587.92	8,782.47	205,556.82	0.00	0.00	\$22,817,175.88
July-12	0.00	0.00	11,262.02	0.00	364,837.81	122,095.12	0.00	0.00	(569.30)	8,685.08	(105.01)	0.00	0.00	\$506,205.72
August-12	(9,717.98)	0.00	10,799.09	0.00	212,573.26	265,636.66	(464.50)	369.83	4,361.30	(3,150.12)	(112.50)	0.00	0.00	\$480,295.04
September-12	(66.67)	0.00	9,537.45	37,683.63	409.58	431,306.72	(1,365.62)	1,057.13	12,227.87	5,899.08	(62.78)	0.00	0.00	\$496,626.39
October-12	(204,303.55)	0.00	14,051.87	0.00	0.00	(124,992.99)	0.00	118.72	(5,646.12)	632.06	(55.28)	0.00	0.00	(\$320,195.29)
November-12	0.00	0.00	12,038.35	0.00	0.00	(59,009.65)	0.00	0.00	(12,108.41)	(3,345.52)	0.00	0.00	0.00	(\$62,425.23)
December-12	0.00	0.00	8,613.38	0.00	0.00	982,934.44	(42.82)	5.38	984.54	(159.24)	(101.95)	0.00	0.00	\$992,233.73
Plan Year Transactions to Date	1,536,940,834.57	52,205,724.17	279,489.49	28,214,433.38	23,299,596.40	(1,100,649,781.97)	(68,046,367.82)	(14,938,333.39)	(46,870,914.44)	(337,749,803.81)	(10,997,772.82)	0.00	(4,894,978.57)	\$56,792,125.19

130 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

PLAN YEAR 2010														
2011	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2010 Balance Forward	1,474,268,363.94	51,843,968.02	82,065.07	14,254,805.62	0.00	(1,016,298,767.20)	(67,316,753.15)	(14,012,930.86)	(42,708,522.97)	(330,494,624.99)	(10,380,815.11)	0.00	(4,894,978.57)	\$54,341,809.80
January-11	62,925,838.08	(3,438.85)	16,835.54	0.00	0.00	(57,380,932.65)	(561,804.78)	(442,666.70)	(1,867,394.13)	(6,643,709.15)	(640,486.98)	0.00	0.00	(\$4,597,759.62)
February-11	(330,375.99)	364,145.00	26,914.35	7,318,750.55	0.00	(16,318,159.37)	18,291.62	(111,859.63)	(652,579.42)	(128,418.99)	(15,654.19)	0.00	0.00	(\$9,828,946.07)
March-11	300,177.24	(1,225.00)	6,375.77	0.00	0.00	(9,774,394.74)	0.00	(193,790.37)	(868,878.01)	(318,902.74)	(158,514.39)	0.00	0.00	(\$11,009,152.24)
April-11	21,549.17	175.00	4,699.57	0.00	0.00	(3,113,216.58)	(63,003.20)	(99,288.52)	(571,230.36)	(28,117.62)	(1,741.67)	0.00	0.00	(\$3,850,174.21)
May-11	1,963.35	2,100.00	6,529.45	0.00	0.00	(2,709,942.26)	(35,184.19)	(36,515.71)	(111,496.25)	(30,654.84)	(1,456.46)	0.00	0.00	(\$2,914,656.91)
June-11	(6,664.78)	0.00	18,378.67	6,603,193.58	0.00	(399,218.17)	(34,592.55)	(27,116.38)	(76,296.74)	(16,252.13)	(150.84)	0.00	0.00	\$6,061,280.66
July-11	312.37	0.00	0.00	0.00	0.00	467,624.18	0.00	(5,534.04)	(3,422.32)	(64,183.91)	(1,684.50)	0.00	0.00	\$393,111.78
August-11	1,991.17	0.00	35.97	0.00	0.00	(970,996.07)	(8,550.76)	(4,955.02)	(16,292.12)	(50,178.43)	(748.14)	0.00	0.00	(\$1,049,693.40)
September-11	681.82	0.00	1,609.58	0.00	0.00	(804,166.50)	(6,177.18)	1,014.63	14,031.78	(10,270.74)	(1,160.29)	0.00	0.00	(\$804,436.90)
October-11	14,697.46	0.00	3,722.44	0.00	0.00	(832,266.41)	(5,518.69)	(517.67)	(1,889.74)	486.60	(71.40)	0.00	0.00	(\$821,357.41)
November-11	268.26	0.00	3,172.37	0.00	0.00	(317,914.07)	(27,450.10)	(2,127.74)	(5,462.17)	125.44	(13.89)	0.00	0.00	(\$349,401.90)
December-11	(8,678.12)	0.00	3,895.71	0.00	0.00	(438,096.01)	0.00	(978.04)	(4,691.15)	(2,484.77)	(207.26)	0.00	0.00	(\$451,239.64)
Plan Year Transactions to Date	1,537,190,123.97	52,205,724.17	174,234.49	28,176,749.75	0.00	(1,108,890,445.85)	(68,040,742.98)	(14,937,266.05)	(46,874,123.60)	(337,787,186.27)	(11,202,705.12)	0.00	(4,894,978.57)	\$25,119,383.94

Kentucky Employees Health Plan – Status Report
Attachment B

PLAN YEAR 2010														
2010	101 PREMIUMS	102 HRA WAIVER CONTRIBUTION	110 INTEREST INCOME	120 DRUG REBATES	130 TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	201 MEDICAL CLAIMS	202 HUMANA ADMIN FEES	203 HRA SELECT CLAIMS	204 HRA WAIVER CLAIMS	301 PHARMACY CLAIMS	302 EXPRESS SCRIPTS ADMIN FEES	500 TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	501 OPERATING TRANSFER/EXP & ADJ	TOTAL CASH TRANSACTIONS
2009 Balance Forward	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
January-10	40,610,656.28	3,497,770.00	0.00	0.00	0.00	(17,067,287.41)	(5,501,035.69)	(947,694.67)	(2,871,252.13)	(20,266,995.30)	(753,917.90)	0.00	0.00	(\$3,299,756.82)
February-10	124,360,733.46	4,258,628.45	173.48	0.00	0.00	(63,021,348.72)	(5,523,329.15)	(1,215,750.03)	(3,528,765.61)	(25,059,247.87)	(816,450.76)	0.00	0.00	\$29,454,643.25
March-10	130,638,108.44	4,270,080.88	471.48	0.00	0.00	(82,355,804.96)	(5,626,702.71)	(1,579,943.65)	(4,472,122.40)	(32,387,700.45)	(838,104.26)	0.00	(54,178.15)	\$7,594,104.22
April-10	129,152,022.42	4,258,676.92	5,221.59	0.00	0.00	(85,832,747.21)	(5,481,061.88)	(1,532,187.94)	(4,005,228.92)	(25,749,478.11)	(955,617.17)	0.00	(1,413,911.17)	\$8,445,688.53
May-10	129,181,111.53	4,260,752.26	222.72	0.00	0.00	(94,359,180.48)	(5,718,253.54)	(1,214,449.73)	(3,649,206.77)	(25,754,266.21)	(825,257.97)	0.00	(83,745.11)	\$1,837,726.70
June-10	158,346,459.91	6,509,599.51	1,271.00	0.00	0.00	(100,386,967.28)	(5,623,185.88)	(1,229,825.24)	(4,196,068.31)	(31,986,447.83)	(820,436.33)	0.00	(55,288.36)	\$20,559,111.19
July-10	123,372,458.09	4,161,587.50	0.00	0.00	0.00	(92,121,632.56)	(5,422,525.77)	(1,258,976.01)	(4,333,208.27)	(25,378,503.68)	(1,067,117.38)	0.00	(1,548,680.08)	(\$3,596,598.16)
August-10	103,046,413.80	4,120,287.50	18,688.35	0.00	0.00	(100,082,875.04)	(5,650,827.45)	(1,138,328.89)	(3,729,649.69)	(31,947,844.18)	(806,207.47)	0.00	(52,416.36)	(\$36,222,759.43)
September-10	102,582,008.02	4,036,812.50	10,558.00	7,000,703.32	0.00	(81,171,141.25)	(5,417,936.87)	(830,507.38)	(2,895,273.64)	(25,738,842.10)	(955,956.96)	0.00	(111,660.00)	(\$3,491,236.36)
October-10	149,611,237.78	4,157,562.50	19,689.25	0.00	0.00	(100,741,109.91)	(5,795,510.10)	(910,479.88)	(2,768,997.81)	(25,535,419.44)	(689,651.75)	0.00	(1,495,919.34)	\$15,851,401.30
November-10	128,700,028.48	4,136,417.50	8,502.82	7,254,102.30	0.00	(92,952,557.09)	(6,045,196.37)	(1,057,721.90)	(3,096,373.97)	(33,661,834.95)	(1,002,412.38)	0.00	(48,900.00)	\$2,234,054.44
December-10	154,667,125.73	4,175,792.50	17,266.38	0.00	0.00	(106,206,115.29)	(5,511,187.74)	(1,097,065.54)	(3,162,375.45)	(27,028,044.87)	(849,684.78)	0.00	(30,280.00)	\$14,975,430.94
Plan Year Transactions to Date	1,474,268,363.94	51,843,968.02	82,065.07	14,254,805.62	0.00	(1,016,298,767.20)	(67,316,753.15)	(14,012,930.86)	(42,708,522.97)	(330,494,624.99)	(10,380,815.11)	0.00	(4,894,978.57)	\$54,341,809.80

Kentucky Employees Health Plan – Status Report
Attachment B

PLAN YEAR 2011														
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2011 Balance Forward	1,526,196,607.59	47,836,510.94	150,396.82	16,097,358.02	0.00	(1,030,046,608.57)	(70,560,363.69)	(15,271,752.19)	(38,703,721.89)	(328,030,216.60)	(9,560,660.06)	0.00	(9,065,643.15)	\$89,041,907.22
January-12	64,831,266.19	6,825.00	2,073.20	0.00	0.00	(76,973,291.01)	0.00	(340,782.02)	(1,359,612.98)	(6,377,509.66)	(390,804.22)	0.00	(234,609.12)	(\$20,836,444.62)
February-12	3,238,913.87	4,271.66	9,016.43	0.00	0.00	(21,234,916.29)	(488,214.12)	(133,196.99)	(737,678.43)	(7,359.92)	(4,385.28)	0.00	0.00	(\$19,353,549.07)
March-12	121,087.46	0.00	1,141.35	8,326,710.96	0.00	(8,898,188.75)	(172,586.12)	(263,991.53)	(920,249.17)	(37,296.79)	(1,842.56)	0.00	0.00	(\$1,845,215.15)
April-12	65,262.27	0.00	4,968.67	0.00	0.00	(4,781,490.30)	(83,726.19)	(192,310.24)	(824,783.57)	4,629.55	(259.76)	0.00	0.00	(\$5,807,709.57)
May-12	148,315.93	0.00	42,946.59	0.00	0.00	(260,378.50)	(51,683.01)	(49,860.67)	(61,009.80)	(11,638.73)	(1,461.78)	0.00	0.00	(\$244,769.97)
June-12	52,308.06	0.00	10,050.50	7,711,686.20	0.00	(1,740,536.10)	(44,301.27)	2,402.62	2,263.38	(1,550.75)	203,162.13	0.00	0.00	\$6,195,484.77
July-12	(713.57)	0.00	9,707.62	0.00	0.00	243,372.58	0.00	(20,487.84)	(41,447.09)	(9,746.26)	(613.75)	0.00	0.00	\$180,071.69
August-12	57,846.30	0.00	9,324.35	0.00	0.00	(130,172.16)	(2,575.98)	(941.77)	12,477.82	(26,247.32)	(878.89)	0.00	0.00	(\$81,167.65)
September-12	(2,560.34)	0.00	8,092.37	390,346.73	0.00	(116,511.68)	(39,083.25)	(416.50)	4,885.88	21,419.82	(524.73)	0.00	0.00	\$265,648.30
October-12	18,272.61	0.00	11,883.85	0.00	0.00	(347,883.65)	0.00	(2,603.33)	1,865.81	(15,599.91)	(512.93)	0.00	0.00	(\$334,577.55)
November-12	(4,590.23)	0.00	10,167.24	0.00	0.00	(508,730.59)	0.00	(1,045.43)	(9,140.71)	(14,499.34)	0.00	0.00	0.00	(\$527,839.06)
December-12	27,103.17	0.00	7,233.85	0.00	0.00	3,557,857.04	(24,795.90)	(3,936.94)	1,137.96	(9,054.59)	(219.52)	0.00	0.00	\$3,555,325.07
Plan Year Transactions to Date	1,594,749,119.31	47,847,607.60	277,002.84	32,526,101.91	0.00	(1,141,237,477.98)	(71,467,329.53)	(16,278,922.83)	(42,635,012.79)	(334,514,670.50)	(9,759,001.35)	0.00	(9,300,252.27)	\$50,207,164.41

PLAN YEAR 2011														
2011	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2010 Balance Forward	602.53	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$602.53
January-11	41,658,664.19	4,153,479.69	0.19	0.00	0.00	(21,225,293.17)	(5,685,622.86)	(1,195,854.82)	(2,916,365.63)	(18,756,469.66)	(330,805.46)	0.00	(1,506,150.00)	(\$5,804,417.53)
February-11	135,215,110.95	377,963.00	(2,155.36)	0.00	0.00	(66,018,323.11)	(5,719,499.42)	(1,395,446.60)	(3,256,382.56)	(23,903,264.04)	(1,002,432.67)	0.00	0.00	\$34,295,570.19
March-11	215,670,480.66	7,561,746.66	4,548.18	0.00	0.00	(94,192,802.84)	(5,804,744.75)	(1,860,582.39)	(4,295,957.04)	(31,459,589.75)	(550,461.08)	0.00	0.00	\$85,072,637.65
April-11	57,694,030.16	377,215.86	18,466.38	0.00	0.00	(85,937,305.21)	(5,877,158.90)	(1,592,455.96)	(3,587,891.53)	(24,877,558.67)	(823,250.32)	0.00	(1,475,000.00)	(\$66,080,908.19)
May-11	148,792,707.81	6,826,365.22	20,870.94	0.00	0.00	(89,261,668.83)	(5,955,533.34)	(1,653,429.42)	(3,242,448.87)	(30,404,462.72)	(935,264.46)	0.00	(560,000.00)	\$23,627,136.33
June-11	135,363,342.24	4,147,240.50	59,026.75	0.00	0.00	(99,430,813.45)	(6,104,506.43)	(1,306,116.15)	(4,158,068.83)	(25,409,559.13)	(657,542.11)	0.00	(2,262,825.00)	\$240,178.39
July-11	129,466,025.11	4,055,818.00	0.00	0.00	0.00	(93,245,205.12)	(5,691,468.70)	(1,283,238.69)	(3,555,128.95)	(24,992,929.36)	(1,051,151.61)	0.00	(1,446,700.00)	\$2,256,020.68
August-11	128,825,858.56	4,015,463.08	51,911.60	0.00	0.00	(103,597,773.95)	(5,898,025.96)	(1,247,263.59)	(3,519,245.43)	(31,756,655.40)	(783,194.42)	0.00	505.45	(\$13,908,420.06)
September-11	128,255,589.25	3,985,826.55	(40,402.66)	8,172,233.15	0.00	(94,958,185.05)	(5,831,346.41)	(917,737.17)	(2,597,575.22)	(27,057,987.26)	(920,603.65)	0.00	(722,373.60)	\$7,367,437.93
October-11	142,217,531.59	4,088,693.16	9,335.67	0.00	0.00	(91,148,955.95)	(6,021,191.61)	(916,603.71)	(2,344,259.08)	(27,684,920.74)	(830,136.88)	0.00	(1,446,800.00)	\$15,922,692.45
November-11	128,471,255.32	4,132,176.42	17,380.63	0.00	0.00	(97,269,632.92)	(6,239,987.54)	(1,121,446.52)	(2,344,550.25)	(33,686,306.46)	(678,687.30)	0.00	653,700.00	(\$8,066,098.62)
December-11	134,565,409.22	4,114,522.80	11,414.50	7,925,124.87	0.00	(93,760,648.97)	(5,731,277.77)	(781,577.17)	(2,885,848.50)	(28,040,513.41)	(997,130.10)	0.00	(300,000.00)	\$14,119,475.47
Plan Year Transactions to Date	1,526,196,607.59	47,836,510.94	150,396.82	16,097,358.02	0.00	(1,030,046,608.57)	(70,560,363.69)	(15,271,752.19)	(38,703,721.89)	(328,030,216.60)	(9,560,660.06)	0.00	(9,065,643.15)	\$89,041,907.22

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4th Quarter, 2012
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PLAN YEAR 2012														
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2011 Balance Forward	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
January-12	69,528,948.14	4,053,197.91	0.00	0.00	0.00	(23,704,204.41)	(5,825,992.95)	(1,275,395.17)	(2,973,345.92)	(26,492,934.54)	(525,989.29)	0.00	(1,446,700.00)	\$11,337,583.77
February-12	130,592,230.40	4,095,844.16	85.18	0.00	0.00	(80,086,211.16)	(5,784,867.09)	(1,428,846.68)	(3,293,644.17)	(19,708,117.54)	(781,779.35)	0.00	(650,000.00)	\$22,954,693.75
March-12	135,681,761.89	4,049,823.20	562.20	0.00	0.00	(83,762,360.41)	(5,822,455.25)	(1,647,570.51)	(3,540,723.01)	(33,181,997.87)	(896,089.76)	0.00	(400,000.00)	\$10,480,950.48
April-12	120,965,344.25	3,947,101.33	3,498.15	0.00	0.00	(98,967,063.12)	(5,962,894.37)	(1,542,576.95)	(3,338,222.90)	(26,060,773.16)	(750,575.79)	0.00	(2,579,500.00)	(\$14,285,662.56)
May-12	131,051,730.72	4,051,460.97	26,693.10	0.00	0.00	(98,470,716.25)	(6,035,691.61)	(1,523,255.88)	(3,518,145.59)	(32,746,779.20)	(624,466.72)	0.00	0.00	(\$7,789,170.46)
June-12	123,669,128.01	2,160,377.56	3,328.15	0.00	0.00	(93,812,170.74)	(6,024,074.49)	(1,408,270.13)	(3,735,852.34)	(27,178,069.80)	(651,847.04)	0.00	(300,000.00)	(\$7,277,450.82)
July-12	158,601,891.14	2,582,010.48	3,175.00	0.00	0.00	(105,978,992.50)	(5,770,981.74)	(1,427,629.74)	(3,933,256.06)	(33,228,594.36)	(1,126,430.99)	0.00	(2,075,000.00)	\$7,646,191.23
August-12	134,691,134.90	3,938,126.10	8,261.54	0.00	0.00	(107,303,748.66)	(5,905,006.32)	(1,209,480.27)	(3,212,848.87)	(26,239,257.41)	(842,445.57)	0.00	800,000.00	(\$5,275,264.56)
September-12	73,635,254.66	3,905,917.68	3,047.25	7,612,407.60	0.00	(85,118,148.95)	(6,259,113.64)	(871,481.45)	(2,410,812.81)	(26,448,775.65)	(592,972.44)	0.00	(115,000.00)	(\$36,659,677.75)
October-12	189,825,294.96	7,029,503.50	(4,719.05)	0.00	0.00	(106,957,543.28)	(5,762,839.68)	(1,029,292.15)	(2,760,235.33)	(33,480,572.63)	(724,384.70)	0.00	(2,092,895.54)	\$44,042,316.10
November-12	132,136,802.40	3,991,012.86	5,425.11	0.00	0.00	(104,244,012.02)	(5,775,468.47)	(969,656.03)	(2,705,702.07)	(26,368,503.83)	0.00	0.00	(290,104.00)	(\$4,220,206.05)
December-12	131,737,426.84	3,986,999.18	6,170.61	7,940,052.90	0.00	(90,692,825.78)	(6,603,451.10)	(926,889.14)	(2,518,532.43)	(29,726,073.82)	(1,649,574.19)	0.00	(43,165.17)	\$11,510,137.90
Plan Year Transactions to Date	1,532,116,948.31	47,791,374.93	55,527.24	15,552,460.50	0.00	(1,079,097,997.28)	(71,532,836.71)	(15,260,344.10)	(37,941,321.50)	(340,860,449.81)	(9,166,555.84)	0.00	(9,192,364.71)	\$32,464,441.03

1 * Please note that the August 2012 KDE health insurance premium payment of \$52,467,299.39 one would expect to see included in the September 2012 premiums was not submitted in eMARS until October 2012. If this payment had been included in the September 2012 health insurance premiums the Cash Transactions balance for October 2012 would have been (9,079,756.13).

Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years														
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
					TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)			HRA SELECT CLAIMS			EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES		MEDICAL CLAIMS	HUMANA ADMIN FEES		HRA WAIVER CLAIMS	PHARMACY CLAIMS				
2011 Balance Forward	7,930,933,519.66	267,079,027.44	25,338,681.16	149,397,142.33	97,819,227.95	(5,709,844,765.67)	(369,335,833.51)	(49,763,086.33)	(229,495,672.41)	(1,753,291,596.16)	(56,490,664.75)	(97,819,227.95)	(70,747,099.86)	\$133,779,651.90
January-12	134,359,818.71	4,060,022.91	3,114.85	0.00	0.00	(93,012,806.46)	(5,825,992.95)	(1,616,818.80)	(4,328,583.62)	(32,864,686.57)	(916,884.66)	0.00	(1,681,309.12)	(\$1,824,125.71)
February-12	133,831,116.77	4,100,115.82	10,106.73	0.00	0.00	(102,024,607.71)	(6,277,459.61)	(1,561,725.59)	(4,024,450.62)	(19,715,587.61)	(786,179.91)	0.00	(650,000.00)	\$2,901,328.27
March-12	135,780,512.95	4,049,823.20	2,763.10	8,326,710.96	0.00	(93,097,721.87)	(5,994,792.56)	(1,912,341.77)	(4,464,402.85)	(33,220,338.92)	(898,082.89)	0.00	(400,000.00)	\$8,172,129.35
April-12	121,024,982.64	3,947,101.33	13,194.60	0.00	0.00	(101,890,879.51)	(6,046,635.37)	(1,734,697.60)	(4,163,249.56)	(26,055,949.70)	(750,864.72)	0.00	(2,579,500.00)	(\$18,236,497.89)
May-12	131,228,269.78	4,051,460.97	116,962.98	0.00	0.00	(97,239,674.30)	(6,087,589.74)	(1,574,867.36)	(3,588,198.82)	(32,737,839.73)	(625,946.00)	0.00	0.00	(\$6,457,422.22)
June-12	123,685,190.46	2,160,377.56	24,864.41	7,711,686.20	22,721,775.75	(95,724,960.94)	(6,069,373.82)	(1,404,855.49)	(3,733,969.81)	(27,170,861.58)	(243,128.09)	(22,721,775.75)	(300,000.00)	(\$1,065,031.10)
July-12	158,805,426.96	2,582,010.48	24,144.64	0.00	364,837.81	(105,445,394.78)	(5,770,981.74)	(1,448,117.58)	(3,982,814.05)	(33,229,655.54)	(1,127,149.75)	(364,837.81)	(2,075,000.00)	\$8,332,468.64
August-12	134,739,317.38	3,938,126.10	28,384.98	0.00	212,573.26	(106,956,149.48)	(5,908,046.80)	(1,210,002.21)	(3,195,675.33)	(26,268,654.85)	(843,436.96)	(212,573.26)	800,000.00	(\$4,876,137.17)
September-12	73,632,694.32	3,905,917.68	20,677.07	8,040,437.96	409.58	(84,803,011.00)	(6,299,562.51)	(870,840.82)	(2,393,699.06)	(26,421,456.75)	(593,559.95)	(409.58)	(115,000.00)	(\$35,897,403.06)
October-12	189,639,264.02	7,029,503.50	21,216.67	0.00	0.00	(107,430,419.92)	(5,762,839.68)	(1,031,776.76)	(2,764,015.64)	(33,495,540.48)	(724,952.91)	0.00	(2,092,895.54)	\$43,387,543.26
November-12	132,132,212.17	3,991,012.86	27,630.70	0.00	0.00	(104,811,752.26)	(5,775,468.47)	(970,701.46)	(2,726,951.19)	(26,386,348.69)	0.00	0.00	(290,104.00)	(\$4,810,470.34)
December-12	131,764,530.01	3,986,999.18	22,017.84	7,940,052.90	0.00	(86,152,034.30)	(6,628,289.82)	(930,820.70)	(2,516,409.93)	(29,735,287.65)	(1,649,895.66)	0.00	(43,165.17)	\$16,057,696.70
Trust Cash Transactions to Date	9,531,556,855.83	314,881,499.03	25,653,759.73	181,416,030.35	121,118,824.35	(6,888,434,178.20)	(441,782,866.58)	(66,030,652.47)	(271,378,092.89)	(2,100,593,804.23)	(65,650,746.25)	(121,118,824.35)	(80,174,073.69)	\$139,463,730.63
Adjustments	0.00													\$0.00
Transactions to Date	9,531,556,855.83	314,881,499.03	25,653,759.73	181,416,030.35	121,118,824.35	(6,888,434,178.20)	(441,782,866.58)	(66,030,652.47)	(271,378,092.89)	(2,100,593,804.23)	(65,650,746.25)	(121,118,824.35)	(80,174,073.69)	\$139,463,730.63

130 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

500 HB 265 plan year transfers

* Please note that the August 2012 KDE health insurance premium payment of \$52,467,299.39 one would expect to see included in the September 2012 premiums was not submitted in eMARS until October 2012. If this payment had been included in the September 2012 health insurance premiums the Cash Transactions balance for October 2012 would have been (9,079,756.13).

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Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years														
2011	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2010 Balance Forward	6,341,813,915.56	218,880,760.35	25,049,800.77	119,377,840.18	97,819,227.95	(4,593,357,113.98)	(298,022,916.53)	(33,577,601.34)	(186,653,915.30)	(1,417,844,039.61)	(46,101,896.03)	(97,819,227.95)	(61,181,456.71)	\$68,383,377.36
January-11	104,584,502.27	4,150,040.84	21,178.18	0.00	0.00	(75,999,263.51)	(6,247,427.64)	(1,638,566.78)	(4,777,290.11)	(25,399,397.99)	(971,875.51)	0.00	(1,506,150.00)	(\$7,784,250.25)
February-11	134,884,734.96	742,108.00	30,959.07	7,318,750.55	0.00	(80,774,396.21)	(5,708,279.82)	(1,506,692.34)	(3,900,833.10)	(24,031,689.18)	(1,018,114.64)	0.00	0.00	\$26,036,547.29
March-11	215,970,657.90	7,560,521.66	13,833.95	0.00	0.00	(104,449,207.46)	(5,804,744.75)	(2,053,495.76)	(5,161,703.53)	(31,809,425.67)	(711,618.54)	0.00	0.00	\$73,554,817.80
April-11	57,773,173.95	377,390.86	26,046.76	0.00	0.00	(87,232,875.89)	(5,942,857.59)	(1,685,499.51)	(4,155,235.80)	(24,906,293.57)	(825,034.49)	0.00	(1,475,000.00)	(\$68,046,185.28)
May-11	148,898,494.25	6,828,465.22	32,466.25	0.00	0.00	(92,403,747.19)	(5,995,254.14)	(1,689,645.13)	(3,353,570.33)	(30,442,527.33)	(936,978.70)	0.00	(1,060,000.00)	\$19,877,702.90
June-11	135,195,259.75	4,147,240.50	92,986.05	6,603,193.58	0.00	(99,154,069.14)	(6,148,364.56)	(1,332,730.89)	(4,231,988.59)	(25,443,584.48)	(657,735.45)	0.00	(2,262,825.00)	\$6,807,381.77
July-11	129,467,603.18	4,055,818.00	0.00	0.00	0.00	(92,105,282.62)	(5,691,468.70)	(1,288,772.73)	(3,558,196.47)	(25,072,615.58)	(1,054,056.11)	0.00	(1,446,700.00)	\$3,306,328.97
August-11	128,828,423.04	4,015,463.08	51,947.57	0.00	0.00	(104,589,978.91)	(5,906,767.25)	(1,252,118.61)	(3,536,059.99)	(31,854,471.10)	(784,412.56)	0.00	505.45	(\$15,027,469.28)
September-11	128,256,271.07	3,985,826.55	(37,632.37)	8,172,233.15	0.00	(95,702,173.11)	(5,839,009.41)	(915,062.67)	(2,580,066.76)	(27,076,530.36)	(922,651.44)	0.00	(722,373.60)	\$6,618,831.05
October-11	142,233,173.19	4,088,693.16	15,830.99	0.00	0.00	(92,141,470.81)	(6,026,716.60)	(917,099.48)	(2,345,378.82)	(27,684,350.74)	(830,216.34)	0.00	(1,446,800.00)	\$14,945,664.55
November-11	128,470,686.55	4,132,176.42	22,960.29	0.00	0.00	(97,700,693.63)	(6,270,748.75)	(1,123,295.88)	(2,350,943.96)	(33,686,533.43)	(678,723.69)	0.00	653,700.00	(\$8,531,416.08)
December-11	134,556,623.99	4,114,522.80	18,303.65	7,925,124.87	0.00	(94,234,493.21)	(5,731,277.77)	(782,505.21)	(2,890,489.65)	(28,040,137.12)	(997,351.25)	0.00	(300,000.00)	\$13,638,321.10
Trust Cash Transactions to Date	7,930,933,519.66	267,079,027.44	25,338,681.16	149,397,142.33	97,819,227.95	(5,709,844,765.67)	(369,335,833.51)	(49,763,086.33)	(229,495,672.41)	(1,753,291,596.16)	(56,490,664.75)	(97,819,227.95)	(70,747,099.86)	\$133,779,651.90
Adjustments	0.00													\$0.00
Total Adjusted Transactions to Date	7,930,933,519.66	267,079,027.44	25,338,681.16	149,397,142.33	97,819,227.95	(5,709,844,765.67)	(369,335,833.51)	(49,763,086.33)	(229,495,672.41)	(1,753,291,596.16)	(56,490,664.75)	(97,819,227.95)	(70,747,099.86)	\$133,779,651.90

Kentucky Employees Health Plan – Status Report
Attachment B

Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years														
2010	101 PREMIUMS	102 HRA WAIVER CONTRIBUTION	110 INTEREST INCOME	120 DRUG REBATES	130 <i>FROM</i> OTHER	201 MEDICAL CLAIMS	202 HUMANA ADMIN FEES	203 CLAIMS	204 HRA WAIVER CLAIMS	301 PHARMACY CLAIMS	302 ADMIN FEES	500 OTHER PLAN	501 TRANSFER/EXP &	TOTAL CASH TRANSACTIONS
2009 Balance Forward	4,809,173,361.77	168,665,147.97	24,913,376.37	88,653,314.52	97,819,227.95	(3,496,039,906.15)	(229,908,712.21)	(18,771,218.87)	(139,858,938.15)	(1,082,090,305.62)	(35,393,066.01)	(97,819,227.95)	(55,011,478.14)	\$34,331,575.48
January-10	95,747,358.57	4,244,832.31	26,531.51	0.00	0.00	(76,941,840.82)	(5,736,162.63)	(1,256,878.21)	(4,543,397.17)	(24,639,836.75)	(1,072,953.74)	0.00	(1,275,000.00)	(\$15,447,346.93)
February-10	127,169,263.89	4,212,765.81	5,814.26	8,532,678.01	0.00	(76,818,834.89)	(5,523,329.15)	(1,334,097.78)	(4,478,631.31)	(25,059,247.87)	(816,450.76)	0.00	0.00	\$25,889,930.21
March-10	130,575,690.89	4,263,342.97	807.13	0.00	0.00	(88,966,839.89)	(6,131,669.29)	(1,725,032.27)	(5,319,247.14)	(32,287,296.46)	(838,104.26)	0.00	(54,178.15)	(\$482,526.47)
April-10	129,273,592.71	4,258,676.92	5,799.92	0.00	0.00	(88,897,668.64)	(5,481,061.88)	(1,621,968.15)	(4,591,699.98)	(25,746,221.30)	(918,467.39)	0.00	(1,413,911.17)	\$4,867,071.04
May-10	129,181,824.92	4,260,752.26	259.48	7,937,042.03	0.00	(94,629,538.08)	(5,755,724.02)	(1,220,751.07)	(3,677,149.33)	(25,989,347.02)	(835,137.51)	0.00	(83,745.11)	\$9,188,486.55
June-10	158,711,733.40	4,183,982.11	1,684.19	0.00	0.00	(102,236,620.07)	(5,629,480.75)	(1,351,564.74)	(4,197,077.16)	(32,506,663.48)	(846,828.84)	0.00	(55,288.36)	\$16,073,876.30
July-10	123,373,925.07	4,161,587.50	0.00	0.00	0.00	(93,401,709.89)	(5,422,525.77)	(1,259,742.60)	(4,327,901.78)	(25,453,504.91)	(1,070,776.85)	0.00	(1,548,680.08)	(\$4,949,329.31)
August-10	103,046,413.80	4,120,287.50	20,505.77	0.00	0.00	(98,142,631.72)	(5,654,685.52)	(1,136,894.43)	(3,720,034.08)	(31,969,635.82)	(807,174.97)	0.00	(52,416.36)	(\$34,296,265.83)
September-10	102,582,008.02	4,036,812.50	14,991.93	7,000,703.32	0.00	(82,106,239.82)	(5,417,936.87)	(829,621.91)	(2,898,271.60)	(25,761,834.96)	(957,399.46)	0.00	(111,660.00)	(\$4,448,448.85)
October-10	149,611,237.78	4,157,562.50	25,031.62	0.00	0.00	(95,822,317.38)	(5,796,657.02)	(911,986.60)	(2,768,450.36)	(25,541,240.85)	(689,746.75)	0.00	(1,495,919.34)	\$20,767,513.60
November-10	128,699,776.48	4,139,217.50	11,791.58	7,254,102.30	0.00	(93,646,788.11)	(6,053,783.68)	(1,058,119.04)	(3,105,019.01)	(33,770,560.81)	(1,005,581.09)	0.00	(48,900.00)	\$1,416,136.12
December-10	154,667,125.73	4,175,792.50	23,207.01	0.00	0.00	(105,706,178.52)	(5,511,187.74)	(1,099,725.67)	(3,168,098.23)	(27,028,343.76)	(850,208.40)	0.00	(30,280.00)	\$15,472,102.92
Trust Cash Transactions to Date	6,341,813,313.03	218,880,760.35	25,049,800.77	119,377,840.18	97,819,227.95	(4,593,357,113.98)	(298,022,916.53)	(33,577,601.34)	(186,653,915.30)	(1,417,844,039.61)	(46,101,896.03)	(97,819,227.95)	(61,181,456.71)	\$68,382,774.83
Adjustments	0.00													\$0.00
Total Adjusted Transactions to Date	6,341,813,313.03	218,880,760.35	25,049,800.77	119,377,840.18	97,819,227.95	(4,593,357,113.98)	(298,022,916.53)	(33,577,601.34)	(186,653,915.30)	(1,417,844,039.61)	(46,101,896.03)	(97,819,227.95)	(61,181,456.71)	\$68,382,774.83

Kentucky Employees Health Plan – Status Report
Attachment B

Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years														
2009	101 PREMIUMS	102 HRA WAIVER CONTRIBUTION	110 INTEREST INCOME	120 DRUG REBATES	130 FROM OTHER	201 MEDICAL CLAIMS	202 HUMANANA ADMIN FEES	203 CLAIMS	204 HRA WAIVER CLAIMS	301 PHARMACY CLAIMS	302 ADMIN FEES	500 OTHER PLAN	501 ADJUSTMENTS	TOTAL CASH TRANSACTIONS
2008 Balance	3,472,792,844.21	115,135,107.78	24,140,976.97	60,564,167.87	97,819,227.95	(2,470,684,506.30)	(165,326,919.20)	(6,911,506.84)	(91,837,975.93)	(775,304,308.60)	(25,568,132.84)	(97,819,227.95)	274,818.21	\$137,274,565.33
January-09	68,255,311.19	798,573.84	140,780.24	0.00	0.00	(85,452,148.81)	(5,043,705.90)	(827,116.31)	(4,971,264.87)	(22,287,745.83)	(833,298.77)	0.00	0.00	(\$50,220,615.22)
February-09	116,138,197.11	4,340,347.32	60,540.29	0.00	0.00	(75,791,722.45)	(5,737,676.40)	(952,705.95)	(4,405,562.79)	(22,275,797.48)	(778,021.73)	0.00	(1,275,000.00)	\$9,322,597.92
March-09	118,008,223.40	8,311,362.50	33,073.20	7,055,831.33	0.00	(83,925,434.88)	(5,295,688.92)	(1,350,494.55)	(5,277,307.12)	(29,633,621.51)	(819,180.11)	0.00	0.00	\$7,106,763.34
April-09	113,434,068.66	814,290.99	28,726.97	0.00	0.00	(78,925,136.73)	(5,169,296.47)	(1,165,473.69)	(4,524,767.00)	(22,854,392.81)	(788,640.01)	0.00	(1,275,000.00)	(\$425,620.09)
May-09	115,734,630.50	8,267,882.13	31,360.93	0.00	0.00	(86,759,658.54)	(5,330,325.18)	(1,055,767.92)	(3,504,732.56)	(22,721,210.56)	(653,814.20)	0.00	0.00	\$4,008,364.60
June-09	125,205,000.97	4,512,349.70	306,950.33	7,295,841.15	0.00	(85,219,714.41)	(5,437,416.06)	(1,180,022.66)	(4,669,317.04)	(29,189,575.56)	(1,037,960.90)	0.00	(50,000,000.00)	(\$39,413,864.48)
July-09	109,589,613.92	4,454,417.06	4,841.37	590.82	0.00	(95,563,010.91)	(5,302,867.73)	(934,924.26)	(4,403,754.20)	(22,790,148.22)	(763,242.58)	0.00	(1,273,348.65)	(\$16,981,833.38)
August-09	111,338,708.15	4,404,735.89	35,824.83	0.00	0.00	(86,055,444.88)	(5,143,301.79)	(874,960.75)	(3,690,000.08)	(23,380,653.93)	(762,612.57)	0.00	0.00	(\$4,127,705.13)
September-09	116,578,824.89	4,346,377.77	35,320.61	7,023,397.82	0.00	(84,858,803.83)	(5,505,684.21)	(940,144.54)	(3,290,411.20)	(30,060,597.42)	(927,184.46)	0.00	0.00	\$2,401,095.43
October-09	114,644,530.40	4,470,702.84	9,021.72	0.00	0.00	(90,344,330.41)	(5,554,309.19)	(909,795.64)	(3,058,569.14)	(25,090,015.64)	(958,703.20)	0.00	(1,275,000.00)	(\$8,066,468.26)
November-09	116,247,533.00	4,403,589.15	0.00	0.00	0.00	(74,273,298.27)	(5,216,766.28)	(830,231.35)	(2,801,499.55)	(25,783,129.31)	(681,386.56)	0.00	0.00	\$11,064,810.83
December-09	142,204,710.76	4,405,411.00	85,958.91	6,713,485.53	0.00	(98,186,695.73)	(5,844,754.88)	(838,074.41)	(3,423,776.67)	(30,719,108.75)	(820,888.08)	0.00	(187,947.70)	\$13,388,319.98
Trust Cash Transactions to Date	4,840,172,197.16	168,665,147.97	24,913,376.37	88,653,314.52	97,819,227.95	(3,496,039,906.15)	(229,908,712.21)	(18,771,218.87)	(139,858,938.15)	(1,082,090,305.62)	(35,393,066.01)	(97,819,227.95)	(55,011,478.14)	\$65,330,410.87
Adjustments	0.00													\$0.00
Total Adjusted Transactions to Date	\$4,840,172,197.16	\$168,665,147.97	\$24,913,376.37	\$88,653,314.52	\$97,819,227.95	(\$3,496,039,906.15)	(\$229,908,712.21)	(\$18,771,218.87)	(\$139,858,938.15)	(\$1,082,090,305.62)	(\$35,393,066.01)	(\$97,819,227.95)	(\$55,011,478.14)	\$65,330,410.87

**** 101 JAN09** The drop in the fund balance is partially attributable to the change in the timing of payments.

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4th Quarter, 2012
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Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years														
2008	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER	MEDICAL CLAIMS	HUMANIA ADMIN FEES	HRA WAIVER CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SERVICE ADMIN FEES	TRANSFERS TO OTHER PLAN	OTHER PATIENTS ADJUSTMENTS	
2007 Balance	2,244,282,233.46	58,856,749.55	18,182,114.96	34,631,858.72	0.00	(1,539,279,781.73)	(103,798,076.92)	(2,806,678.62)	(42,679,505.27)	(474,493,199.66)	(16,355,810.48)	0.00	5,130,694.38	\$181,670,598.39
January-08	102,988,425.84	853,335.50	827,145.06	0.00	658,356.10	(76,334,055.51)	(4,842,532.38)	(224,755.24)	(4,432,702.53)	(26,272,430.60)	(702,681.93)	(658,356.10)	92,763.42	(\$8,047,488.37)
February-08	100,542,297.69	8,725,938.57	960,108.01	0.00	657,763.84	(78,532,581.12)	(5,042,452.80)	(332,257.72)	(4,795,436.79)	(21,934,249.43)	(887,925.79)	(657,763.84)	0.00	(\$1,296,559.38)
March-08	100,877,753.28	4,754,701.92	768,656.51	5,958,195.90	56,102,467.13	(61,065,091.32)	(5,005,078.31)	(752,708.54)	(5,178,186.16)	(29,345,300.60)	(733,323.17)	(56,102,467.13)	0.00	\$10,279,619.51
April-08	101,659,637.37	4,782,116.07	712,576.54	0.00	1,850,662.56	(75,176,414.95)	(5,255,305.52)	(372,544.32)	(5,407,822.66)	(26,637,527.83)	(710,692.12)	(1,850,662.56)	(2,000,000.00)	(\$8,405,977.42)
May-08	105,355,954.92	862,281.74	431,392.98	0.00	(3,952,389.72)	(77,032,608.33)	(5,258,400.11)	(447,786.33)	(4,101,182.55)	(20,782,280.92)	(817,954.72)	3,952,389.72	(400,000.00)	(\$2,190,583.32)
June-08	104,591,289.33	8,626,127.26	431,326.45	6,236,447.62	42,502,368.04	(72,924,805.10)	(4,897,212.47)	(530,844.59)	(4,336,420.94)	(21,929,235.31)	(710,486.54)	(42,502,368.04)	0.00	\$14,556,185.71
July-08	103,059,405.26	4,587,429.00	74,536.00	0.00	0.00	(80,311,179.56)	(5,286,315.21)	(338,888.30)	(4,367,019.10)	(26,346,891.24)	(551,133.34)	0.00	1,360.41	(\$9,478,696.08)
August-08	139,548,424.17	4,499,127.32	428,331.61	0.00	0.00	(91,149,999.59)	(4,987,877.31)	(239,307.16)	(3,622,981.74)	(21,864,402.52)	(929,650.41)	0.00	(1,275,000.00)	\$20,406,664.37
September-08	64,929,533.04	812,931.18	491,797.55	6,912,622.35	0.00	(80,524,433.21)	(5,162,711.50)	(216,606.94)	(3,327,303.63)	(27,982,388.81)	(863,456.25)	0.00	0.00	(\$44,930,016.22)
October-08	60,335,714.07	8,348,674.55	290,759.55	0.00	0.00	(79,780,992.95)	(5,303,864.33)	(195,901.14)	(3,085,633.12)	(23,248,694.56)	(707,152.51)	0.00	0.00	(\$43,347,090.44)
November-08	144,083,073.47	822,852.19	287,641.38	0.00	0.00	(72,635,398.45)	(5,395,995.18)	(219,870.06)	(3,142,308.02)	(24,572,795.46)	(866,358.11)	0.00	(1,275,000.00)	\$37,085,841.76
December-08	69,540,266.92	8,602,842.93	254,590.37	6,825,043.28	0.00	(85,937,164.48)	(5,091,097.16)	(233,357.88)	(3,361,473.42)	(29,894,911.66)	(731,507.47)	0.00	0.00	(\$40,026,768.57)
Trust Cash	3,441,794,008.82	115,135,107.78	24,140,976.97	60,564,167.87	97,819,227.95	(2,470,684,506.30)	(165,326,919.20)	(6,911,506.84)	(91,837,975.93)	(775,304,308.60)	(25,568,132.84)	(97,819,227.95)	274,818.21	\$106,275,729.94
Adjustments	0.00													\$0.00
Total Adjusted Transactions to Date	\$3,441,794,008.82	\$115,135,107.78	\$24,140,976.97	\$60,564,167.87	\$97,819,227.95	(\$2,470,684,506.30)	(\$165,326,919.20)	(\$6,911,506.84)	(\$91,837,975.93)	(\$775,304,308.60)	(\$25,568,132.84)	(\$97,819,227.95)	\$274,818.21	\$106,275,729.94
101 PREMIUM	The drop in premium payments between August premiums of \$139M and September premiums of \$64M was caused by KDE paying 2 months of the Boards of Educations' premiums in August and none in September. The October payment of \$41,820,165.63 was received on 11/10/2008.													
102 WAIVER CONTRIB	The September drop in the HRA Waiver contributions occurred because the September Board of Educations' payment of \$3,697,487.50 was not received until 10/02/2008.													

Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years														
Combined 2006, 2007 Plan Years														
2007	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS
2006 Balance	1,101,509,965.08	0.00	6,705,247.06	11,813,426.09	0.00	(696,060,326.39)	(51,029,179.63)	0.00	0.00	(218,657,413.99)	(7,555,888.27)	0.00	0.00	\$146,725,829.95
January-07	96,460,532.11	890,337.50	613,850.54	0.00	0.00	(44,717,495.18)	(4,606,277.93)	(70,023.79)	(1,638,005.91)	(23,803,783.10)	(817,818.71)	0.00	6,806,011.40	\$29,117,326.93
February-07	94,757,241.81	7,028,187.50	729,554.18	0.00	0.00	(76,297,980.20)	(4,508,865.17)	(182,325.14)	(4,371,073.41)	(19,224,461.40)	(843,930.89)	0.00	100,010.73	(\$2,813,641.99)
March-07	92,623,952.19	4,994,500.00	1,095,184.33	5,614,413.50	0.00	(73,051,261.94)	(4,814,764.49)	(539,111.13)	(4,290,599.88)	(19,795,242.95)	(717,137.89)	0.00	59,956.22	\$1,179,887.96
April-07	95,130,655.61	2,953,650.00	860,324.93	0.00	0.00	(65,053,599.07)	(4,424,821.23)	(207,874.13)	(4,768,571.76)	(19,223,135.89)	(677,520.52)	0.00	0.00	\$4,589,107.94
May-07	95,705,980.42	9,051,033.88	1,002,677.40	0.00	0.00	(69,857,566.45)	(543,472.58)	(547,762.85)	(4,157,130.61)	(23,544,640.97)	(800,875.14)	0.00	0.00	\$6,308,243.10
June-07	96,003,496.30	4,913,354.62	1,869,413.02	5,136,289.06	0.00	(78,361,628.09)	(4,613,355.82)	(184,726.50)	(4,470,479.22)	(19,667,856.25)	(695,111.09)	0.00	157,230.00	\$86,626.03
July-07	94,065,173.34	4,820,107.23	0.00	0.00	0.00	(76,161,323.62)	(99,766.85)	(354,933.71)	(3,998,535.11)	(19,295,360.80)	(501,690.58)	0.00	0.00	(\$1,526,330.10)
August-07	92,807,741.42	892,664.70	958,404.82	6,127,725.00	0.00	(77,686,845.13)	(9,397,503.94)	(163,086.56)	(3,859,291.89)	(24,481,631.29)	(802,063.98)	0.00	0.00	(\$15,603,886.85)
September-07	94,858,022.07	8,625,244.17	971,848.00	0.00	0.00	(59,795,885.17)	(4,640,244.18)	(137,420.21)	(2,730,049.63)	(19,969,297.61)	(690,530.78)	0.00	0.00	\$16,491,686.66
October-07	136,543,983.44	4,920,047.56	1,355,373.53	0.00	0.00	(79,483,837.47)	(4,771,304.14)	(152,300.13)	(3,237,230.54)	(25,419,024.04)	(694,700.18)	0.00	(25,157.96)	\$29,035,850.07
November-07	57,524,524.06	875,086.46	0.00	0.00	0.00	(76,763,454.19)	(5,374,281.49)	(169,977.44)	(2,540,207.78)	(20,369,526.00)	(712,161.99)	0.00	7,486.03	(\$47,522,512.34)
December-07	96,290,965.61	8,892,535.93	2,020,237.15	5,940,005.07	0.00	(65,988,578.83)	(4,974,239.47)	(97,137.03)	(2,618,329.53)	(21,041,825.37)	(846,380.46)	0.00	(1,974,842.04)	\$15,602,411.03
Trust Cash Transactions to Date	2,244,282,233.46	58,856,749.55	18,182,114.96	34,631,858.72	0.00	(1,539,279,781.73)	(103,798,076.92)	(2,806,678.62)	(42,679,505.27)	(474,493,199.66)	(16,355,810.48)	0.00	5,130,694.38	\$181,670,598.39
Adjustments	0.00													\$0.00
Total Adjusted Transactions to Date	\$2,244,282,233.46	\$58,856,749.55	\$18,182,114.96	\$34,631,858.72	\$0.00	(\$1,539,279,781.73)	(\$103,798,076.92)	(\$2,806,678.62)	(\$42,679,505.27)	(\$474,493,199.66)	(\$16,355,810.48)	\$0.00	\$5,130,694.38	\$181,670,598.39

*** There was reduced cash activity for August due to the following:

- 1) Humana invoiced both the July and August ASO fees in August due to delays in billing related to the change in ASO fees on July 01,2007.
- 2) KDE delayed payment of their August HRA contributions until September
- 3) There was an increase in claim expense in August (ESI bills weekly, there were 5 weekly invoices in August campared to 4 invoices in a typical month).

** September's cash activity is high due to:

- 1) KDE sending two months of HRA contributions (August and September)
- 2) Lower claim expense (received claim recovery from Humana for Feb. - Jun. 07).

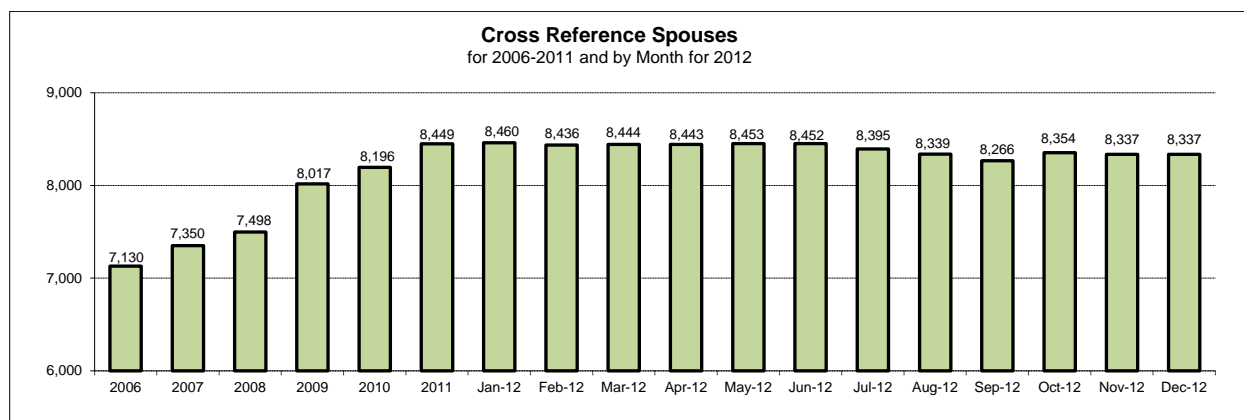
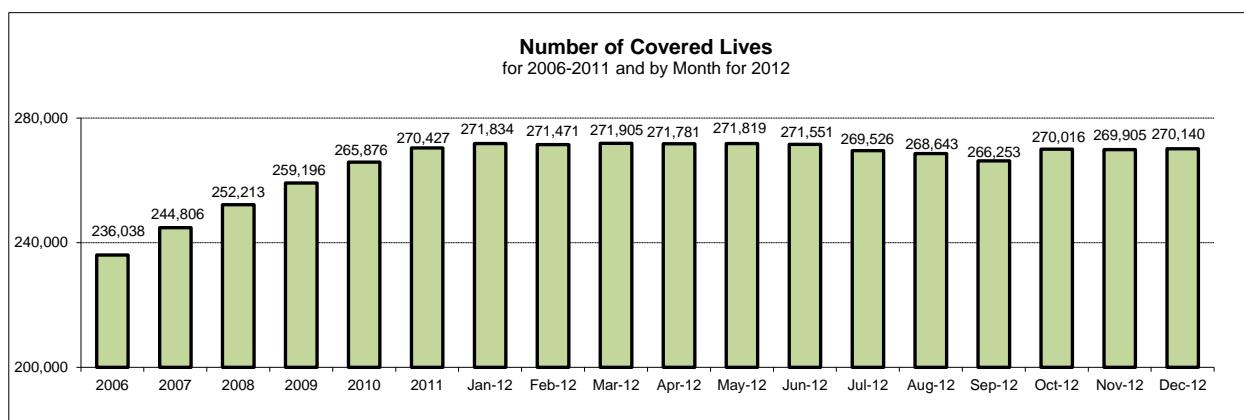
Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years														
2006	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS
December-05	12,109,520.87	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$12,109,520.87
January-06	58,717,052.66	0.00	0.00	0.00	0.00	(5,858,910.97)	0.00	0.00	0.00	(4,355,323.50)	0.00	0.00	0.00	\$48,502,818.19
February-06	95,279,715.21	0.00	0.00	0.00	0.00	(33,608,116.12)	0.00	0.00	0.00	(27,222,136.63)	0.00	0.00	0.00	\$34,449,462.46
March-06	96,203,652.90	0.00	479,416.24	0.00	0.00	(55,143,313.46)	(8,450,597.05)	0.00	0.00	(22,689,138.39)	0.00	0.00	0.00	\$10,400,020.24
April-06	95,713,661.72	0.00	363,022.39	0.00	0.00	(49,234,826.19)	(4,245,852.36)	0.00	0.00	(15,725,632.15)	(2,123,741.31)	0.00	0.00	\$24,746,632.10
May-06	96,477,472.44	0.00	433,688.68	0.00	0.00	(59,192,900.58)	(4,237,553.40)	0.00	0.00	(21,614,764.42)	(505,455.83)	0.00	0.00	\$11,360,486.89
June-06	75,715,144.70	0.00	1,309,327.87	0.00	0.00	(66,179,114.23)	(8,434,079.16)	0.00	0.00	(17,722,866.45)	(859,244.32)	0.00	0.00	(\$16,170,831.59)
July-06	106,607,010.35	0.00	0.00	0.00	0.00	(62,801,570.87)	(4,262,691.48)	0.00	0.00	(16,921,364.87)	(641,012.65)	0.00	0.00	\$21,980,370.48
August-06	87,156,882.23	0.00	377,971.98	0.00	0.00	(73,872,918.18)	(4,112,992.68)	0.00	0.00	(17,456,752.65)	(746,088.15)	0.00	0.00	(\$8,653,897.45)
September-06	84,428,262.67	0.00	1,577,522.63	5,799,613.36	0.00	(68,916,143.27)	(4,229,309.40)	0.00	0.00	(14,830,311.45)	(653,915.94)	0.00	0.00	\$3,175,718.60
October-06	90,247,993.08	0.00	646,707.29	0.00	0.00	(65,259,252.33)	(4,243,928.76)	0.00	0.00	(22,693,132.66)	(657,804.47)	0.00	0.00	(\$1,959,417.85)
November-06	88,348,883.51	0.00	720,536.99	0.00	0.00	(62,337,283.05)	(4,504,712.82)	0.00	0.00	(18,218,171.50)	(799,688.56)	0.00	0.00	\$3,209,564.57
December-06	85,532,174.21	0.00	797,052.99	6,013,812.73	0.00	(64,828,977.14)	(4,307,462.52)	0.00	0.00	(19,207,819.32)	(568,937.04)	0.00	0.00	\$3,429,843.91
Plan Year Transactions to Date	1,072,537,426.55	0.00	6,705,247.06	11,813,426.09	0.00	(667,233,326.39)	(51,029,179.63)	0.00	0.00	(218,657,413.99)	(7,555,888.27)	0.00	0.00	\$146,580,291.42
Escrow	28,827,000.00					(28,827,000.00)								\$0.00
Total Adjusted Transactions to Date	\$1,101,364,426.55	\$0.00	\$6,705,247.06	\$11,813,426.09	\$0.00	(\$696,060,326.39)	(\$51,029,179.63)	\$0.00	\$0.00	(\$218,657,413.99)	(\$7,555,888.27)	\$0.00	\$0.00	\$146,580,291.42

** In 2006, the Commonwealth funded the payment of medical claims on an "as cleared" basis. Due to the "as cleared" banking arrangement Humana required the funding of an escrow account of \$28,827,000 to cover an estimated 8 days of medical claims. In 2007, the banking arrangements changed from an "as cleared" to an "as issued" basis for claim payments. This change is due to a recommendation made by our External Auditor. Because of the banking change, Humana will not longer require an escrow account in 2007. The escrow account funds were added into the 2006 premium balance. These funds were then used for 2006 outstanding claim checks.

Plan Year Balances as of December 31, 2012														
Plan Year	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2006	1,102,199,258.24	0.00	13,255,658.11	22,564,128.65	0.00	(762,460,936.69)	(47,449,907.92)	0.00	0.00	(231,474,267.61)	(7,783,597.84)	(84,850,334.94)	(4,000,000.00)	(0.00)
2007	1,140,907,524.60	59,281,406.95	7,947,800.34	24,262,373.59	0.00	(836,800,979.65)	(56,911,781.09)	(2,931,705.94)	(47,117,430.12)	(256,402,961.76)	(8,874,050.30)	(30,082,833.67)	6,722,637.05	0.00
2008	1,230,691,400.22	52,090,899.58	3,981,846.10	28,089,928.93	97,819,227.95	(949,213,609.34)	(61,670,290.07)	(4,335,289.87)	(48,364,646.21)	(291,065,510.28)	(9,113,443.00)	6,587,304.83	(55,497,818.84)	(0.00)
2009	1,393,951,770.58	55,664,485.80	(143,564.39)	30,206,603.39	0.00	(1,018,973,395.29)	(64,704,353.44)	(12,286,056.34)	(48,448,767.83)	(308,526,140.46)	(9,956,325.10)	(12,772,960.57)	(4,011,296.35)	0.00
2010	1,536,940,834.57	52,205,724.17	279,489.49	28,214,433.38	23,299,596.40	(1,100,649,781.97)	(68,046,367.82)	(14,938,333.39)	(46,870,914.44)	(337,749,803.81)	(10,997,772.82)	0.00	(4,894,978.57)	56,792,125.19
2011	1,594,749,119.31	47,847,607.60	277,002.84	32,526,101.91	0.00	(1,141,237,477.98)	(71,467,329.53)	(16,278,922.83)	(42,635,012.79)	(334,514,670.50)	(9,759,001.35)	0.00	(9,300,252.27)	50,207,164.41
2012	1,532,116,948.31	47,791,374.93	55,527.24	15,552,460.50	0.00	(1,079,097,997.28)	(71,532,836.71)	(15,260,344.10)	(37,941,321.50)	(340,860,449.81)	(9,166,555.84)	0.00	(9,192,364.71)	32,464,441.03
Ending Balance *	9,531,556,855.83	314,881,499.03	25,653,759.73	181,416,030.35	121,118,824.35	(6,888,434,178.20)	(441,782,866.58)	(66,030,652.47)	(271,378,092.89)	(2,100,593,804.23)	(65,650,746.25)	(121,118,824.35)	(80,174,073.69)	139,463,730.63
HRA Reserves Fund as of December 31,2011														(31,039,133.00)
Ending Balance Net of HRA Reserves														108,424,597.63

* The ending balance includes assets allocated for the HRA (Select and Waiver) reserve.

500 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.
130 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.



Commonwealth of Kentucky

Kentucky Employees' Health Plan

**Actuarial Reserve Study
December 31, 2012**

**Aon Hewitt
One Piedmont Center
3565 Piedmont Road, N.E.
Atlanta, Georgia 30305**

February 2013

Commonwealth of Kentucky

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EXECUTIVE SUMMARY

Aon Hewitt has conducted an actuarial valuation of the incurred, but not paid, claims as of December 31, 2012, for the medical and prescription drug benefits provided by Kentucky Employees' Health Plan (KEHP) and administered through Humana and Express Scripts (ESI).

The results show a projected total reserve of approximately \$108.3 million. This figure includes \$8.7 million for administrative fee liability and \$4.7 million as an explicit provision for adverse experience. Claims that have been processed and paid by Humana and ESI, and invoiced to KEHP but not yet paid are excluded from these actuarial estimates, though this may represent an additional liability outside the scope of this actuarial review. The estimates provided also exclude unallocated amounts, which are believed to be immaterial to the Plan.

The details of the Unpaid Claim Reserve are shown in separate exhibits for Medical, Pharmacy, Maximum Choice Health Reimbursement Arrangement (HRA) and the Waiver Credit HRA.

The traditional actuarial loss developmental method and Bornhuetter-Ferguson method were the basis of our calculation. The loss development uses historical paid claims information by incurred date. This method is consistent with reserve calculations within the industry, and best reflects the impact on claim payment patterns and accounts for the cyclical nature of the Plan's claims.

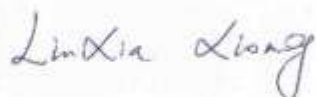
Also included in this report are the actual Rx rebates from January 1, 2011 to June 30, 2012 and the estimated Rx rebate from July 1, 2012 to December 31, 2012.

The results mentioned above are contingent upon future events. Consequently, actual results will differ from projected results. These deviations may be material. KEHP staff should monitor emerging experience and take appropriate actions as required.

**ACTUARIAL CERTIFICATION
STATEMENT OF OPINION**

Aon Hewitt has been retained by the Commonwealth of Kentucky to study the actuarial reserves for incurred but not paid claims as of December 31, 2012, for the medical and prescription drug benefits provided by Kentucky Employees' Health Plan and administered through Humana and ESI. I am employed by Aon Hewitt. I am a Member of the Society of Actuaries and am qualified by education and experience to make the statements of actuarial opinion contained herein.

In performing our reserve study, we have relied upon reports and information provided by Thomson-Reuters and The Commonwealth of Kentucky. We have not audited this data beyond general tests for reasonableness. The results are our best estimate of incurred but unpaid claims with explicit margins for adverse deviation. The techniques and methodology used are reasonable and in accordance with generally accepted actuarial principles and practice.



LinXia Xiong, FSA, MAAA
Assistant Vice President

February 13, 2012
Date

Professionally Peer Reviewed By:



Colleen M. Huber, FSA, MAAA
Vice President

February 13, 2012
Date

DATA AND ASSUMPTIONS

We used monthly enrollment and incurred and paid claim data provided by Thomson-Reuters. Transactional and eligibility data was provided by KEHP. The reports identified claims paid from January 1, 2010 through December 31, 2012. Humana has confirmed that the claims data provided are net of any claims reversals that have occurred. Therefore, the unpaid claim liability estimates contained in this report are also net of expected claims reversals.

No adjustment for interest was used in this analysis. An administrative expense load for processing medical claims run-out is based on the terms of the current contract with Humana, which states that KEHP will pay \$17.90 per employee for three months upon contract termination. For prescription drug claims a load of 5.0% was added to the reserve for processing run-out claims. These administrative expense loads are shown separately from the unpaid claims liability and will only be realized upon contract termination.

An 8.5% annual trend was used for both medical and prescription drugs. This is consistent with emerging plan experience.

METHODOLOGY

The unpaid claim liability (UCL), also called the incurred but not reported (IBNR) reserve, at a specified date is essentially the estimated claims incurred up to that date less the claims that have been (incurred and) paid to that date. Since the incurred and paid claims are known, the UCL is easily determined once the incurred claims have been estimated.

The traditional loss development method uses historical claim payment patterns to develop completion factors that are used to estimate incurred claims. The claims incurred in a given month and paid by the end of the experience period are divided by the completion factor to estimate the incurred claims for that month. The UCL for that month is subsequently determined by subtracting the known incurred and paid claims from the estimated incurred claims. The total UCL is merely the sum of all the appropriate monthly UCL estimates.

This method is relatively easy to understand and is effective when the historical claim payment patterns are deemed to be stable enough to estimate current/future claim payment patterns and when several months of claim payments (run-out) after the incurred month are available. When the run-out for any month is limited, this month is called immature and the associated completion factor is significantly less than one. The resulting incurred claim estimate is unstable. Consequently, a secondary method has traditionally been used to estimate the immature months.

The secondary method for health claims is often an average of historical incurred claims adjusted for claim trend and enrollment between the historical period and the time of interest. One of the shortcomings of this secondary method is that the available claim payment information for the month being estimated is not used. Another problem is that the line of demarcation between mature months and immature months is as much art as science.

The Bornhuetter-Ferguson Method (BFM) addresses both of these issues by blending the loss development method and the secondary method. The BFM uses the available incurred and paid data and the expected UCL developed from the secondary method to estimate incurred claims. This method generally provides a more stable estimate than the pure loss development method, a more responsive estimate than the secondary method, and a reasonable technique for blending the results of both methods.

Using the BFM with claims paid through December 31, 2012, the resulting UCL for December 31, 2012 was approximately \$94.9 million. An administrative expense load for processing medical claims run-out is based on the terms of the current contract with Humana, which states that KEHP will pay \$17.90 per employee for three months upon contract termination. For prescription drug claims a load of 5.0% was added to the reserve for processing run-out claims. These administrative expense loads are shown separately from the unpaid claims liability and will only be realized upon contract termination. In addition, an explicit margin for adverse deviation of 5.0% of claims unpaid at December 31, 2012 has been used.

RESULTS

The result of our reserve analysis shows the following components of our December 31, 2012 reserve for the Kentucky Employees' Health Plan.

Exhibit 1

Kentucky Employees' Health Plan Terminal Liability Calculation as of December 31, 2012				
	Unpaid Claims Liability as of December 31, 2012	Margin (5%)	Administrative Fees for Processing Runout Claims	Total IBNR Reserve (Rounded to Nearest \$1,000)
Medical	\$83,294,611	\$4,164,731	\$8,392,839	\$95,852,000
Pharmacy	\$6,117,558	\$305,878	\$305,878	\$6,729,000
Maximum Choice HRA	\$1,032,456	\$51,623	\$0	\$1,084,000
Waiver HRA	\$4,452,606	\$222,630	\$0	\$4,675,000
Grand Total	\$94,897,232	\$4,744,862	\$8,698,717	\$108,341,000

* Claims that have been processed and paid by Humana and ESI, and invoiced to KEHP but not yet paid are excluded from these actuarial estimates, though this may represent an additional liability outside the scope of this actuarial review. The estimates provided also exclude unallocated amounts, which are believed to be immaterial to the Plan.

** An administrative expense load for processing medical claims run-out is based on the terms of the current contract with Humana, which states that KEHP will pay \$17.90 per employee for three months upon contract termination. For prescription drug claims a load of 5.0% was added to the reserve for processing run-out claims. These administrative expense loads are shown separately from the unpaid claims liability and will only be realized upon contract termination. If the Plan continues to operate as a "going concern", then the administrative fees necessary to service the projected medical run-out is \$5,132,406 and there is no impact on the administrative costs to process the pharmacy run-out.

Exhibit 2a
Kentucky Employees' Health Plan
Medical and Pharmacy
Terminal Liability Calculation as of December 31, 2012

Month	Enrollment	Estimated Incurred Claims	Completion Factor	Actual Paid	Total Unpaid Claim Reserve
Jan-10	159,382	\$104,313,600	1.000	\$104,319,210	(\$5,611)
Feb-10	159,277	\$106,553,214	1.000	\$106,561,538	(\$8,324)
Mar-10	159,332	\$125,219,052	1.000	\$125,215,770	\$3,281
Apr-10	159,199	\$115,158,461	1.000	\$115,155,692	\$2,768
May-10	159,056	\$109,599,605	1.000	\$109,596,178	\$3,428
Jun-10	158,818	\$127,532,623	1.000	\$127,529,950	\$2,674
Jul-10	158,270	\$125,237,764	1.000	\$125,234,806	\$2,958
Aug-10	157,688	\$120,641,548	1.000	\$120,634,438	\$7,110
Sep-10	156,827	\$119,819,439	1.000	\$119,808,077	\$11,362
Oct-10	159,561	\$120,893,126	1.000	\$120,889,019	\$4,107
Nov-10	159,679	\$123,839,046	1.000	\$123,843,239	(\$4,194)
Dec-10	159,628	\$136,128,005	1.000	\$136,147,566	(\$19,560)
Jan-11	159,550	\$108,510,402	1.000	\$108,540,841	(\$30,439)
Feb-11	159,493	\$107,492,805	1.000	\$107,542,407	(\$49,602)
Mar-11	159,673	\$127,741,935	1.001	\$127,812,119	(\$70,184)
Apr-11	159,751	\$116,083,026	1.001	\$116,168,179	(\$85,153)
May-11	159,443	\$118,227,181	1.001	\$118,319,612	(\$92,431)
Jun-11	159,075	\$131,545,000	1.001	\$131,656,181	(\$111,181)
Jul-11	158,508	\$121,465,002	1.001	\$121,612,850	(\$147,849)
Aug-11	157,948	\$125,288,814	1.002	\$125,493,087	(\$204,273)
Sep-11	157,035	\$122,179,192	1.002	\$122,406,673	(\$227,481)
Oct-11	159,256	\$127,025,286	1.002	\$127,298,381	(\$273,095)
Nov-11	158,893	\$129,281,872	1.002	\$129,581,007	(\$299,135)
Dec-11	158,936	\$138,968,060	1.003	\$139,320,811	(\$352,751)
Jan-12	158,732	\$119,419,512	1.003	\$119,720,860	(\$301,348)
Feb-12	158,412	\$118,836,145	1.003	\$119,143,983	(\$307,838)
Mar-12	158,493	\$128,433,449	1.002	\$128,734,996	(\$301,547)
Apr-12	158,287	\$121,328,007	1.002	\$121,555,786	(\$227,779)
May-12	158,084	\$129,324,896	1.001	\$129,441,818	(\$116,922)
Jun-12	157,675	\$129,922,534	0.999	\$129,847,362	\$75,173
Jul-12	156,016	\$130,880,767	0.997	\$130,523,668	\$357,098
Aug-12	154,981	\$131,441,741	0.991	\$130,232,099	\$1,209,643
Sep-12	153,626	\$117,187,499	0.978	\$114,638,468	\$2,549,031
Oct-12	156,004	\$133,803,386	0.956	\$127,920,815	\$5,882,571
Nov-12	155,955	\$128,132,745	0.881	\$112,865,742	\$15,267,003
Dec-12	155,993	\$129,858,056	0.482	\$62,587,399	\$67,270,657
Total		\$4,427,312,795		\$4,337,900,625	\$89,412,170

Exhibit 2b
Kentucky Employees' Health Plan
Medical
Terminal Liability Calculation as of December 31, 2012

Month	Enrollment	Estimated Incurred Claims	Completion Factor	Actual Paid	Total Unpaid Claim Reserve
Jan-10	159,382	78,489,755	1.000	78,495,340	(\$5,585)
Feb-10	159,277	81,019,478	1.000	81,027,762	(\$8,283)
Mar-10	159,332	95,741,239	1.000	95,737,955	\$3,284
Apr-10	159,199	87,217,805	1.000	87,215,074	\$2,731
May-10	159,056	82,781,409	1.000	82,778,053	\$3,356
Jun-10	158,818	98,398,897	1.000	98,396,324	\$2,573
Jul-10	158,270	97,376,176	1.000	97,373,465	\$2,710
Aug-10	157,688	92,350,926	1.000	92,344,026	\$6,900
Sep-10	156,827	91,879,956	1.000	91,868,726	\$11,230
Oct-10	159,561	92,595,400	1.000	92,591,388	\$4,012
Nov-10	159,679	94,514,416	1.000	94,518,191	(\$3,775)
Dec-10	159,628	104,825,535	1.000	104,844,689	(\$19,153)
Jan-11	159,550	82,757,499	1.000	82,787,224	(\$29,726)
Feb-11	159,493	82,790,746	1.001	82,839,749	(\$49,004)
Mar-11	159,673	99,347,365	1.001	99,416,816	(\$69,451)
Apr-11	159,751	89,972,476	1.001	90,057,067	(\$84,591)
May-11	159,443	91,247,404	1.001	91,338,987	(\$91,582)
Jun-11	159,075	103,922,637	1.001	104,032,797	(\$110,160)
Jul-11	158,508	94,232,731	1.002	94,378,650	(\$145,919)
Aug-11	157,948	95,891,354	1.002	96,093,608	(\$202,254)
Sep-11	157,035	93,590,396	1.002	93,815,676	(\$225,280)
Oct-11	159,256	97,524,930	1.003	97,795,893	(\$270,964)
Nov-11	158,893	100,229,995	1.003	100,527,185	(\$297,190)
Dec-11	158,936	107,803,341	1.003	108,153,826	(\$350,485)
Jan-12	158,732	90,879,024	1.003	91,178,904	(\$299,879)
Feb-12	158,412	90,781,615	1.003	91,088,346	(\$306,731)
Mar-12	158,493	98,803,379	1.003	99,103,692	(\$300,313)
Apr-12	158,287	92,828,421	1.002	93,055,891	(\$227,469)
May-12	158,084	99,092,414	1.001	99,210,209	(\$117,795)
Jun-12	157,675	100,817,459	0.999	100,743,915	\$73,543
Jul-12	156,016	101,177,770	0.997	100,824,865	\$352,905
Aug-12	154,981	101,175,531	0.988	99,973,741	\$1,201,790
Sep-12	153,626	89,527,865	0.972	86,991,179	\$2,536,685
Oct-12	156,004	103,085,826	0.943	97,222,957	\$5,862,869
Nov-12	155,955	98,533,138	0.845	83,284,512	\$15,248,626
Dec-12	155,993	99,876,508	0.387	38,679,523	\$61,196,985
Total		\$3,403,080,815		\$3,319,786,203	\$83,294,611

Exhibit 2c

**Kentucky Employees' Health Plan
Pharmacy**

Terminal Liability Calculation as of December 31, 2012

Month	Enrollment	Estimated Incurred Claims	Completion Factor	Actual Paid	Total Unpaid Claim Reserve
Jan-10	159,382	25,823,845	1.000	25,823,871	(\$26)
Feb-10	159,277	25,533,736	1.000	25,533,776	(\$41)
Mar-10	159,332	29,477,813	1.000	29,477,815	(\$3)
Apr-10	159,199	27,940,655	1.000	27,940,618	\$37
May-10	159,056	26,818,197	1.000	26,818,125	\$72
Jun-10	158,818	29,133,726	1.000	29,133,625	\$101
Jul-10	158,270	27,861,588	1.000	27,861,340	\$248
Aug-10	157,688	28,290,622	1.000	28,290,412	\$210
Sep-10	156,827	27,939,483	1.000	27,939,351	\$132
Oct-10	159,561	28,297,726	1.000	28,297,632	\$95
Nov-10	159,679	29,324,630	1.000	29,325,048	(\$419)
Dec-10	159,628	31,302,470	1.000	31,302,877	(\$407)
Jan-11	159,550	25,752,903	1.000	25,753,617	(\$714)
Feb-11	159,493	24,702,060	1.000	24,702,657	(\$598)
Mar-11	159,673	28,394,570	1.000	28,395,304	(\$733)
Apr-11	159,751	26,110,550	1.000	26,111,112	(\$562)
May-11	159,443	26,979,777	1.000	26,980,625	(\$849)
Jun-11	159,075	27,622,363	1.000	27,623,384	(\$1,021)
Jul-11	158,508	27,232,271	1.000	27,234,201	(\$1,930)
Aug-11	157,948	29,397,460	1.000	29,399,479	(\$2,019)
Sep-11	157,035	28,588,796	1.000	28,590,997	(\$2,201)
Oct-11	159,256	29,500,357	1.000	29,502,488	(\$2,131)
Nov-11	158,893	29,051,877	1.000	29,053,822	(\$1,945)
Dec-11	158,936	31,164,719	1.000	31,166,985	(\$2,266)
Jan-12	158,732	28,540,488	1.000	28,541,956	(\$1,468)
Feb-12	158,412	28,054,530	1.000	28,055,637	(\$1,107)
Mar-12	158,493	29,630,070	1.000	29,631,304	(\$1,234)
Apr-12	158,287	28,499,586	1.000	28,499,895	(\$310)
May-12	158,084	30,232,482	1.000	30,231,609	\$873
Jun-12	157,675	29,105,076	1.000	29,103,446	\$1,629
Jul-12	156,016	29,702,997	1.000	29,698,803	\$4,194
Aug-12	154,981	30,266,210	1.000	30,258,357	\$7,853
Sep-12	153,626	27,659,634	1.000	27,647,288	\$12,346
Oct-12	156,004	30,717,560	0.999	30,697,859	\$19,702
Nov-12	155,955	29,599,607	0.999	29,581,231	\$18,376
Dec-12	155,993	29,981,548	0.797	23,907,876	\$6,073,672
Total		\$1,024,231,980		\$1,018,114,422	\$6,117,558

Exhibit 3

Kentucky Employees' Health Plan Terminal Liability Calculation as of December 31, 2012 Health Reimbursement Arrangements

Maximum Choice HRA				
Period	Paid To Date	Completion Factor	Total Incurred	Unpaid Claim Reserve
Jan-Mar 2010	\$4,332,117	100.0%	\$4,332,117	\$0
Apr-Jun 2010	\$4,481,500	100.0%	\$4,481,500	\$0
Jul-Sep 2010	\$3,734,583	100.0%	\$3,734,583	\$0
Oct-Dec 2010	\$2,390,133	100.0%	\$2,390,133	\$0
Jan-Mar 2011	\$4,744,890	99.7%	\$4,757,522	\$12,633
Apr-Jun 2011	\$4,896,310	99.5%	\$4,921,575	\$25,265
Jul-Sep 2011	\$4,063,414	99.1%	\$4,101,312	\$37,898
Oct-Dec 2011	\$2,574,309	98.1%	\$2,624,840	\$50,531
Jan-Mar 2012	\$4,597,664	98.1%	\$4,688,277	\$90,613
Apr-Jun 2012	\$4,668,716	96.3%	\$4,849,942	\$181,226
Jul-Sep 2012	\$3,769,780	93.3%	\$4,041,618	\$271,839
Oct-Dec 2012	\$2,224,184	86.0%	\$2,586,636	\$362,452
Total	\$46,477,600		\$47,510,057	\$1,032,456

Waiver HRA				
Plan Year	Paid To Date	Completion Factor	Total Incurred	Unpaid Claim Reserve
2009	\$9,956,208	100.0%	\$9,956,208	\$0
2010	\$46,883,932	100.0%	\$46,883,932	\$0
2011	\$42,635,013	99.6%	\$42,797,951	\$162,938
2012	\$37,941,322	89.8%	\$42,230,990	\$4,289,668
Total	\$137,416,474		\$141,869,081	\$4,452,606

Exhibit 4

Kentucky Employees' Health Plan
Rx Rebates as of December 31, 2012

Plan Year	Rebate Amount	
Q1 2011	\$8,172,233	Actual
Q2 2011	\$7,925,125	Actual
Q3 2011	\$8,326,711	Actual
Q4 2011	\$8,102,033	Actual
Q1 2012	\$7,612,408	Actual
Q2 2012	\$7,940,053	Actual
Q3 2012	\$7,908,247	Estimate
Q4 2012	\$8,218,374	Estimate

Q4 2012 KEHP Quarterly Dashboard – ActiveHealth Management

Informed Care Management (ICM)	Q1'12	Q2 '12	Q3 '13	Q4'14
Total Membership	271,998	276,282	267,744	270,240
Members Newly Identified with ICM Opportunity	2,866	3,155	3,209	765
Worked with Nurse - High Intensity	4,119	3,570	3,009	2,272
Members Receive Care Considerations Calls from a Nurse - Low Intensity	12,798	13,268	13,566	13,926
Total Nurse Engaged	16,917	16,838	16,575	16,198
<i>Why Weight Kentucky Enrollment</i>	149	112	69	29
Engaged in Awareness Education	3,895	3,913	3,953	4,027
ICM Savings PMPM	\$3.33	\$3.30	\$3.07	\$2.88

Q4 2012 KEHP Quarterly Dashboard – ActiveHealth Management

Utilization/Case Management/Maternicheck	Q1'12	Q2'12	Q3'12	Q4'12
Total Number of UM Reviews	12,931	13,250	12,844	13,652
Denial Percentage	4.3%	5.0%	4.3%	5.2%
Total Case Management (*includes CM nurse utilization reviews)	3,929	*7,758	*6,843	6,262
Total Maternicheck Cases Identified	759	790	626	384
Total Maternicheck Cases Managed	124	141	132	106
UM/CM Savings PMPM	\$2.87	\$3.87	\$2.85	\$2.63

Care Consideration Program	Q1'11	Q2'12	Q3'12	Q4'12
Number of Care Considerations Sent	24,163	23,880	26,090	27,498
Care Considerations per 1,000 Members	87.9	87.8	97.1	101.5
Severity 1	1.7	1.3	1.5	1.5
Severity 2	64.5	70.1	74.6	76.4
Severity 3	21.7	16.4	21.0	23.7
Wellness Care Considerations *includes influenza CCs	28,627	25,820	*109,572	12,504
HEM Savings PMPM	\$6.24	6.35	\$6.24	\$6.72

**KEHP Wellness Services Report
2012
Prepared by: Mindy Wallin and Tracie Meyer**

KEHP Service	1st quarter ending totals	2nd quarter ending totals	3rd quarter ending totals	4th quarter ending totals
HumanaVitality HA	10,310	11,246	12,340	16,768
HumanaVitality Blue Level	199,186	248,253	237,202	234,213
HumanaVitality Bronze Level	14,313	21,140	25,239	30,835
HumanaVitality Silver Level	224	530	1,074	1,193
HumanaVitality Gold Level	30	76	132	171
HumanaVitality Platinum Level	7	35	181	204
Health Coaching New Members	1,269	1,298	1,302	1,189
Health Coaching Active	528	37	26	24
Biometric Screenings	1,253	1,821	2,637	3,612





Quarterly Performance Guarantee Report Card

Contract Begin Date Contract End Date:
1/1/2012 12/31/2012

Commonwealth of Kentucky

	<i>Metric Reporting Type</i>	<i>Definition</i>	<i>Performance Criteria</i>	<i>Penalty</i>	<i>1Q</i>	<i>2Q</i>	<i>3Q</i>	<i>4Q</i>	<i>Annual</i>
<i>Account Management</i>									
Account Management Satisfaction	Client Specific	Humana acknowledges that its Account Management team must be responsive to the needs of our customers if Humana is to earn and sustain their trust. Therefore, Humana will perform annually a brief account management satisfaction survey to be completed by designated members of the client's benefits staff.	Overall account management satisfaction score of 3 or higher.	Reporting Only	Annually	Annually	Annually	Annually	Annually

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	Metric Reporting Type	Definition	Performance Criteria	Penalty	1Q	2Q	3Q	4Q	Annual
Claims Processing									
Clean Claim Turnaround - 30 Calendar Days	Client Specific	Humana agrees to a cycle time of 95% within 30 calendar days. Cycle time is measured from the date a clean claim is received to the date it is processed. "Processed" means paid or denied without requiring additional information from an external source.	95% within 30 calendar days	85%- 94.99%=2% of annual base administrative fee Below 85%=4% of annual base administrative fee	99.7%	99.0%	99.3%	99.2%	99.3%
Financial Accuracy	Client Specific	Humana agrees to a financial accuracy rate of 99%. The financial accuracy rate is defined as the percentage of dollars paid correctly. It is calculated by dividing the total claim dollars paid less the absolute value of overpayments and underpayments by the total claim dollars paid.	99%	98%- 98.99%=2% of annual base administrative fee 97%- 97.99%=3% of annual base administrative fee Below 97%=4% of annual base administrative fee	100%	99.9%	100%	100%	99.98%
Payment Accuracy	Client Specific	Humana agrees to a payment accuracy rate of 95%. Payment accuracy is defined as the percentage of claims paid correctly. It is calculated by dividing the total number of correctly paid claims by the total number of claims paid.	95%	91.99%- 88%=2% of annual base administrative fee Below 87.99%=3% of annual base administrative fee	100%	99.8%	99.8%	100%	99.96%

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	<i>Metric Reporting Type</i>	<i>Definition</i>	<i>Performance Criteria</i>	<i>Penalty</i>	<i>1Q</i>	<i>2Q</i>	<i>3Q</i>	<i>4Q</i>	<i>Annual</i>
Processing Accuracy	Client Specific	Humana agrees to a processing accuracy rate of 95%. Processing accuracy is defined as the percent of claims coded correctly in the system; calculated by dividing the claims audited without processing errors by the total volume of claims audited.	95%	93.5%-94.99%=-2% of annual base administrative fee Below 93%=-3% of annual base administrative fee	100%	100%	99.8%	100%	99.96%

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	<i>Metric</i>		<i>Performance</i>	<i>Penalty</i>	<i>1Q</i>	<i>2Q</i>	<i>3Q</i>	<i>4Q</i>	<i>Annual</i>
	<i>Reporting Type</i>	<i>Definition</i>	<i>Criteria</i>						
<i>Customer Service</i>									
Abandonment Rate	Client Specific	Humana agrees to an abandonment rate of 4%. Abandonment rate is defined as the percent of callers that ended the call prior to reaching a customer service representative.	4%	4.01%-7.99%=2% of annual base administrative fee 8% or higher=3% of annual base administrative fee	0.4%	0.3%	0.3%	0.3%	0.34%
Call Hold Time	Client Specific	Less than one (1) minute to send to a Customer Service Representative.	60 seconds	1-3 minutes=2% of annual base administrative fee Over 3 minutes=3% of annual base administrative fee	9	5	6	7	7
Telephone Response - 30 Seconds	Client Specific	Humana agrees to a telephone response time of 90% within 30 seconds. Telephone response is defined as the percent of calls answered within "n" seconds.	90% in 30 seconds	85%-89.99%=2% of annual base administrative fee Below 85%=3% of annual base administrative fee	89.6%	93.0%	92.2%	91.1%	91.4%

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	<i>Metric Reporting Type</i>	<i>Definition</i>	<i>Performance Criteria</i>	<i>Penalty</i>	<i>1Q</i>	<i>2Q</i>	<i>3Q</i>	<i>4Q</i>	<i>Annual</i>
Implementation									
Eligibility - Error Transactions	Client Specific	Error transactions sent to DMV corrected and returned to DMV within five (5) business days.	Within 5 business days	2% of annual base administrative fee	NA	NA	5	5	2.5
ID Card Issuance - Ongoing (Without access cards)	Client Specific	95% mailed within 14 calendar days of data availability contingent upon receiving "clean" enrollment data. "Clean" enrollment is defined as needing no additional information from the member or group.	95% within 14 calendar days	94%-94.99%=2% of annual base administrative fee 92.5%-93.99%=3% of annual base administrative fee Below 92.5%=4% of annual base administrative fee	99.99%	100%	100%	99.97%	99.99%
ID Card Issuance - Open Enrollment (Automated)	Client Specific	Humana will agree that 100% of ID card will be mailed 10 calendar days prior to the groups effective date contingent upon receiving "clean" enrollment data. "Clean" enrollment is defined as needing no additional information from the member or the group.	100% mailed 10 calendar days prior to effective date	98%-100%=None 95%-97.99%=2% of annual base administrative fee 93.5%-94.99%=3% of annual base administrative fee Below 93.5%=4% of annual base administrative fee	100%	NA	NA	NA	100%

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	<i>Metric Reporting Type</i>	<i>Definition</i>	<i>Performance Criteria</i>	<i>Penalty</i>	<i>1Q</i>	<i>2Q</i>	<i>3Q</i>	<i>4Q</i>	<i>Annual</i>
Miscellaneous									
Claims Data Warehouse Support	Client Specific	Consistent and accurate data on or before 11th business day of the month following calendar quarter. (Med Stat)	By 11th business day of following month	\$25 per contract per business day late (maximum of 25% of quarterly administrative fees per quarter)	Met	Met	Met	Met	Met
Pre-Admission Reviews	Client Specific	Humana agrees that 99% of pre-admission reviews and outpatient testing reviews are completed in two (2) business days of initial contact.	99% completed within 2 business days of initial contact	2% of annual base administrative fee	99.5%	99.0%	99.7%	99.2%	99.4%
SPAA - Claims Processing									
Claim Turnaround - 10 Calendar Days (SPAA)	Client Specific	Humana agrees to a cycle time of 95% within 10 calendar days. Cycle time is measured from the date a paper claim is received to the date it is processed.	95% within 10 calendar days	\$100,000	99.7%	99.6%	99.9%	99.9%	99.8%
Financial Accuracy (SPAA)	Client Specific	Humana agrees to a financial accuracy rate of 99%. The financial accuracy rate is defined as the percentage of dollars paid correctly. It is calculated by dividing the total claim dollars paid less the absolute value of overpayments and underpayments by total claim dollars paid.	99%	\$50,000	100%	99.8%	100%	100%	99.9%
Processing Accuracy (SPAA)	Client Specific	Humana agrees to a processing accuracy rate of 98%. Processing accuracy is defined as the percent of claims coded correctly in the system; calculated by dividing the claims coded without processing errors by the total volume of claims audited.	98%	\$50,000	100%	99.8%	99.8%	100%	99.9%

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	<i>Metric Reporting Type</i>	<i>Definition</i>	<i>Performance Criteria</i>	<i>Penalty</i>	<i>1Q</i>	<i>2Q</i>	<i>3Q</i>	<i>4Q</i>	<i>Annual</i>
SPAA - Customer Service									
Abandonment Rate (SPAA)	Client Specific	Humana agrees to an abandonment rate of 4%. Abandonment rate is defined as the percent of callers that ended the call prior to reaching a customer service representative.	4%	\$50,000	2.7%	1.3%	.4%	.8%	1.3%
Telephone Response - 20 Seconds (SPAA)	Client Specific	Humana agrees to a telephone response time of 80% within 20 seconds. Telephone response is defined as the percent of calls answered within "n" seconds.	80% within 20 seconds	\$50,000	70.5%	82.1%	90.8%	85.0%	82.1%

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Performance Guarantees Summary Report

Express Scripts Inc.

DIV/Group Client Name Reporting Period Agreement Type
CKY

Measurement Frequency: Quarterly

Guarantee ID	2143684584	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Average Speed of Answer	Q1 (Jan,2010-Mar,2010)	17.7 seconds	\$0.00	\$0.00
Guarantee Description	ESI guarantees that 100% of calls will be answered in an average of 30 seconds or less with the exception of a failure in a third party communication system. This guarantee is predicated on the installation of a toll free number unique to Commonwealth.	Q2 (Apr,2010-Jun,2010)	17.87 seconds	\$0.00	\$0.00
		Q3 (Jul,2010-Sep,2010)	27.67 seconds	\$0.00	\$0.00
		Q4 (Oct,2010-Dec,2010)	28.34 seconds	\$0.00	\$0.00
		Q1 (Jan,2011-Mar,2011)	74.27 seconds	\$0.00	\$0.00
Penalty Basis	Quarterly	Q2 (Apr,2011-Jun,2011)	14.56 seconds	\$0.00	\$0.00
Penalty Description	ESI will put 0.5% of admin fee as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.	Q3 (Jul,2011-Sep,2011)	20.27 seconds	\$0.00	\$0.00
		Q4 (Oct,2011-Dec,2011)	14.71 seconds	\$0.00	\$0.00
		Q1 (Jan,2012-Mar,2012)	22.89 seconds	\$0.00	\$0.00
		Q2 (Apr,2012-Jun,2012)	22.91 seconds	\$0.00	\$0.00
		Q3 (Jul,2012-Sep,2012)	15.46 seconds	\$0.00	\$0.00
		Q4 (Oct,2012-Dec,2012)	11.48 seconds	\$0.00	\$0.00
		Total		\$0.00	



Performance Guarantees Summary Report

Express Scripts Inc.

Guarantee ID	2143684585	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Blockage Rate	Q1 (Jan,2010-Mar,2010)	0 %	\$0.00	\$0.00
Guarantee Description	ESI guarantees a blockage rate of 5% or less, except in the event of a failure in a third-party communication system. Defined as a caller receiving a busy signal. Predicated on the installation of a toll-free number unique to Commonwealth.	Q2 (Apr,2010-Jun,2010)	0 %	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul,2010-Sep,2010)	0 %	\$0.00	\$0.00
Penalty Description	ESI will put 0.5% of admin fee as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.	Q4 (Oct,2010-Dec,2010)	0 %	\$0.00	\$0.00
		Q1 (Jan,2011-Mar,2011)	0.0 %	\$0.00	\$0.00
		Q2 (Apr,2011-Jun,2011)	0.00 %	\$0.00	\$0.00
		Q3 (Jul,2011-Sep,2011)	0.00 %	\$0.00	\$0.00
		Q4 (Oct,2011-Dec,2011)	0.00 %	\$0.00	\$0.00
		Q1 (Jan,2012-Mar,2012)	0.00 %	\$0.00	\$0.00
		Q2 (Apr,2012-Jun,2012)	0.00 %	\$0.00	\$0.00
		Q3 (Jul,2012-Sep,2012)	0.00 %	\$0.00	\$0.00
		Q4 (Oct,2012-Dec,2012)	0.00 %	\$0.00	\$0.00
		Total		\$0.00	



Performance Guarantees Summary Report

Express Scripts Inc.

Guarantee ID	2143684586	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Call Center - Written Inquiries	Q1 (Jan,2010-Mar,2010)	100 %	\$0.00	\$0.00
Guarantee Description	ESI guarantees that 100% of written inquiries will be responded to within 10 business days.	Q2 (Apr,2010-Jun,2010)	100 %	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul,2010-Sep,2010)	100 %	\$0.00	\$0.00
Penalty Description	ESI will put 0.5% of admin fee as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.	Q4 (Oct,2010-Dec,2010)	100.0 %	\$0.00	\$0.00
		Q1 (Jan,2011-Mar,2011)	100.0 %	\$0.00	\$0.00
		Q2 (Apr,2011-Jun,2011)	100.00 %	\$0.00	\$0.00
		Q3 (Jul,2011-Sep,2011)	100.00 %	\$0.00	\$0.00
		Q4 (Oct,2011-Dec,2011)	100.00 %	\$0.00	\$0.00
		Q1 (Jan,2012-Mar,2012)	100.00 %	\$0.00	\$0.00
		Q2 (Apr,2012-Jun,2012)	100.00 %	\$0.00	\$0.00
		Q3 (Jul,2012-Sep,2012)	100.00 %	\$0.00	\$0.00
		Q4 (Oct,2012-Dec,2012)	100.00 %	\$0.00	\$0.00
		Total		\$0.00	



Performance Guarantees Summary Report

Express Scripts Inc.

Guarantee ID	2143684587	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Abandonment Rate	Q1 (Jan,2010-Mar,2010)	0.82 %	\$0.00	\$0.00
Guarantee Description	ESI guarantees that the annual call abandonment rate will be 5% or less with the exception of a failure in a third party communication system. Does not include calls terminated by members in less than 30 seconds.	Q2 (Apr,2010-Jun,2010)	0.9 %	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul,2010-Sep,2010)	1 %	\$0.00	\$0.00
Penalty Description	ESI will put 0.5% of admin fee as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.	Q4 (Oct,2010-Dec,2010)	1.25 %	\$0.00	\$0.00
		Q1 (Jan,2011-Mar,2011)	2.8 %	\$0.00	\$0.00
		Q2 (Apr,2011-Jun,2011)	0.41 %	\$0.00	\$0.00
		Q3 (Jul,2011-Sep,2011)	.66 %	\$0.00	\$0.00
		Q4 (Oct,2011-Dec,2011)	.42 %	\$0.00	\$0.00
		Q1 (Jan,2012-Mar,2012)	.58 %	\$0.00	\$0.00
		Q2 (Apr,2012-Jun,2012)	0.60 %	\$0.00	\$0.00
		Q3 (Jul,2012-Sep,2012)	0.38 %	\$0.00	\$0.00
		Q4 (Oct,2012-Dec,2012)	0.34 %	\$0.00	\$0.00
		Total		\$0.00	



Performance Guarantees Summary Report

Express Scripts Inc.

Guarantee ID	2143684588	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Paper Claims TAT	Q1 (Jan,2010-Mar,2010)	96 %	\$0.00	\$0.00
Guarantee Description	ESI guarantees that at least 95% of all paper claims will be reimbursed or responded to within 10 business days.	Q2 (Apr,2010-Jun,2010)	98 %	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul,2010-Sep,2010)	99.4 %	\$0.00	\$0.00
Penalty Description	ESI will put \$11,000 as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.	Q4 (Oct,2010-Dec,2010)	99 %	\$0.00	\$0.00
		Q1 (Jan,2011-Mar,2011)	100 %	\$0.00	\$0.00
		Q2 (Apr,2011-Jun,2011)	99.7 %	\$0.00	\$0.00
		Q3 (Jul,2011-Sep,2011)	99.9 %	\$0.00	\$0.00
		Q4 (Oct,2011-Dec,2011)	100 %	\$0.00	\$0.00
		Q1 (Jan,2012-Mar,2012)	100 %	\$0.00	\$0.00
		Q2 (Apr,2012-Jun,2012)	100.0 %	\$0.00	\$0.00
		Q3 (Jul,2012-Sep,2012)	100.0 %	\$0.00	\$0.00
		Q4 (Oct,2012-Dec,2012)	100.0 %	\$0.00	\$0.00
		Total		\$0.00	



Performance Guarantees Summary Report

Express Scripts Inc.

Guarantee ID	2143684589	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Computer System Accuracy	Q1 (Jan,2010-Mar,2010)	100 %	\$0.00	\$0.00
Guarantee Description	ESI guarantees at least 99.5% of retail claims will be paid with no errors (incorrect drug, form, strength, or patient). This guarantee is contingent on the claims adjudication system being set up accurately.	Q2 (Apr,2010-Jun,2010)	100 %	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul,2010-Sep,2010)	100 %	\$0.00	\$0.00
Penalty Description	ESI will put 2% of admin fee as the total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.	Q4 (Oct,2010-Dec,2010)	100 %	\$0.00	\$0.00
		Q1 (Jan,2011-Mar,2011)	100 %	\$0.00	\$0.00
		Q2 (Apr,2011-Jun,2011)	100 %	\$0.00	\$0.00
		Q3 (Jul,2011-Sep,2011)	100 %	\$0.00	\$0.00
		Q4 (Oct,2011-Dec,2011)	100 %	\$0.00	\$0.00
		Q1 (Jan,2012-Mar,2012)	100 %	\$0.00	\$0.00
		Q2 (Apr,2012-Jun,2012)	100 %	\$0.00	\$0.00
		Q3 (Jul,2012-Sep,2012)	100 %	\$0.00	\$0.00
		Q4 (Oct,2012-Dec,2012)		\$0.00	\$0.00
		Total		\$0.00	



Performance Guarantees Summary Report

Express Scripts Inc.

Guarantee ID	2143684500	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Mail Order Accuracy	Q1 (Jan,2010-Mar,2010)	100 %	\$0.00	\$0.00
Guarantee Description	ESI guarantees at least 99.5% accuracy in dispensing the correct drug, at the correct strength and the correct dosage (excluding errors by prescribers).	Q2 (Apr,2010-Jun,2010)	100 %	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul,2010-Sep,2010)	100.0 %	\$0.00	\$0.00
Penalty Description	ESI will put 2% of admin fee as the total amount of penalty at risk quarterly. The standard will be measured and reported quarterly. Penalties will paid annually.	Q4 (Oct,2010-Dec,2010)	100.0 %	\$0.00	\$0.00
		Q1 (Jan,2011-Mar,2011)	100.0 %	\$0.00	\$0.00
		Q2 (Apr,2011-Jun,2011)	100.0 %	\$0.00	\$0.00
		Q3 (Jul,2011-Sep,2011)	100.0 %	\$0.00	\$0.00
		Q4 (Oct,2011-Dec,2011)	100.0 %	\$0.00	\$0.00
		Q1 (Jan,2012-Mar,2012)	100.0 %	\$0.00	\$0.00
		Q2 (Apr,2012-Jun,2012)	100.0 %	\$0.00	\$0.00
		Q3 (Jul,2012-Sep,2012)	100.0 %	\$0.00	\$0.00
		Q4 (Oct,2012-Dec,2012)	100.0 %	\$0.00	\$0.00
		Total		\$0.00	



Performance Guarantees Summary Report

Express Scripts Inc.

Guarantee ID	2143684501	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Mail Order TAT - Clean	Q1 (Jan,2010-Mar,2010)	0.73 days	\$0.00	\$0.00
Guarantee Description	ESI guarantees dispensing and shipping (or return) of prescriptions, not subject to intervention, within a quarterly average of 2 business days of receipt of the order at ESI's Pharmacy.	Q2 (Apr,2010-Jun,2010)	0.68 days	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul,2010-Sep,2010)	0.56 days	\$0.00	\$0.00
Penalty Description	ESI will put \$5,500 as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.	Q4 (Oct,2010-Dec,2010)	0.53 days	\$0.00	\$0.00
		Q1 (Jan,2011-Mar,2011)	0.77 days	\$0.00	\$0.00
		Q2 (Apr,2011-Jun,2011)	.75 days	\$0.00	\$0.00
		Q3 (Jul,2011-Sep,2011)	.84 days	\$0.00	\$0.00
		Q4 (Oct,2011-Dec,2011)	.73 days	\$0.00	\$0.00
		Q1 (Jan,2012-Mar,2012)	.70 days	\$0.00	\$0.00
		Q2 (Apr,2012-Jun,2012)	0.76 days	\$0.00	\$0.00
		Q3 (Jul,2012-Sep,2012)	0.74 days	\$0.00	\$0.00
		Q4 (Oct,2012-Dec,2012)	0.67 days	\$0.00	\$0.00
		Total		\$0.00	



Performance Guarantees Summary Report

Express Scripts Inc.

Guarantee ID	2143684599	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Account Management - Other	Q1 (Jan,2012-Mar,2012)	MET	\$0.00	\$0.00
Guarantee Description	ESI guarantees consistent and accurate data destination to Commonwealth's Health Insurance Management Vendor on or before the 11th business day of the month following the calendar quarter.	Q2 (Apr,2012-Jun,2012)		\$0.00	\$0.00
Penalty Basis	Quarterly		Total	\$0.00	
Penalty Description	ESI will put 1% of admin fee plus the full cost of Health Information Vendor's rebuild as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.				

Total Penalty Amounts: \$0.00



Performance Guarantees Summary Report

Express Scripts Inc.

Guarantee ID	2143684583	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Rebate Allocation	Q1 (Jan,2010-Mar,2010)	MET	\$0.00	\$0.00
Guarantee Description	ESI guarantees 100% of all rebate dollars will be paid to the Commonwealth of Kentucky within 150 calendar days after the last calendar day of the quarter in which such rebates were received and reconciled.	Q2 (Apr,2010-Jun,2010)	MET	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul,2010-Sep,2010)	Met	\$0.00	\$0.00
Penalty Description	ESI will put \$11,000 as a total amount of penalty at risk quarterly. The standard will be measured and reported quarterly. Penalties will paid annually.	Q4 (Oct,2010-Dec,2010)	Met	\$0.00	\$0.00
		Q1 (Jan,2011-Mar,2011)	145 days	\$0.00	\$0.00
		Q2 (Apr,2011-Jun,2011)	145 days	\$0.00	\$0.00
		Q3 (Jul,2011-Sep,2011)	148 days	\$0.00	\$0.00
		Q4 (Oct,2011-Dec,2011)	148 days	\$0.00	\$0.00
		Q1 (Jan,2012-Mar,2012)	147 days	\$0.00	\$0.00
		Q2 (Apr,2012-Jun,2012)	148 days	\$0.00	\$0.00
		Q3 (Jul,2012-Sep,2012)	150 days	\$0.00	\$0.00
		Q4 (Oct,2012-Dec,2012)	150 days	\$0.00	\$0.00
			Total	\$0.00	



Performance Guarantees Summary Report

Express Scripts Inc.

Guarantee ID	2143684504	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Computer System Availability	Q1 (Jan,2010-Mar,2010)	100 %	\$0.00	\$0.00
Guarantee Description	ESI guarantees 99% system availability of the point of sale adjudication system, except for daily scheduled maintenance and communications failure.	Q2 (Apr,2010-Jun,2010)	99.95 %	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul,2010-Sep,2010)	99.98 %	\$0.00	\$0.00
Penalty Description	ESI will put \$11,000 as a total amount of penalty at risk quarterly. The standard will be measured and reported quarterly. Penalties will be paid annually.	Q4 (Oct,2010-Dec,2010)	99.98 %	\$0.00	\$0.00
		Q1 (Jan,2011-Mar,2011)	99.90 %	\$0.00	\$0.00
		Q2 (Apr,2011-Jun,2011)	100 %	\$0.00	\$0.00
		Q3 (Jul,2011-Sep,2011)	99.99 %	\$0.00	\$0.00
		Q4 (Oct,2011-Dec,2011)	99.999 %	\$0.00	\$0.00
		Q1 (Jan,2012-Mar,2012)	99.997 %	\$0.00	\$0.00
		Q2 (Apr,2012-Jun,2012)	99.99 %	\$0.00	\$0.00
		Q3 (Jul,2012-Sep,2012)	100 %	\$0.00	\$0.00
		Q4 (Oct,2012-Dec,2012)	100 %	\$0.00	\$0.00
		Total		\$0.00	



Performance Guarantees Summary Report

Express Scripts Inc.

Guarantee ID	2143684505	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Production of Reports	Q1 (Jan,2010-Mar,2010)	MET	\$0.00	\$0.00
Guarantee Description	ESI guarantees that reports provided on a quarterly basis will be produced within 45 days after the end of the quarter.	Q2 (Apr,2010-Jun,2010)	MET	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul,2010-Sep,2010)	MET	\$0.00	\$0.00
Penalty Description	ESI will put 2% of admin fee as the total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.	Q4 (Oct,2010-Dec,2010)	MET	\$0.00	\$0.00
		Q1 (Jan,2011-Mar,2011)	MET	\$0.00	\$0.00
		Q2 (Apr,2011-Jun,2011)	MET	\$0.00	\$0.00
		Q3 (Jul,2011-Sep,2011)	MET	\$0.00	\$0.00
		Q4 (Oct,2011-Dec,2011)	MET	\$0.00	\$0.00
		Q1 (Jan,2012-Mar,2012)	MET	\$0.00	\$0.00
		Q2 (Apr,2012-Jun,2012)	MET	\$0.00	\$0.00
		Q3 (Jul,2012-Sep,2012)	MET	\$0.00	\$0.00
		Q4 (Oct,2012-Dec,2012)	MET	\$0.00	\$0.00
		Total		\$0.00	



Performance Guarantees Summary Report

Express Scripts Inc.

Guarantee ID	2143684506	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Network Access	Q1 (Jan,2010-Mar,2010)	99.3 %	\$0.00	\$0.00
Guarantee Description	ESI guarantees that at least 95% of members based on client supplied eligibility will have access to a retail network pharmacy within a five-mile radius of their residence if there is an existing pharmacy within that radius.	Q2 (Apr,2010-Jun,2010)	99.3 %	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul,2010-Sep,2010)	99.2 %	\$0.00	\$0.00
Penalty Description	ESI will put \$11,000 as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly using information provided by GeoAccess or similar service. Penalties will be paid annually.	Q4 (Oct,2010-Dec,2010)	99.6 %	\$0.00	\$0.00
		Q1 (Jan,2011-Mar,2011)	99.7 %	\$0.00	\$0.00
		Q2 (Apr,2011-Jun,2011)	99.3 %	\$0.00	\$0.00
		Q3 (Jul,2011-Sep,2011)	99.5 %	\$0.00	\$0.00
		Q4 (Oct,2011-Dec,2011)	99 %	\$0.00	\$0.00
		Q1 (Jan,2012-Mar,2012)	99.00 %	\$0.00	\$0.00
		Q2 (Apr,2012-Jun,2012)	98.90 %	\$0.00	\$0.00
		Q3 (Jul,2012-Sep,2012)	99.50 %	\$0.00	\$0.00
		Q4 (Oct,2012-Dec,2012)	99.40 %	\$0.00	\$0.00
		Total		\$0.00	



Performance Guarantees Summary Report

Express Scripts Inc.

Guarantee ID	2143684597	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Account Management - Other	Q1 (Jan,2010-Mar,2010)	MET	\$0.00	\$0.00
Guarantee Description	ESI guarantees that all error transactions from the data transfer sent to the data management vendor will be corrected and returned to the data management vendor via data transfer within 2 business days of receipt of the error report. See comments.	Q2 (Apr,2010-Jun,2010)	MET	\$0.00	\$0.00
Penalty Basis		Q3 (Jul,2010-Sep,2010)	MET	\$0.00	\$0.00
Penalty Description		Q4 (Oct,2010-Dec,2010)	MET	\$0.00	\$0.00
		Q1 (Jan,2011-Mar,2011)	MET	\$0.00	\$0.00
	ESI will put 1% of admin fee as the total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.	Q2 (Apr,2011-Jun,2011)	MET	\$0.00	\$0.00
		Q3 (Jul,2011-Sep,2011)	MET	\$0.00	\$0.00
		Q4 (Oct,2011-Dec,2011)	MET	\$0.00	\$0.00
		Q1 (Jan,2012-Mar,2012)	MET	\$0.00	\$0.00
		Q2 (Apr,2012-Jun,2012)	MET	\$0.00	\$0.00
		Q3 (Jul,2012-Sep,2012)	MET	\$0.00	\$0.00
		Q4 (Oct,2012-Dec,2012)	MET	\$0.00	\$0.00
		Total		\$0.00	



Performance Guarantees Summary Report

Express Scripts Inc.

Guarantee ID	2143684598	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Account Management - Other	Q1 (Jan,2010-Mar,2010)	MET	\$0.00	\$0.00
Guarantee Description	ESI guarantees that all claims and utilization data will be transferred to the medical vendor on a bi-weekly basis.	Q2 (Apr,2010-Jun,2010)	MET	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul,2010-Sep,2010)	MET	\$0.00	\$0.00
Penalty Description	ESI will put 1% of admin fee as the total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.	Q4 (Oct,2010-Dec,2010)	MET	\$0.00	\$0.00
		Q1 (Jan,2011-Mar,2011)	MET	\$0.00	\$0.00
		Q2 (Apr,2011-Jun,2011)	MET	\$0.00	\$0.00
		Q3 (Jul,2011-Sep,2011)	MET	\$0.00	\$0.00
		Q4 (Oct,2011-Dec,2011)	MET	\$0.00	\$0.00
		Q1 (Jan,2012-Mar,2012)	MET	\$0.00	\$0.00
		Q2 (Apr,2012-Jun,2012)	MET	\$0.00	\$0.00
		Q3 (Jul,2012-Sep,2012)	MET	\$0.00	\$0.00
		Q4 (Oct,2012-Dec,2012)	MET	\$0.00	\$0.00
			Total	\$0.00	



Performance Guarantees Summary Report

Express Scripts Inc.

Guarantee ID	2143684599	Requested Period	Guarantee Results	Penalty Amount	Payments To Date
Guarantee Name	Account Management - Other	Q1 (Jan,2010-Mar,2010)	MET	\$0.00	\$0.00
Guarantee Description	ESI guarantees consistent and accurate data destination to Commonwealth's Health Insurance Management Vendor on or before the 11th business day of the month following the calendar quarter.	Q2 (Apr,2010-Jun,2010)	MET	\$0.00	\$0.00
Penalty Basis	Quarterly	Q3 (Jul,2010-Sep,2010)	MET	\$0.00	\$0.00
Penalty Description	ESI will put 1% of admin fee plus the full cost of Health Information Vendor's rebuild as a total amount of penalty at risk quarterly. This standard will be measured and reported quarterly. Penalties will be paid annually.	Q4 (Oct,2010-Dec,2010)	MET	\$0.00	\$0.00
		Q1 (Jan,2011-Mar,2011)	MET	\$0.00	\$0.00
		Q2 (Apr,2011-Jun,2011)	MET	\$0.00	\$0.00
		Q3 (Jul,2011-Sep,2011)	MET	\$0.00	\$0.00
		Q4 (Oct,2011-Dec,2011)	MET	\$0.00	\$0.00
		Q1 (Jan,2012-Mar,2012)	MET	\$0.00	\$0.00
		Q2 (Apr,2012-Jun,2012)	MET	\$0.00	\$0.00
		Q3 (Jul,2012-Sep,2012)	MET	\$0.00	\$0.00
		Q4 (Oct,2012-Dec,2012)	MET	\$0.00	\$0.00
		Total		\$0.00	

Total Penalty Amounts: \$0.00



Appendix: Active Performance Guarantees

Express Scripts Inc.

DIV/Group Client Name Reporting Period Agreement Type
CKY

Guarantee ID	Guarantee Name	Measurement Rule	Reporting Period	Measurement Frequency
2143684584	Average Speed of Answer	ESI guarantees that 100% of calls will be answered in an average of 30 seconds or less	1/1/10 - 12/31/10	Quarterly
2143684584	Average Speed of Answer	ESI guarantees that 100% of calls will be answered in an average of 30 seconds or less	1/1/11 - 12/31/11	Quarterly
2143684584	Average Speed of Answer	ESI guarantees that 100% of calls will be answered in an average of 30 seconds or less	1/1/12 - 12/31/12	Quarterly
2143684585	Blockage Rate	ESI guarantees a blockage rate of 5% or less	1/1/10 - 12/31/10	Quarterly
2143684585	Blockage Rate	ESI guarantees a blockage rate of 5% or less	1/1/11 - 12/31/11	Quarterly
2143684585	Blockage Rate	ESI guarantees a blockage rate of 5% or less	1/1/12 - 12/31/12	Quarterly
2143684586	Call Center - Written Inquiries	ESI guarantees that 100% of written inquiries will be responded to within 10 business days.	1/1/10 - 12/31/10	Quarterly
2143684586	Call Center - Written Inquiries	ESI guarantees that 100% of written inquiries will be responded to within 10 business days.	1/1/11 - 12/31/11	Quarterly
2143684586	Call Center - Written Inquiries	ESI guarantees that 100% of written inquiries will be responded to within 10 business days.	1/1/12 - 12/31/12	Quarterly
2143684587	Abandonment Rate	ESI guarantees that the annual call abandonment rate will be 5% or less with the exception of a failure in a third party communication system.	1/1/10 - 12/31/10	Quarterly
2143684587	Abandonment Rate	ESI guarantees that the annual call abandonment rate will be 5% or less with the exception of a failure in a third party communication system.	1/1/11 - 12/31/11	Quarterly



Appendix: Active Performance Guarantees

Express Scripts Inc.

Guarantee ID	Guarantee Name	Measurement Rule	Reporting Period	Measurement Frequency
2143684587	Abandonment Rate	ESI guarantees that the annual call abandonment rate will be 5% or less with the exception of a failure in a third party communication system.	1/1/12 - 12/31/12	Quarterly
2143684588	Paper Claims TAT	ESI guarantees that at least 95% of all paper claims will be reimbursed or responded to within 10 business days.	1/1/10 - 12/31/10	Quarterly
2143684588	Paper Claims TAT	ESI guarantees that at least 95% of all paper claims will be reimbursed or responded to within 10 business days.	1/1/11 - 12/31/11	Quarterly
2143684588	Paper Claims TAT	ESI guarantees that at least 95% of all paper claims will be reimbursed or responded to within 10 business days.	1/1/12 - 12/31/12	Quarterly
2143684589	Computer System Accuracy	ESI guarantees at least 99.5% of retail claims will be paid with no errors (incorrect drug, form, strength, or patient).	1/1/10 - 12/31/10	Quarterly
2143684589	Computer System Accuracy	ESI guarantees at least 99.5% of retail claims will be paid with no errors (incorrect drug, form, strength, or patient).	1/1/11 - 12/31/11	Quarterly
2143684589	Computer System Accuracy	ESI guarantees at least 99.5% of retail claims will be paid with no errors (incorrect drug, form, strength, or patient).	1/1/12 - 12/31/12	Quarterly
2143684590	Mail Order Accuracy	ESI guarantees at least 99.5% accuracy in dispensing the correct drug, at the correct strength and the correct dosage	1/1/10 - 12/31/10	Quarterly
2143684590	Mail Order Accuracy	ESI guarantees at least 99.5% accuracy in dispensing the correct drug, at the correct strength and the correct dosage	1/1/11 - 12/31/11	Quarterly
2143684590	Mail Order Accuracy	ESI guarantees at least 99.5% accuracy in dispensing the correct drug, at the correct strength and the correct dosage	1/1/12 - 12/31/12	Quarterly
2143684591	Mail Order TAT - Clean	ESI guarantees dispensing and shipping (or return) of prescriptions, not subject to intervention, within a quarterly average of 2 business days of receipt of the order at ESI's Pharmacy.	1/1/10 - 12/31/10	Quarterly
2143684591	Mail Order TAT - Clean	ESI guarantees dispensing and shipping (or return) of prescriptions, not subject to intervention, within a quarterly average of 2 business days of receipt of the order at ESI's Pharmacy.	1/1/11 - 12/31/11	Quarterly



Appendix: Active Performance Guarantees

Express Scripts Inc.

Guarantee ID	Guarantee Name	Measurement Rule	Reporting Period	Measurement Frequency
2143684501	Mail Order TAT - Clean	ESI guarantees dispensing and shipping (or return) of prescriptions, not subject to intervention, within a quarterly average of 2 business days of receipt of the order at ESI's Pharmacy.	1/1/12 - 12/31/12	Quarterly
2143684502	Mail Order TAT - w/Int	ESI guarantees dispensing and shipping (or return) of prescriptions, subject to intervention, within a quarterly average of 5 business days of receipt of the order at ESI's Pharmacy.	1/1/10 - 12/31/10	Quarterly
2143684502	Mail Order TAT - w/Int	ESI guarantees dispensing and shipping (or return) of prescriptions, subject to intervention, within a quarterly average of 5 business days of receipt of the order at ESI's Pharmacy.	1/1/11 - 12/31/11	Quarterly
2143684502	Mail Order TAT - w/Int	ESI guarantees dispensing and shipping (or return) of prescriptions, subject to intervention, within a quarterly average of 5 business days of receipt of the order at ESI's Pharmacy.	1/1/12 - 12/31/12	Quarterly
2143684503	Rebate Allocation	ESI guarantees 100% of all rebate dollars will be paid to the Commonwealth of Kentucky within 150 calendar days after the last calendar day of the quarter in which such rebates were received and reconciled.	1/1/10 - 12/31/10	Quarterly
2143684503	Rebate Allocation	ESI guarantees 100% of all rebate dollars will be paid to the Commonwealth of Kentucky within 150 calendar days after the last calendar day of the quarter in which such rebates were received and reconciled.	1/1/11 - 12/31/11	Quarterly
2143684503	Rebate Allocation	ESI guarantees 100% of all rebate dollars will be paid to the Commonwealth of Kentucky within 150 calendar days after the last calendar day of the quarter in which such rebates were received and reconciled.	1/1/12 - 12/31/12	Quarterly
2143684504	Computer System Availability	ESI guarantees 99% system availability of the point of sale adjudication system	1/1/10 - 12/31/10	Quarterly
2143684504	Computer System Availability	ESI guarantees 99% system availability of the point of sale adjudication system	1/1/11 - 12/31/11	Quarterly
2143684504	Computer System Availability	ESI guarantees 99% system availability of the point of sale adjudication system	1/1/12 - 12/31/12	Quarterly



Appendix: Active Performance Guarantees

Express Scripts Inc.

Guarantee ID	Guarantee Name	Measurement Rule	Reporting Period	Measurement Frequency
2143684505	Production of Reports	ESI guarantees that reports provided on a quarterly basis will be produced within 45 days after the end of the quarter.	1/1/10 - 12/31/10	Quarterly
2143684505	Production of Reports	ESI guarantees that reports provided on a quarterly basis will be produced within 45 days after the end of the quarter.	1/1/11 - 12/31/11	Quarterly
2143684505	Production of Reports	ESI guarantees that reports provided on a quarterly basis will be produced within 45 days after the end of the quarter.	1/1/12 - 12/31/12	Quarterly
2143684506	Network Access	ESI guarantees that at least 95% of members based on client supplied eligibility will have access to a retail network pharmacy within a five-mile radius of their residence if there is an existing pharmacy within that radius.	1/1/10 - 12/31/10	Quarterly
2143684506	Network Access	ESI guarantees that at least 95% of members based on client supplied eligibility will have access to a retail network pharmacy within a five-mile radius of their residence if there is an existing pharmacy within that radius.	1/1/11 - 12/31/11	Quarterly
2143684506	Network Access	ESI guarantees that at least 95% of members based on client supplied eligibility will have access to a retail network pharmacy within a five-mile radius of their residence if there is an existing pharmacy within that radius.	1/1/12 - 12/31/12	Quarterly
2143684507	Account Management - Other	ESI guarantees that all error transactions from the data transfer sent to the data management vendor will be corrected and returned to the data management vendor via data transfer within 2 business days of receipt of the error report.	1/1/10 - 12/31/10	Quarterly
2143684507	Account Management - Other	ESI guarantees that all error transactions from the data transfer sent to the data management vendor will be corrected and returned to the data management vendor via data transfer within 2 business days of receipt of the error report.	1/1/11 - 12/31/11	Quarterly



Appendix: Active Performance Guarantees

Express Scripts Inc.

Guarantee ID	Guarantee Name	Measurement Rule	Reporting Period	Measurement Frequency
2143684597	Account Management - Other	ESI guarantees that all error transactions from the data transfer sent to the data management vendor will be corrected and returned to the data management vendor via data transfer within 2 business days of receipt of the error report.	1/1/12 - 12/31/12	Quarterly
2143684598	Account Management - Other	ESI guarantees that all claims and utilization data will be transferred to the medical vendor on a bi-weekly basis.	1/1/10 - 12/31/10	Quarterly
2143684598	Account Management - Other	ESI guarantees that all claims and utilization data will be transferred to the medical vendor on a bi-weekly basis.	1/1/11 - 12/31/11	Quarterly
2143684598	Account Management - Other	ESI guarantees that all claims and utilization data will be transferred to the medical vendor on a bi-weekly basis.	1/1/12 - 12/31/12	Quarterly
2143684599	Account Management - Other	ESI guarantees consistent and accurate data destination to Commonwealth's Health Insurance Management Vendor	1/1/10 - 12/31/10	Quarterly
2143684599	Account Management - Other	ESI guarantees consistent and accurate data destination to Commonwealth's Health Insurance Management Vendor	1/1/11 - 12/31/11	Quarterly
2143684599	Account Management - Other	ESI guarantees consistent and accurate data destination to Commonwealth's Health Insurance Management Vendor	1/1/12 - 12/31/12	Quarterly